

MICROFLUIDIC POINT-OF-CARE ECARIN BASED ASSAYS FOR MONITORING  
DIRECT THROMBIN INHIBITOR THERAPY

by

Benjamin J. Alouidor

---

Copyright © Benjamin Alouidor 2018

A Thesis Submitted to the Faculty of the

COLLEGE OF MEDICINE

In Partial Fulfillment of the Requirements

For the Degree of

MASTER OF SCIENCE  
WITH A MAJOR IN MEDICAL PHARMACOLOGY  
PERFUSION

In the Graduate College

THE UNIVERSITY OF ARIZONA

2018

STATEMENT BY AUTHOR

The thesis titled *Microfluidic Point-of-Care Ecarin Based Assays for Monitoring Direct Thrombin Inhibitor Therapy* prepared by *Benjamin Alouidor* has been submitted in partial fulfillment of requirements for a master's degree at the University of Arizona and is deposited in the University Library to be made available to borrowers under rules of the Library.

Brief quotations from this thesis are allowable without special permission, provided that an accurate acknowledgement of the source is made. Requests for permission for extended quotation from or reproduction of this manuscript in whole or in part may be granted by the head of the major department or the Dean of the Graduate College when in his or her judgment the proposed use of the material is in the interests of scholarship. In all other instances, however, permission must be obtained from the author.

SIGNED: *Benjamin Alouidor*

APPROVAL BY THESIS DIRECTOR

This thesis has been approved on the date shown below:

  
\_\_\_\_\_  
*Jeong-Yeol Yoon, Ph.D.*  
*Professor, Biomedical Engineering*

04/24/2018  
Date

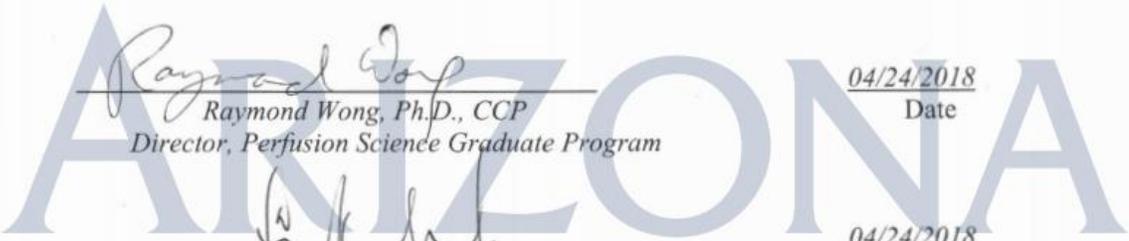


  
\_\_\_\_\_  
*Raymond Wong, Ph.D., CCP*  
*Director, Perfusion Science Graduate Program*

04/24/2018  
Date

  
\_\_\_\_\_  
*Zain Khalpey, Ph.D., MD*  
*Director, Mechanical Circulatory Support*

04/24/2018  
Date



## Table of Contents

List of Figures .....	4
Abstract .....	5
Introduction.....	6
Direct Thrombin Inhibitors (DTIs) as Anti-Coagulants .....	6
Pharmacodynamics & Pharmacokinetics of DTIs .....	7
Clinical Considerations for DTIs .....	8
Conventional Testing for DTI Based Therapy.....	9
Ecarin Clotting Time (ECT) and Ecarin Chromogenic Assay (ECA).....	11
Paper Based POC Tests .....	13
Hypothesis and Specific Aims .....	14
Hypothesis.....	14
Specific Aims:.....	14
Materials and Methods.....	15
Specimen Collection .....	15
Paper Microfluidic Chip Fabrication .....	15
Solution Preparation.....	16
Ecarin Clotting Test (ECT) Procedure.....	17
Ecarin Chromogenic Assay (ECA) Procedure.....	18
Data Analysis .....	19
Results.....	20
Discussion .....	28
Clinical Translation.....	30
Appendices.....	32
References .....	34

## List of Figures

FIGURE 1 .....	10
FIGURE 2 .....	12
FIGURE 3 .....	12
FIGURE 4 .....	16
FIGURE 5 .....	18
FIGURE 6 .....	20
FIGURE 7 .....	22
FIGURE 8 .....	24
FIGURE 9 .....	26
FIGURE 10 .....	27

## Abstract

Direct thrombin inhibitors (DTIs), such as dabigatran, have maintained steady outpatient use due to their high oral bioavailability and relatively safe “on-therapy” range. The creation of new intravenous antibody reversal agents, like Idarucizumab, have renewed DTI monitoring interests. Current clinical methods within the United States lack the capacity to quantify DTI concentrations across wide ranges. At present, the international gold standard in quantifying DTI therapeutic thresholds is the ecarin clotting time (ECT). The linear prolongation of the ECT is directly proportional to specific DTI concentrations and inversely proportional to absorbance. This work focused on the development of a microfluidic paper analytic device ( $\mu$ PAD) that can quantify DTI concentration within a patient’s whole blood sample. Capillary action propels a small blood sample to flow through the nitrocellulose paper channels. Digital images of sample migration are then automatically captured by our self-coded Raspberry Pi and/or the Samsung Galaxy S8 smartphone camera. Commercial wax printers were used to create these disposable low-cost  $\mu$ PAD chips. Both the flow length and the blue absorbance from the plasma front on the  $\mu$ PAD were measured, allowing simultaneous, dual assay of ecarin clotting test (ECT) and ecarin chromogenic assay (ECA). Statistically significant ( $p < 0.05$ ) changes in flow and absorbance were observed within our translational research study. Currently there are no quantitative commercially available point of care (POC) tests for the ECT within the U.S. Implementation of the ECT will differentiate between true suprathreshold incidents and limit the unwarranted use of reversal agents. Additionally, DTIs have proved useful in maintaining anticoagulation during mechanical circulatory support in heparin induced cytopenia (HIT) patients. Our hopes are that our device will provide

caregivers and patients the tools to monitor DTI therapy within both in and/or outpatient settings.

## **Introduction**

### **Direct Thrombin Inhibitors (DTIs) as Anti-Coagulants**

The coagulation pathway has intrinsic and extrinsic origins that converge on the Stuart-Prower Factor (Factor X). Factor X complexes with Factor V to form the prothrombinase complex, which is responsible for cleaving Factor II (prothrombin) into its active form thrombin (17). Thrombin's hallmark feature encompasses platelet stimulation, the enzymatic conversion of fibrinogen to fibrin, and its ability to activate the clot stabilizer Factor XIII. Several key ancillary functions involve a positive feedback mechanism which stimulates Factor V, Factor VIII, and Factor XI (5).

Direct thrombin inhibitors (DTIs) are novel anticoagulants which do not require cofactors to achieve blood thinning. Hirudin, the most potent DTI, was first isolated from the salivary glands of medicinal leeches. These drugs produce a state of reduced hemostasis which decreases the occurrence of thrombotic emboli. DTIs' mechanism of action is made through the allosteric inhibition of thrombin, thus preventing fibrin generation (16). Traditional therapeutics, such as heparin, requires the cofactor antithrombin and can only achieve 20-40% thrombin inhibition whereas DTIs attain 70% inhibition. DTIs' enhanced ability to inhibit thrombin, in comparison to heparin, is due to thrombin's enzymatic structure. Thrombin has one active (or enzymatic) site and two exosites; anion-binding exosite II is known as the heparin binding domain whereas

exosite I binds fibrinogen (7). Heparin and other low-molecular-weight heparins form a heparin-antithrombin-thrombin complex. The heparin-antithrombin complex has reduced affinity to pre-existing fibrin-bound thrombin. This is problematic because thrombin upregulates its own release due to positive feedback loops. DTIs however can inhibit free, fibrin-bound, or even heparin-bound thrombin. Given thrombin's role as a potent platelet activator, DTIs also increase anticoagulation by indirectly inhibiting platelet aggregation (23).

Univalent DTIs include dabigatran, ximelagatran, inogatran, and argatroban. Univalent DTIs inhibit thrombin by blocking its active site. Bivalent DTIs, such as bivalirudin, hirudin, and lepirudin, block both thrombin's active and fibrinogen binding site. These bivalent anticoagulants form irreversible 1:1 complexes with thrombin (18). However, bivalirudin's anticoagulant effects are transient due to thrombin's ability to cleave bivalirudin.

### **Pharmacodynamics & Pharmacokinetics of DTIs**

Major adverse events of DTI therapy, such as intracerebral bleeding, can occur through interactions with other drugs. Recombinant hirudins, desirudin and lepirudin, have 10x reduced affinity to thrombin but are the strongest clinically available DTIs (28). Their primary route of elimination is renal clearance with the remainder removed with bile. Bivalirudin and univalent DTIs undergo hepatic proteolysis with 20% renal clearance. Prolonged exposure to ximelagatran has been linked to small incidents of hepatotoxicity, which lead to FDA failure of regulatory approval. However, most DTIs' hepatotoxicity is rather minimal, since *in vivo* studies have confirmed little to no

reactivity of DTIs with cytochrome P450 proteins (31), which activate or breakdown pharmacological compounds during hepatic metabolism. DTIs' little reactivity with cytochrome P450 proteins reduces the incidence of drug-drug interactions that occurs during CYP receptor modulation; i.e. CYP stimulation or inhibition influences drug clearance. Nonetheless, decreased elimination of DTIs can occur by inhibiting the permeable glycoprotein (P-gp) transport system (2). Dabigatran for instance, can experience decreased elimination when paired with antiarrhythmic ion channel blockers, such as verapamil or quinidine, given the P-gp systems similarity to ion channels (15).

