

1 **Incidence, risk factors, and management of blindness after orbital surgery**

2 Sarah M Jacobs, MD^{1,2}; Colin P McInnis, MD^{1,3}; Matthew Kapeles, MD^{1,4}; Shu-Hong Chang,
3 MD, FACS¹

4

5 ¹Department of Ophthalmology, University of Washington, Seattle, WA, USA

6 ²Department of Ophthalmology, University of Alabama Birmingham, Birmingham, AL, USA

7 ³Department of Ophthalmology and Vision Science, University of Arizona, Tucson, AZ, USA

8 ⁴Department of Ophthalmology, Storm Eye Institute, Medical University of South Carolina,
9 Charleston, SC, USA.

10

11 **Meeting presentation:** None

12 **Financial Support:** None

13 **Conflict of Interest:** No conflicting relationship exists for any author.

14 **Running Head:** Blindness after orbital surgery

15 **Address for reprints:**

16 UAB Callahan Eye Hospital, 700 18th Street South, Suite 410, Birmingham, AL, 35233

17

18

19

20

21

22

23

24

25

26

27

28

29

30 **Abstract**

31 Purpose: Severe vision loss is a risk of orbital surgery which physicians should prepare for and
32 counsel patients about, but the overall risk rate is unknown. This research was conducted to
33 determine the risk of severe vision loss related to surgery within the orbit.

34 Design: Retrospective review

35 Subjects: Patients who underwent orbital surgery at either of two academic medical centers
36 between January 1994 and December 2014.

37 Methods: A billing database search was conducted to identify all patients who had undergone
38 orbital surgery during the study period, cross-checked against diagnostic codes related to vision
39 loss. Charts were screened to determine baseline demographic and medical history, surgical
40 procedure, intra- and perioperative management, and visual acuity. Patients with preoperative
41 visual acuity $\geq 20/200$ that had worsened to $\leq 20/400$ after orbital surgery were included for
42 detailed review. Statistical analysis was conducted to identify factors posing particular risk or
43 benefit to the visual outcome in these cases.

44 Main Outcome Measures: Visual acuity after orbital surgery

45 Results: A total of 1665 patients underwent orbital surgery during the inclusion period, with 14
46 patients sustaining severe vision loss ranging from counting fingers at 1 foot to no light
47 perception (overall risk=0.84%). Etiology of vision loss included retrobulbar hemorrhage,
48 malpositioned implant, optic nerve ischemia, or direct optic nerve insult. When stratified by
49 surgical approach, the risk of a blinding surgical complication was significantly higher for
50 patients undergoing orbital floor repair in the setting of multiple facial fractures (subgroup
51 risk=6.45%), bony decompression of the optic canal (subgroup risk=15.6%), or intracranial
52 approach to the orbital roof (subgroup risk=18.2%). Seven of 8 patients with a potentially-
53 reversible etiology of postoperative vision loss were returned to the operating room urgently, and
54 2 regained substantial vision (20/20 and 20/25). Administration of intravenous corticosteroids
55 had no significant effect on visual acuity outcome.

56 Conclusions: The overall risk of severe vision loss after orbital surgery is 0