### **Clinical Considerations for DTIs**

DTIs have gained increased use in heparin induced thrombocytopenia (HIT) and within extracorporeal membrane oxygenation (ECMO) device patients. HIT occurs due to cross-reactive platelet autoantibodies responding to heparin binding with platelet factor 4 (12). Lepirudin is an alternative anticoagulant therapy following the diagnosis of heparin induced thrombocytopenia with thrombosis (HITT). Thrombosis can occur once activated platelets release aggregation mediators. Note that 40% of HIT patients can develop hirudin antibodies post lepirudin treatment (10). Lepirudin immune complex accumulation will lead to decreased renal excretion and subsequently increased toxicity.

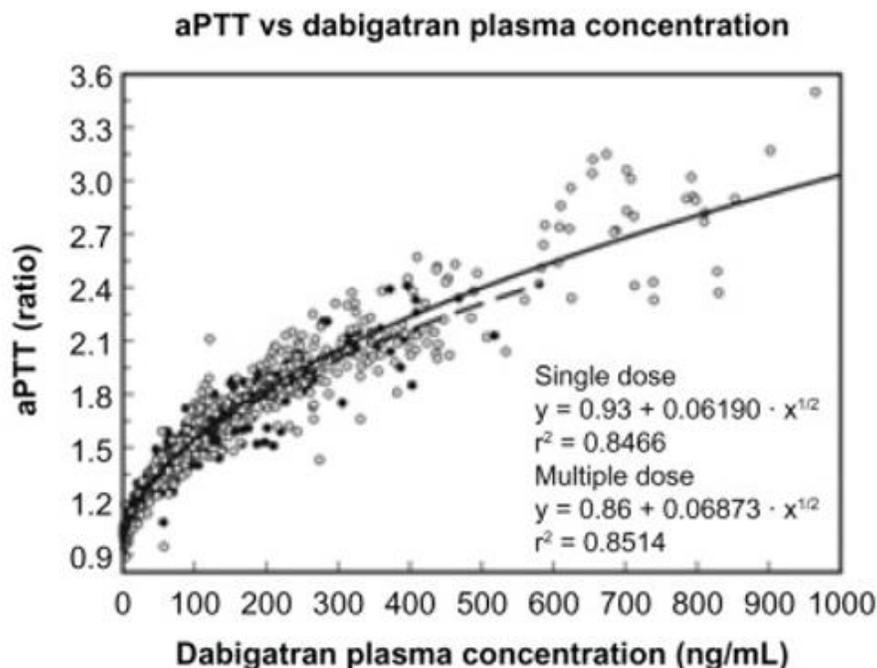
Bivalirudin (administered intravenously) has been shown to decrease percutaneous coronary intervention (PCI) morbidity when compared to heparin (6). Thrombin's ability to cleave bivalirudin paired with its short half-life can reduce adverse events of bleeding. Prospective studies involving bivalirudin's use with mechanical circulatory support (MCS) devices remains limited. Retrospective reports indicate

bivalirudin as a viable alternative to heparin, but large variability exist between centers in terms of target activated clotting time (ACT) and bivalirudin loading and infusion dose (1, 25).

Dabigatran's (administered orally) wide therapeutic range combined with little food and drug interactions has improved its outpatient therapeutic indications. Dabigatran has been used to prevent deep vein thrombosis, pulmonary embolism, and strokes in non-valvular atrial fibrillation (3). Though considered relatively safe, supratherapeutic incidents can occur. Quantification of the patients' response to dabigatran therapy can prophylactically detect and/or enable precise 1:1 reversal of these incidents. Point-of-care (POC) DTI testing could be instrumental before surgery, during bridging of anticoagulant therapies, or when gauging both sub or supratherapeutic DTI levels.

### **Conventional Testing for DTI Based Therapy**

Hospitals within the United States have traditionally used the plasma-based activated partial thromboplastin time (aPTT) and the whole blood-based activated clotting time (ACT) to monitor the extent of blood coagulation during DTI based therapy. Though these assays are effective in monitoring heparin levels, they lack sensitivity to intravenous (bivalirudin) and oral (dabigatran) DTI anticoagulants (29). The aPTT remains curvilinear throughout a wide range of dabigatran concentrations; whereas the ACT is only linear up to 250 ng/mL dabigatran (Figure 1).



**Figure 1.** Dabigatran plasma concentration vs. aPTT. Reproduced from (11) with permission, © 2013 Dove Medical Press Ltd.

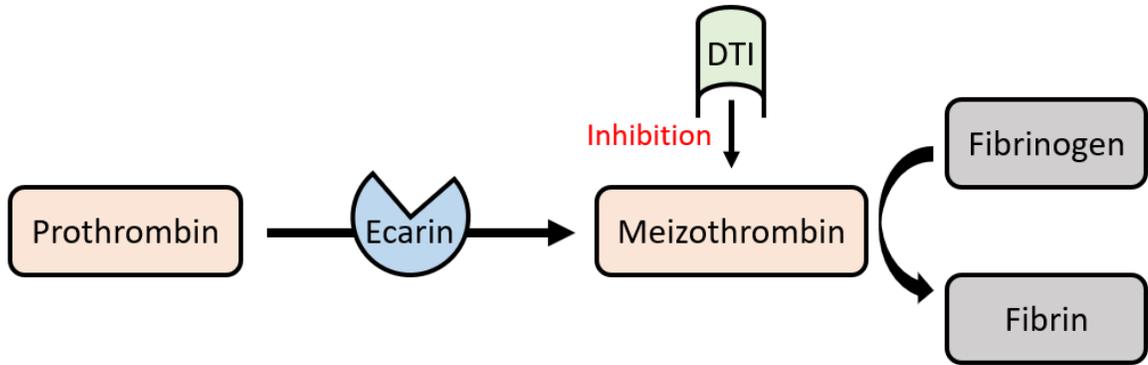
The prothrombin time (PT), an alternative measure for quantifying blood coagulation, primarily measures plasma Factor VII activation in the presence of Tissue Factor. These extrinsic factors are traditionally used to monitor warfarin therapy. An international normalized ratio (INR) is used to standardize the PT given Tissue Factor reagent variation in international sensitivity indices (ISI);  $INR = (PT_{\text{patient}} / PT_{\text{control}})^{ISI}$ . Again, dabigatran concentrations were found to correlate poorly to the PT/INR assay following orthopedic surgery (27).

Haemonetics (Braintree, MA) recently released the TEG 6s® POC analyzer and utilizes resonance technology to measure platelet activity and the extrinsic & intrinsic factors capacity to form clots. Clot strength is determined by exposing the specimen to a fixed vibrational frequency (14). Blood meniscus motion is then captured using a light-emitting diode (LED); weak clots will exhibit lower resonant frequencies and lower TEG

readouts. Given the lack of domestic POC DTI testing platforms, Haemonetics added a prototypical ecarin based cartridge for qualitative detection (8). The commercially unreleased cartridge can only distinguish between the presence of DTIs or direct Factor Xa inhibitors; it cannot provide quantitative assessments. Similarly, the thrombin time (a measure of thrombin activity) cannot reliably differentiate between DTI concentrations and should only be used to indicate the presence of drug (4).

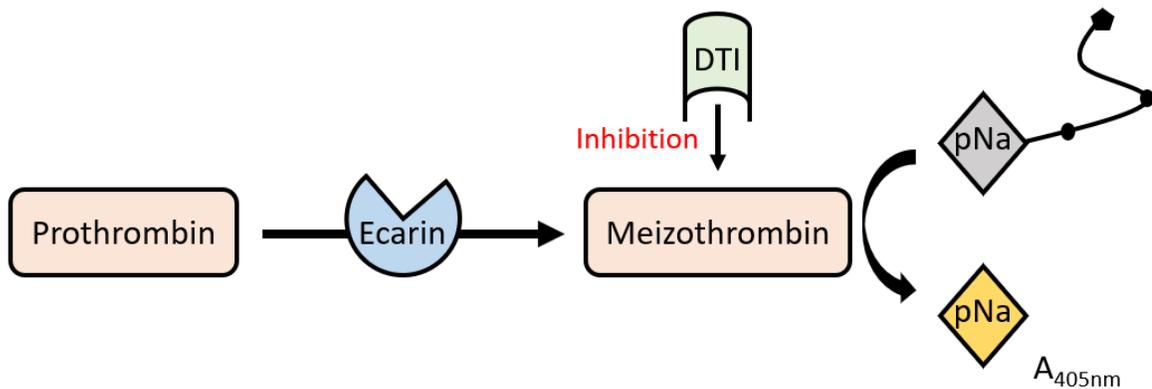
### **Ecarin Clotting Time (ECT) and Ecarin Chromogenic Assay (ECA)**

In contrast to the above mentioned POC tests, the ecarin clotting time (ECT) can provide a linear response when monitoring DTI therapy. Ecarin is a metalloprotease enzyme derived from Indian saw-scaled viper venom. Ecarin cleaves prothrombin's Arg<sup>320</sup> peptide bond at the alanine-arginine-aspartic acid (ARG) peptide motif yielding, the thrombin intermediate, meizothrombin. Meizothrombin has up to 97% thrombin activity (compared to 10% without ecarin), converts fibrinogen to fibrin, and is inhibited by DTIs; thus, conventional coagulation analyzers can quantify its capacity to form clots (22). Note that low levels of prothrombin or hypofibrinogenemia will cause falsely elevated clotting times unproportionate to DTI concentrations (Figure 2).



**Figure 2.** Ecarin clotting time (ECT).

The ecarin chromogenic assay (ECA) can also quantify DTI concentrations. A fixed amount of ecarin and p-nitroaniline (pNa) is added to a patient's plasma sample. The pNa chromophore is commonly linked to a thrombin specific peptide substrate when determining thrombin activity. Absorbance at 405 nm should decrease as DTI concentration increases (26) (Figure 3). Given the oxyhemoglobin's and methemoglobin's peak absorption at 415 nm and 405 nm, respectively, the ECA is performed on plasma to avoid red cell interference (9). ECA assays are mainly affected by hypothyroidism.



**Figure 3.** Ecarin chromogenic assay (ECA).

## **Paper Based POC Tests**

Though conventional hemostasis analyzers could be used to monitor coagulation status, novel paper-based methods have proven effective. Previous efforts used a paper-based lateral flow assay (LFA) device to visualize blood coagulation in a POC setting. Sodium citrate anticoagulated animal blood was reversed with fixed concentrations of  $\text{CaCl}_2$ ; decreased distance traveled correlated with restored hemostasis (19). Nitrocellulose paper (negatively charged) has emerged as the preferred coagulative LFA substrate given its unique characteristics in resisting cell adhesion and protein fouling. The electronegative environment of nitrocellulose fibers mimics the exposed phospholipid surface, which prevents platelet aggregation and subsequent coagulation (24).

Microfluidic paper analytical devices ( $\mu\text{PADs}$ ), more sophisticated and multi-channel versions of LFAs, are even more advantageous given small volume requirements, low operative costs, and design versatility. These paper-based lab-on-a-chip (LOC) platforms have gained increased clinical use as diagnostic tools when paired with unique biomarkers (30). Smartphone based imaging has advanced LOC devices as well as paper based POC tests (LFA and  $\mu\text{PAD}$ ) due to its wide availability, as well as its ability to compute, display, and collect data (13). Smartphones also contain a white LED flash, which could be used for various optical sensing (21). Pairing smartphones' robust features with microfluidics can create inexpensive POC testing devices which could rapidly detect endpoints of interest.

## Hypothesis and Specific Aims

### Hypothesis

Our aim is to develop an ecarin based microfluidic paper analytic device ( $\mu$ PAD) that can quantify the DTI concentration within a patient's whole blood sample. We hypothesize that increased DTI concentrations will I) increase the distance of sample flow and II) decrease the rate of chromogenic substrate cleavage in the fixed presence of ecarin and chromophore. Effective application will provide a quantitative paper-based method to monitor DTI therapy within inpatient and/or outpatient settings.

### Specific Aims:

- I. Design, construct, and test a prototypical microfluidic paper analytic device ( $\mu$ PAD) for DTI quantification in whole blood.
  - a. Clinically relevant concentrations of DTI agents (dabigatran and bivalirudin) will be added to sodium citrated whole blood, then used to monitor hemostasis after ecarin activation.
  - b. Whole blood will be separated through paper fibers, generating plasma front and red blood cell (RBC) front.
- II. Design, construct and test a user-friendly touchscreen device to capture and interpret  $\mu$ PAD data.
  - a. Flow characteristics (length of plasma front at given time) will be recorded via Raspberry Pi and/or Smartphone technology and correlated to ecarin clotting time (ECT).

- b. Chromogenic substrate cleavage will be quantified within the plasma front using smartphone (Android and iOS) imaging and correlated to ecarin chromogenic assay (ECA).
- III. Optimization of assay by varying incubation time and ecarin concentration.
- IV. Verification of data collected using analytical statistical tests.

## **Materials and Methods**

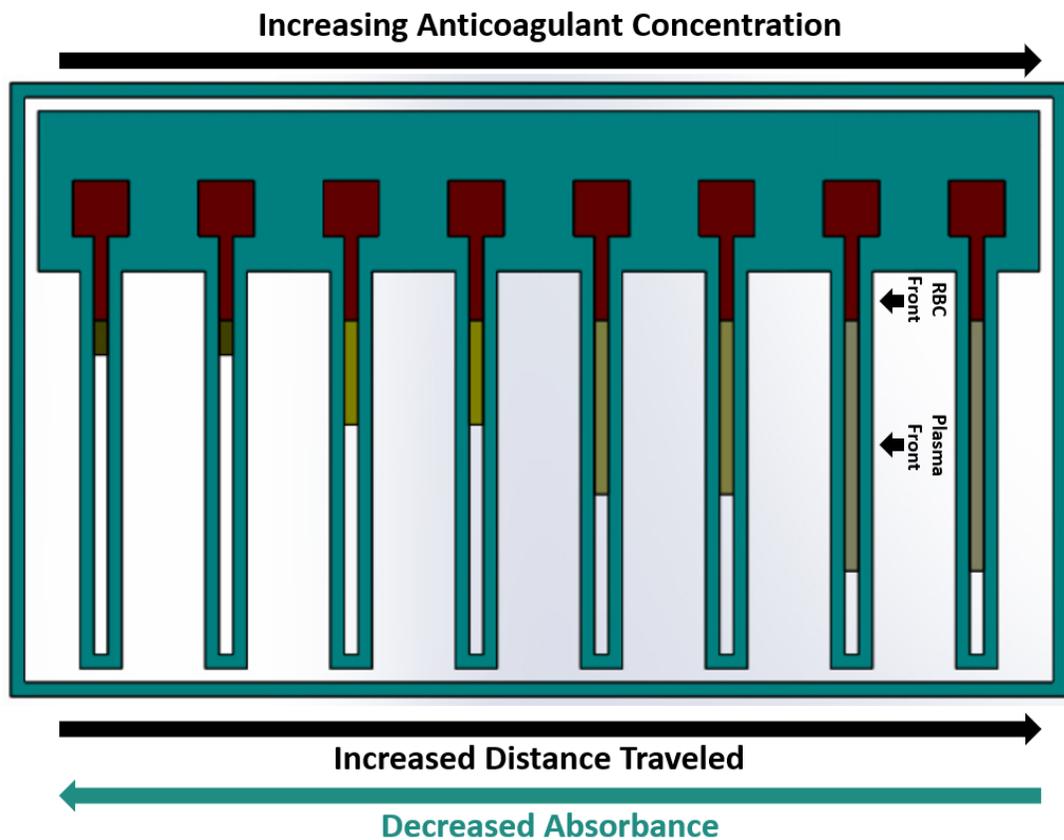
### **Specimen Collection**

Sodium citrated human whole blood specimens were initially purchased from commercial vendors. Fresh whole blood was then collected from human volunteers per University of Arizona's IRB amended protocol 1612094853. Specimens were collected by a licensed Medical Laboratory Scientist and stored in 1:10 volume 0.129 M sodium citrated vacutainers prior to testing. Unfiltered human sodium citrated plasma was purchased in bulk. Plasma specimens were placed in 2 mL aliquots and stored frozen at  $-40^{\circ}\text{C}$ . Frozen plasma specimens were thawed in a  $37^{\circ}\text{C}$  dry incubator for 15 minutes before analyses.

### **Paper Microfluidic Chip Fabrication**

Millipore HF075 (Millipore Sigma, Billerica, MA) nitrocellulose membranes were used to fabricate the paper-based chips in this study. HF075 has a capillary water flow rate of 75 seconds per 4 cm. SolidWorks (Dassault Systèmes, Vélizy-Villacoublay, France) engineering software was used to design 8 channel  $\mu\text{PAD}$  chips. Sample loading

zones are 4 x 4 mm with perpendicular 1 mm wide x 30 mm long travel channels. Channels were partitioned with hydrophobic wax ink and printed using a Xerox ColorQube 8580 solid wax color printer (Norwalk, CT). Wax ink RGB values are 0, 255, and 255 respectively; zero red intensity is used to distinguish between the presence and absence of blood. The hydrophobic wax layer was imbedded into the  $\mu$ PAD chip via hotplate (150 seconds at  $\sim 130^{\circ}\text{C}$ ). G041 glass fiber conjugate pad sheets (Millipore Sigma) were cut into 4 x 4 mm segments and used as sample incubation pads.



**Figure 4.**  $\mu$ PAD ECT/ECA chip.

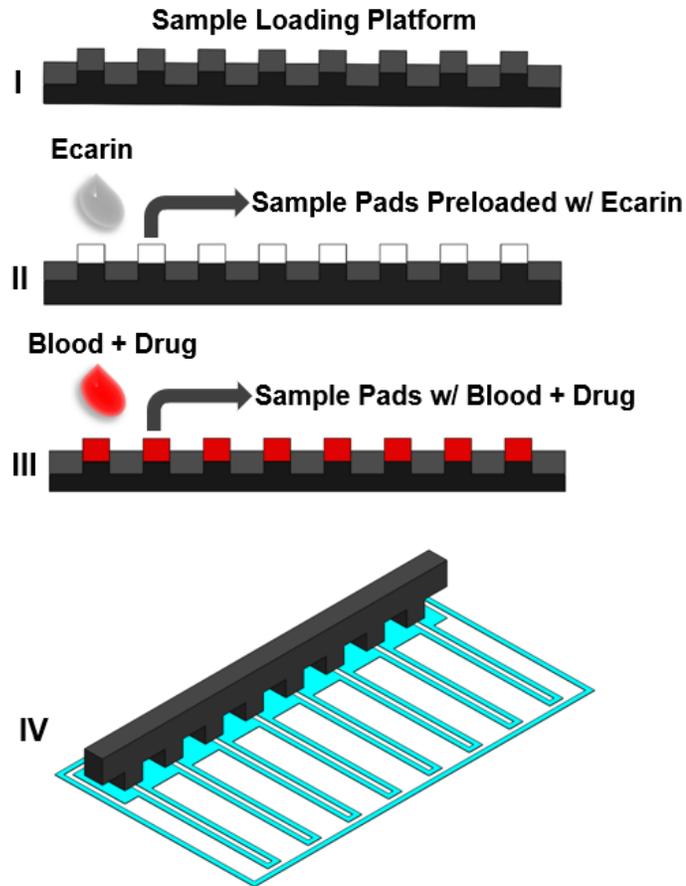
### **Solution Preparation**

Given its physiological similarity to human plasma, Plasma-Lyte A (Baxter Healthcare Corporation) was used to dilute DTI drugs: bivalirudin (Sigma Aldrich) from

0, 7, 14, to 21  $\mu\text{g}/\text{mL}$  and dabigatran (TargetMol, Boston, MA) from 0, 200, 400, to 600  $\text{ng}/\text{mL}$ . Ecarin (Sigma Aldrich) was reconstituted in 1x tris-buffered saline to a final concentration of 5 EU/mL; aliquots were stored at  $-20^{\circ}\text{C}$ . Pefachrome Thrombin (H-D-Cyclohexylglycine-Ala-Arg-pNA  $\cdot$  2AcOH) (5-Diagnostics, Independence, MO) was diluted with Plasma-Lyte to a working solution of 0.5 mM.

### **Ecarin Clotting Test (ECT) Procedure**

Fiber glass sample pads were housed on a 3D printed stamper platform as shown in Figure 5. After thawing at room temperature, 5  $\mu\text{L}$  of 5 EU/mL ecarin is preloaded onto the sample pads. After blood collection, whole blood is aliquoted into an 8 well plate and spiked with fixed amounts of DTIs. A multichannel pipette (ThermoFisher Scientific, Waltham, MA) transfers 5  $\mu\text{L}$  of the anticoagulated blood onto the sample pads. Subsequently after a 2-minute room temperature incubation, the stamper platform is flipped onto the  $\mu\text{PAD}$ 's specimen loading zone. Figure 5 illustrates the ECT microfluidic assay procedure.



**Figure 5.** Ecarin clotting test on  $\mu$ PAD.

A custom coded Raspberry Pi 3 was retrofitted with an 8-megapixel Raspberry Pi Camera Module V2 and a touchscreen 7" display. The Pi automatically takes pictures at set time intervals (10, 20, 30, 40, 50, 60, 120, and 180 s). Images were also taken manually with the Samsung Galaxy S8 12-megapixel rear camera.

### **Ecarin Chromogenic Assay (ECA) Procedure**

Conditions are similar to Figure 5 except:

- I) 1.75  $\mu$ L of 4 mM pNa is preloaded together with 5 EU/mL ecarin.

- II) A 3-minute room temperature incubation on a stamper after loading blood samples.

In preliminary studies to establish a positive control, unfiltered human plasma was analyzed using the ecarin chromogenic assay on a 96 well plate that contained the plasma reaction mixture (plasma + pNa + ecarin, with varying concentrations of bivalirudin or dabigatran). Reflectance at 405 nm was measured using a premium grade fiber optic reflection probe (Ocean Optics, Dunedin, FL) that was connected to a USB4000 miniature spectrophotometer (Ocean Optics). Ocean View V.1.6.7 software (Ocean Optics) was used to obtain and analyze the spectra from the miniature spectrophotometer. Reflectance was also measured using the Samsung Galaxy S8 (Suwon, South Korea) 12-megapixel rear camera in lieu of a miniature spectrophotometer.

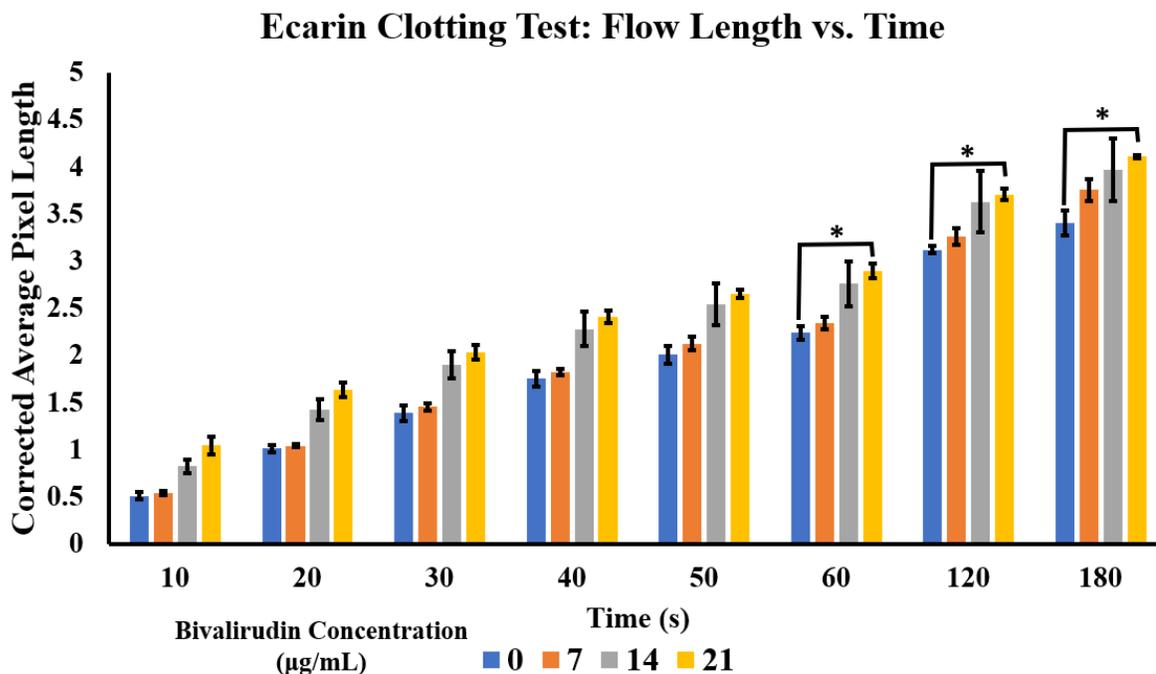
Following these positive control experiments, the images were collected from the above mentioned  $\mu$ PADs with LED excitation and imaging by Raspberry Pi or smartphone (Galaxy S8). The plasma front areas were analyzed as on the ECA.

### **Data Analysis**

Images taken from the Raspberry Pi or Samsung Galaxy S8 were analyzed using the National Institutes of Health (NIH)'s ImageJ software. ECT images were divided into red, green, and blue (RGB) components with a focus on blue pixels. The average length from the loading zone to the end of plasma front was used as distance traveled at given time point. The reliable length of the hydrophobic wax channel was used as a correction factor to correct for chip tilt or rotation. Similarly, ECA images were separated and the blue components were used. The average intensity from the RBC front to the end of

plasma front, i.e., average intensity from the separated plasma, was determined within a fixed area. Absorbance ( $A$ ) was obtained by comparing measured intensity ( $I$ ) to our reference sample where DTI concentration is zero ( $I_0$ ), using the definition  $A = \log(I_0 / I)$ .

## Results

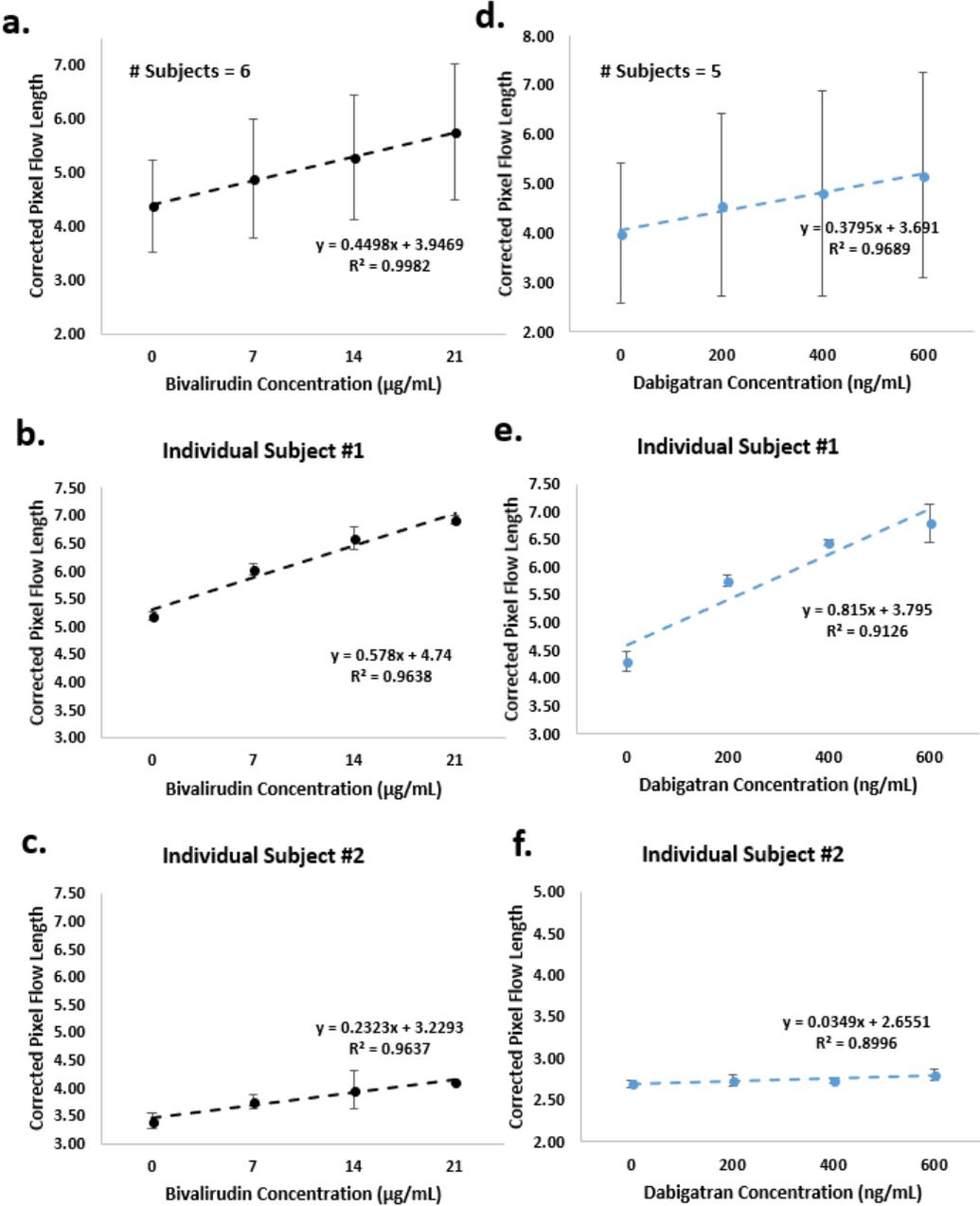


**Figure 6.** Ecarin clotting test (ECT) on  $\mu$ PAD: plot of flow length vs. time with varied bivalirudin concentration. Assay performed in duplicate. Error bars represent standard mean error.

The flow lengths from the inlet to the end of plasma front on each  $\mu$ PAD channel were analyzed automatically using Raspberry Pi system and software. The corrected flow lengths were plotted against time with varying bivalirudin concentrations (Figure 6; 0, 7, 14, and 21  $\mu$ g/mL). All data show the expected linear increase in distance traveled over time and bivalirudin concentrations. The multiple time data points display that the

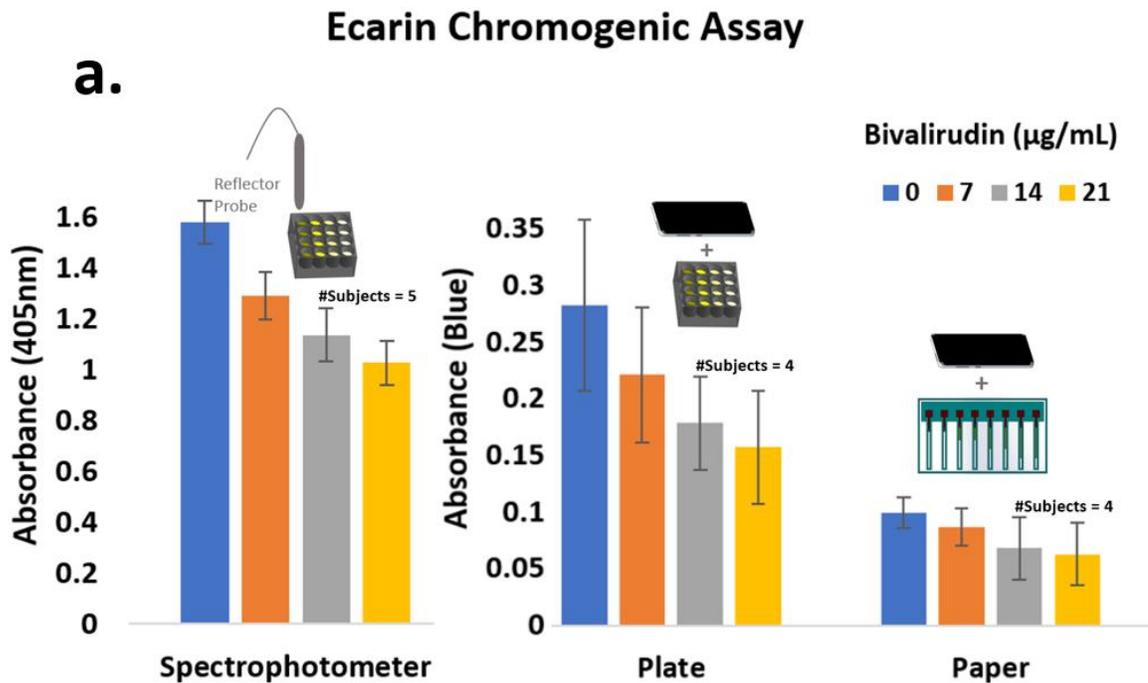
increasing trend begins at 10 s (although this time point is not reliable between subjects) and becomes more pronounced over time. Regression analysis (ANOVA) confirms the significance of the increasing trend ( $p < 0.05$ ) at 60, 120, and 180 s (indicated with \*), indicating that the minimum assay time was 60 s (= 1 min).

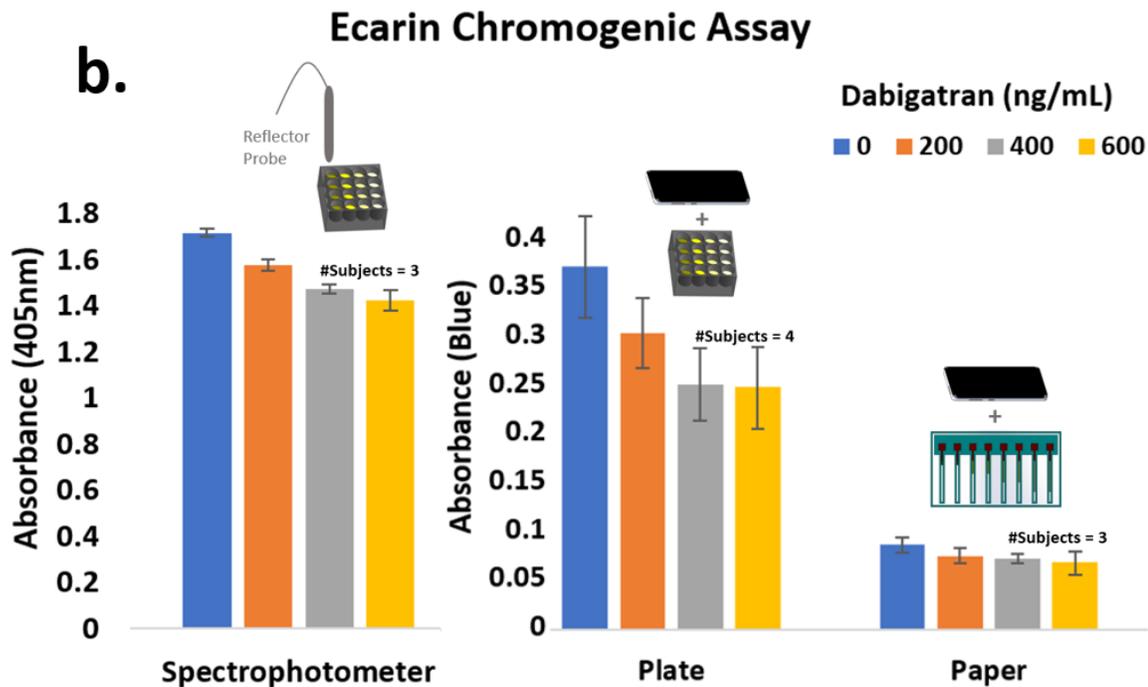
**Ecarin Clotting Test:  
Flow Length vs DTI Concentration at 180s**



**Figure 7.** Cumulative ECT data as evaluated by the flow length on  $\mu\text{PAD}$  at 180 s, with varied concentrations of bivalirudin and dabigatran. Assay performed in duplicate for each subject.

The flow lengths from the inlet to the end of plasma front on each  $\mu$ PAD channel were analyzed either automatically using Raspberry Pi system and software, or manually from images collected using a Samsung Galaxy S8. Figure 7 shows the flow length data at 180 s, plotted against bivalirudin (A) or dabigatran (B) concentration, again showing the expected increasing trend. Grouping all the patients together increased standard error because each subject responded differently to DTIs. Flow lengths from two specific subjects (#1 and #2) are separated plotted in Figure 7B-F, which confirms this variation with subject #2's almost flat response to DTI presence. Analyzing each subject individually decreased standard error and ANOVA analysis confirmed the statistical significance (B and E:  $p < 0.001$ ; C:  $p < 0.05$ ). All subjects' linearity is further confirmed with  $R^2$  values; B – 0.9638, C – 0.9637, D – 0.9126, and F – 0.8996.



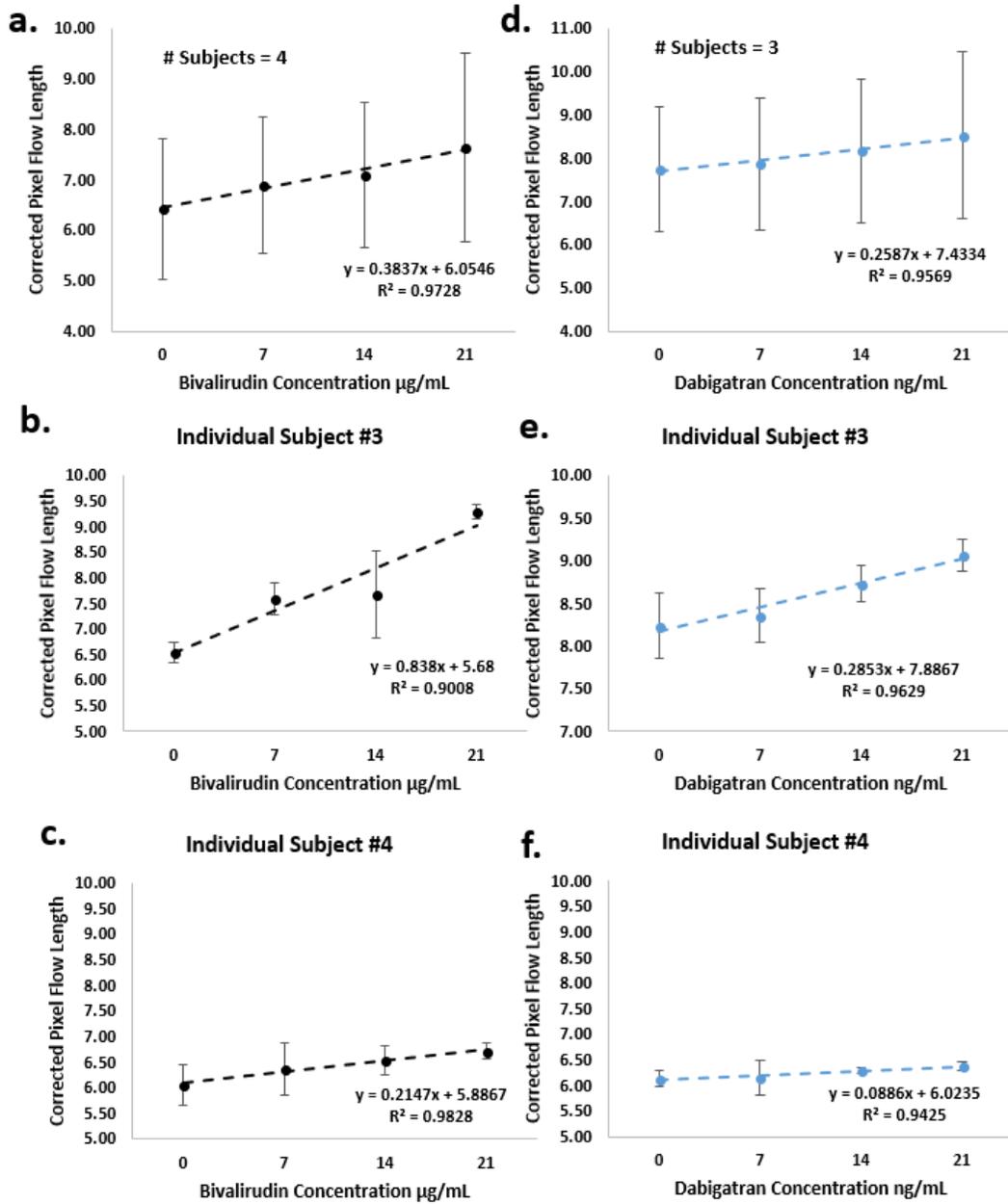


**Figure 8.** Ecarin chromogenic assay (ECA) with varied concentrations of bivalirudin and dabigatran on 96 well plate (using plasma) or  $\mu$ PAD (using whole blood), using either a reflection probe or a smartphone. Well-based assays performed in triplicate for each subject; paper analysis performed in duplicate for each subject.

Ecarin chromogenic assays (ECAs) were performed for I) the plasma solutions in a 96 well plate using a reflection probe and a miniature spectrophotometer, II) the same using a smartphone, and III) whole blood on a  $\mu$ PAD using a smartphone (Figure 8). The highest absorbance values were obtained from the plasma solutions in a 96 well plate using a reflection probe and a miniature spectrophotometer. Linear regression analysis highlights the expected decrease of bivalirudin and dabigatran concentrations ( $p < 0.001$ ). T-test analyses (Appendix A-b) show that we can differentiate between the presence and absence of drug and between higher drug concentrations ( $p < 0.001$ ). Next, we substituted

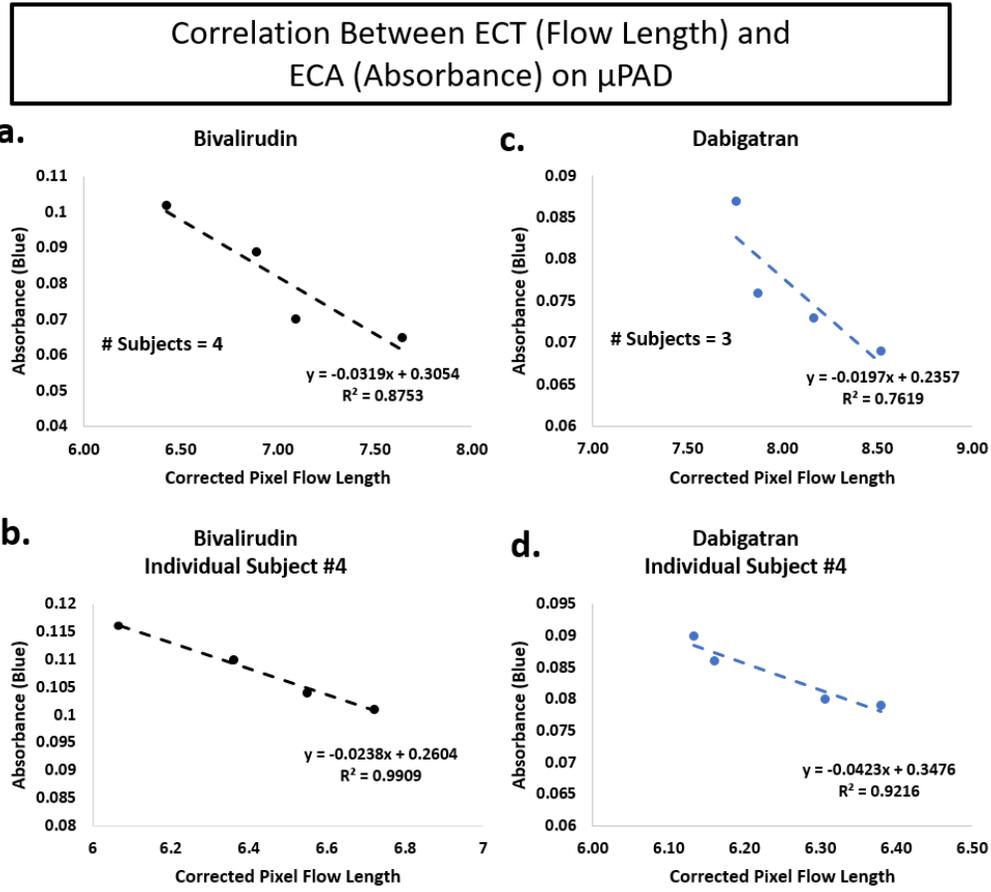
the expensive reflection probe and the miniature spectrophotometer with a smartphone and took pictures of the plasma reaction solutions in a 96 well plate. Similarly, ANOVA analyses were consistent ( $p < 0.001$ ) and we could distinguish from the negative control ( $p < 0.05$ ). Bivalirudin assays had trouble discriminating between 7 vs. 14  $\mu\text{g/mL}$  whereas dabigatran assays could not distinguish between 400 vs. 600  $\text{ng/mL}$  (Appendix A-c). Lastly, we used whole blood,  $\mu\text{PAD}$  and a smartphone to detect the change in absorbance within the  $\mu\text{PAD}$ 's plasma front (where there are no RBCs). Bivalirudin and dabigatran data displayed a decrease in linear regression that is statistically significant ( $p < 0.05$ ). Though we could detect the absence of drug (Appendix A-b), there was a further decrease in sensitivity with higher drug dosages (Appendix A-c).

Ecarin Clotting Test w/ Chromophore:  
Flow Length vs DTI Concentration at 120s



**Figure 9.** Cumulative ECT data with chromophore (ecarin) as evaluated by the flow length on  $\mu\text{PAD}$  at 120 s, with varied concentrations of bivalirudin and dabigatran. Assay performed in duplicate for each subject.

The flow lengths from the inlet to the end of plasma front on each  $\mu$ PAD channel were analyzed manually from images collected using a Samsung Galaxy S8. ECTs analyses were repeated using the whole blood added with ecarin, and the results are shown in Figure 9, showing almost identical trends as those shown in Figure 7. Subject #3's response to bivalirudin and dabigatran was significant and confirmed via ANOVA regression analysis ( $p < 0.05$ ). Likewise, standard error decreased when analyzing individual subjects.



**Figure 10.** Correlation between ECT (as measure by the flow length, derived from Figure 9 data) and ECA (as measured by blue absorbance, derived from Figure 8 data) on the same  $\mu$ PAD.

Correlation between ECT and ECA from the same  $\mu$ PAD assay of whole blood was attempted in this analysis. Next, the blue absorbance readings from  $\mu$ PAD, taken from Figure 8 data representing ECA, were plotted against the flow lengths from  $\mu$ PAD, taken from Figure 9 data representing ECT (Figure 10). As expected, increasing drug concentration increased flow length and decreased absorbance. The expected trend is confirmed with  $R^2$  values; A – 0.8753, B – 0.9909, C – 0.7619, and D – 0.9216.

## Discussion

Thrombosis prevention is a fine balance between inhibiting hypercoagulability, stasis, and vessel wall injury. Direct thrombin inhibitors promote a hypocoagulopathic state by inhibiting the common pathway via Factor IIa. DTIs are more efficacious than other anti-coagulants (heparin and warfarin) given its higher capacity for inhibiting both free and bound thrombin, relatively safe pharmacological profile, and no need for cofactors. Unfortunately, the available and required DTI concentrations in plasma vary greatly by each patient's clinical state. Our study involved the development of point-of-care (POC) prototypical devices for monitoring changes in blood and plasma when exposed to DTIs. We hypothesized that increased DTI concentration will lead to decreased coagulation; thus, increased distance traveled in ECT. We also theorized that lower DTI concentrations will provide a detectable increase in chromophore (pNa) cleavage in ECA. With these goals, we designed a novel paper microfluidic analytical device ( $\mu$ PAD) with the ability to quantify bivalirudin and dabigatran concentrations from various human subjects and their effects on the extent of coagulation.

As expected, the ECT length-based analyses correlated with increased DTI concentration. The use of paper microfluidics allowed us to perform the ECA without centrifuging the blood samples. Paired with a Raspberry Pi device or a smartphone, these innovations decrease assay time, reduce specimen volume requirements, and improve the POC practicality of ecarin based testing. Our POC ECA assay cannot differentiate between higher drug concentrations. The decrease in sensitivity could be due to our use of the blue pixels (400-500 nm) of a smartphone or Raspberry Pi camera under ambient lighting conditions, instead of exactly at 405 nm to detect our chromophore. Additionally, red blood cell (RBC) or free hemoglobin contamination could explain the decreased sensitivity with whole blood  $\mu$ PAD testing. The fluorophore rhodamine 110 could be utilized to improve the  $\mu$ PAD's sensitivity. Rhodamine 110 emission and excitation spectra are 520 nm and 496 nm, respectively (20). The longer wavelengths will reduce the effects of hemoglobin interference. It will also allow the use of Samsung Galaxy S8's flash instead of using ambient light. Unfortunately, fluorometric analyses would require a band pass filter and increase assay complexity and cost.

A higher-grade nitrocellulose would reduce flow rate which could provide better plasma front separation and decrease RBC contamination. Prefixing ecarin onto our microfluidic chip would decrease blood handling and eliminate the need for sample pads or a sample loading platform. Lastly, introducing fixed concentrations of fibrinogen and/or prothrombin will remove patient specific problems with both ECT and ECA assays; i.e. assays will not be affected by rate-limiting reactants.

Combining both chromogenic and clotting assay can overcome the intrinsic limitations of each assay performed individually. For example, hypofibrinogenemia

would lead to increased distance traveled irrespective of drug concentration but the ECA would yield valid results. If a specimen were hemolyzed, lipemic, or had poor ambient light conditions, ECA assays would be unreliable. Figure 10 combines both length (ECT) and absorbance (ECA) assays performed on the same  $\mu$ PAD and reaffirms our hypothesis; increased drug concentration increases distance traveled but decreases absorbance. This combined Ecarin Clotting and Chromogenic Test (ECCT) has never been implemented before and is thus novel in overcoming the limitations of either assay performed individually.

### **Clinical Translation**

Further optimization is required to improve the sensitivity of our paper-based assays. With increased sensitivity, our methods could identify specific drug concentrations and help physicians titrate drug dosages overtime. Exact quantification could also lead to improved perfusion and ECMO management of HIT patients due to decreased clotting events. Current plasma-based tests (aPTT, PT, etc.) require centrifugation whereas the whole blood ACT becomes curvilinear. Our  $\mu$ PAD assays are linear throughout DTI concentration ranges and provides results without centrifugation. In addition to increasing total sample size and testing other direct thrombin inhibitors, one could code and install a dedicated heater into the Raspberry Pi. ECA and ECT assay validation could confirm superiority versus current DTI testing platforms (ACT, aPTT, etc.). Furthermore, reversing dabigatran's anticoagulation with Idarucizumab will expand our assay's clinical utility. Evaluating efficacy in various disease states, such as

mechanical circulatory support induced hemolysis will be necessary to fully define the limitations of our chromogenic methods.

### **Conclusion**

We have described a novel microfluidic paper-based assay for DTI quantification in patients' whole blood samples. These ecarin based  $\mu$ PAD assays have the potential to quantify patient specific drug levels in a variety of clinical situations. Using only 5  $\mu$ L of whole blood per channel, several assays show statistical significance with a total assay time of 5 minutes. The obtained data suggest we could further decrease assay time and improve sensitivity with further device optimization. Direct thrombin inhibitors are gaining increase use within a myriad of clinical situations. Our efforts lay the groundwork for a viable paper-based method for DTI therapy monitoring.

## Appendices

### a. ANOVA R Squared

Bivalirudin ( $\mu\text{g/ml}$ )	Spec.	0.0000	0.950
	Plate	0.0001	0.957
	Paper	0.0409	0.955

### ANOVA R Squared

Dabigatran ( $\text{ng/ml}$ )	Spec.	0.0000	0.958
	Plate	0.0000	0.891
	Paper	0.0100	0.904

### b.

		ttest		
		0v7	0v14	0v21
Bivalirudin ( $\mu\text{g/ml}$ )	Spec.	0.0000	0.0000	0.0000
	Plate	0.0238	0.0014	0.0001
	Paper	0.0331	0.0065	0.0137

		ttest		
		0v200	0v400	0v600
Dabigatran ( $\text{ng/ml}$ )	Spec.	0.0000	0.0000	0.0000
	Plate	0.0011	0.0000	0.0000
	Paper	0.015	0.003	0.0060

### c.

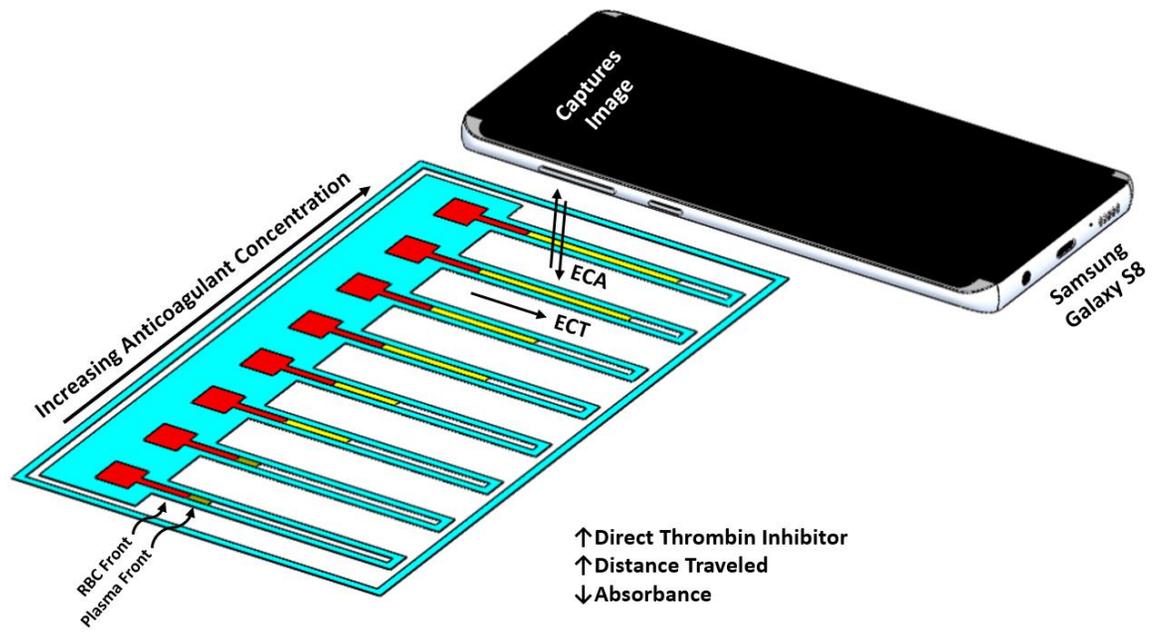
		ttest		
		0v7	7v14	14v21
	Spec.	0.0000	0.0001	0.0020
	Plate	0.0238	0.1178	0.0339
	Paper	0.0331	0.0826	0.5065

		ttest		
		0v200	200v400	400v600
	Spec.	0.0000	0.0000	0.0030
	Plate	0.0011	0.0415	0.0736
	Paper	0.015	0.2396	0.2265

### Legend

$p < 0.001$	$p < 0.05$	$p > 0.05$
-------------	------------	------------

## Appendix A. Ecarin Chromogenic Assay Statistical Analyses



**Appendix B.** μPAD Graphical Representation

## References

1. Berei, T. J., Lillyblad, M. P., Wilson, K. J., Garberich, R. F., & Hryniewicz, K. M. (2017). Evaluation of Systemic Heparin Versus Bivalirudin in Adult Patients: Supported by Extracorporeal Membrane Oxygenation. *ASAIO J*. doi:10.1097/MAT.0000000000000691
2. Celikyurt, I., Meier, C. R., Kühne, M., & Schaer, B. (2017). Safety and Interactions of Direct Oral Anticoagulants with Antiarrhythmic Drugs. *Drug Saf*, 40(11), 1091-1098. doi:10.1007/s40264-017-0567-5
3. Connolly, S. J., Ezekowitz, M. D., Yusuf, S., Eikelboom, J., Oldgren, J., Parekh, A., . . . Investigators, R.-L. S. C. a. (2009). Dabigatran versus warfarin in patients with atrial fibrillation. *N Engl J Med*, 361(12), 1139-1151. doi:10.1056/NEJMoa0905561
4. Curvers, J., van de Kerkhof, D., Stroobants, A. K., van den Dool, E. J., & Scharnhorst, V. (2012). Measuring direct thrombin inhibitors with routine and dedicated coagulation assays: which assay is helpful? *Am J Clin Pathol*, 138(4), 551-558. doi:10.1309/AJCPQOD9WFPEYY0H
5. Davie, E. W., & Kulman, J. D. (2006). An overview of the structure and function of thrombin. *Semin Thromb Hemost*, 32 Suppl 1, 3-15. doi:10.1055/s-2006-939550

6. De Caterina, R. (2009). The current role of anticoagulants in cardiovascular medicine. *J Cardiovasc Med (Hagerstown)*, 10(8), 595-604.  
doi:10.2459/JCM.0b013e32832e490b
7. Di Nisio, M., Middeldorp, S., & Büller, H. R. (2005). Direct thrombin inhibitors. *N Engl J Med*, 353(10), 1028-1040. doi:10.1056/NEJMra044440
8. Dias, J. D., Norem, K., Doorneweerd, D. D., Thurer, R. L., Popovsky, M. A., & Omert, L. A. (2015). Use of Thromboelastography (TEG) for Detection of New Oral Anticoagulants. *Arch Pathol Lab Med*, 139(5), 665-673. doi:10.5858/arpa.2014-0170-OA
9. Duiser, H. J., Roelandse, F. W. C., Lentjes, E. G. W. M., van Loon, J., Souverijn, J. H. M., & Sturk, A. (2001). Iterative Model for the Calculation of Oxyhemoglobin, Methemoglobin, and Bilirubin in Absorbance Spectra of Cerebrospinal Fluid. *Clinical Chemistry*, 47(2), 338-341.
10. Eichler, P., Lubenow, N., Strobel, U., & Greinacher, A. (2004). Antibodies against lepirudin are polyspecific and recognize epitopes on bivalirudin. *Blood*, 103(2), 613-616. doi:10.1182/blood-2003-07-2229
11. Ellis, C. R., & Kaiser, D. W. (2013). The clinical efficacy of dabigatran etexilate for preventing stroke in atrial fibrillation patients. *Vasc Health Risk Manag*, 9, 341-352. doi:10.2147/VHRM.S28271

12. Frame, J. N., Rice, L., Bartholomew, J. R., & Whelton, A. (2010). Rationale and design of the PREVENT-HIT study: a randomized, open-label pilot study to compare desirudin and argatroban in patients with suspected heparin-induced thrombocytopenia with or without thrombosis. *Clin Ther*, 32(4), 626-636. doi:10.1016/j.clinthera.2010.04.012
13. Fronczek, C. F., Park, T. S., Harshman, D. K., Nicolini, A. M., & Yoon, J.-Y. (2014). Paper microfluidic extraction and direct smartphone-based identification of pathogenic nucleic acids from field and clinical samples. *RSC Advances*, 4(22), 11103-11110. doi:10.1039/C3RA47688J
14. Gurbel, P. A., Bliden, K. P., Tantry, U. S., Monroe, A. L., Muresan, A. A., Brunner, N. E., . . . Ereth, M. H. (2016). First report of the point-of-care TEG: A technical validation study of the TEG-6S system. *Platelets*, 27(7), 642-649. doi:10.3109/09537104.2016.1153617
15. Härtter, S., Sennewald, R., Nehmiz, G., & Reilly, P. (2013). Oral bioavailability of dabigatran etexilate (Pradaxa(®) ) after co-medication with verapamil in healthy subjects. *Br J Clin Pharmacol*, 75(4), 1053-1062. doi:10.1111/j.1365-2125.2012.04453.x
16. Kong, Y., Chen, H., Wang, Y. Q., Meng, L., & Wei, J. F. (2014). Direct thrombin inhibitors: patents 2002-2012 (Review). *Mol Med Rep*, 9(5), 1506-1514. doi:10.3892/mmr.2014.2025

17. Krishnaswamy, S. (2013). The transition of prothrombin to thrombin. *J Thromb Haemost, 11 Suppl 1*, 265-276. doi:10.1111/jth.12217
18. Lee, C. J., & Ansell, J. E. (2011). Direct thrombin inhibitors. *Br J Clin Pharmacol*, 72(4), 581-592. doi:10.1111/j.1365-2125.2011.03916.x
19. Li, H., Han, D., Pauletti, G. M., & Steckl, A. J. (2014). Blood coagulation screening using a paper-based microfluidic lateral flow device. *Lab Chip, 14*(20), 4035-4041. doi:10.1039/c4lc00716f
20. Martinez, M. M., Reif, R. D., & Pappas, D. (2010). Early detection of apoptosis in living cells by fluorescence correlation spectroscopy. *Anal Bioanal Chem, 396*(3), 1177-1185. doi:10.1007/s00216-009-3298-3
21. McCracken, K. E., Tat, T., Paz, V., & Yoon, J.-Y. (2017). Smartphone-based fluorescence detection of bisphenol A from water samples. *RSC Advances, 7*(15), 9237-9243. doi:10.1039/C6RA27726H
22. Nowak, G. (2003). The ecarin clotting time, a universal method to quantify direct thrombin inhibitors. *Pathophysiol Haemost Thromb, 33*(4), 173-183. doi:10.1159/000081505
23. Nylander, S., & Mattsson, C. (2003). Thrombin-induced platelet activation and its inhibition by anticoagulants with different modes of action. *Blood Coagul Fibrinolysis, 14*(2), 159-167. doi:10.1097/01.mbc.0000046190.72384.8c

24. Rumbaut, R. E., & Thiagarajan, P. (2010). *Platelet-vessel wall interactions in hemostasis and thrombosis*. In *Synthesis lectures on integrated systems physiology : from molecules to function #4* (pp. 1 online resource (1 PDF file (viii, 67 p. ill)). Retrieved from <http://www.ncbi.nlm.nih.gov/books/NBK53450>
25. Sanfilippo, F., Asmussen, S., Maybauer, D. M., Santonocito, C., Fraser, J. F., Erdoes, G., & Maybauer, M. O. (2017). Bivalirudin for Alternative Anticoagulation in Extracorporeal Membrane Oxygenation: A Systematic Review. *J Intensive Care Med*, 32(5), 312-319. doi:10.1177/0885066616656333
26. Siegmund, R., Boer, K., Poeschel, K., Wolf, G., Deufel, T., & Kiehntopf, M. (2008). Comparison of the ecarin chromogenic assay and different aPTT assays for the measurement of argatroban concentrations in plasma from healthy individuals and from coagulation factor deficient patients. *Thromb Res*, 123(1), 159-165. doi:10.1016/j.thromres.2008.02.013
27. Stangier, J., Rathgen, K., Stähle, H., Gansser, D., & Roth, W. (2007). The pharmacokinetics, pharmacodynamics and tolerability of dabigatran etexilate, a new oral direct thrombin inhibitor, in healthy male subjects. *Br J Clin Pharmacol*, 64(3), 292-303. doi:10.1111/j.1365-2125.2007.02899.x
28. Sun, Z., Lan, X., Li, S., Zhao, H., Tang, Z., & Xi, Y. (2017). Comparisons of argatroban to lepirudin and bivalirudin in the treatment of heparin-induced thrombocytopenia: a systematic review and meta-analysis. *Int J Hematol*, 106(4), 476-483. doi:10.1007/s12185-017-2271-8

29. van Ryn, J., Stangier, J., Haertter, S., Liesenfeld, K. H., Wiene, W., Feuring, M., & Clemens, A. (2010). Dabigatran etexilate--a novel, reversible, oral direct thrombin inhibitor: interpretation of coagulation assays and reversal of anticoagulant activity. *Thromb Haemost*, *103*(6), 1116-1127. doi:10.1160/TH09-11-0758
30. Wu, J., Dong, M., Santos, S., Rigatto, C., Liu, Y., & Lin, F. (2017). Lab-on-a-Chip Platforms for Detection of Cardiovascular Disease and Cancer Biomarkers. *Sensors (Basel)*, *17*(12). doi:10.3390/s17122934
31. Wähländer, K., Eriksson-Lepkowska, M., Frison, L., Fager, G., & Eriksson, U. G. (2003). No influence of mild-to-moderate hepatic impairment on the pharmacokinetics and pharmacodynamics of ximelagatran, an oral direct thrombin inhibitor. *Clin Pharmacokinet*, *42*(8), 755-764. doi:10.2165/00003088-200342080-00004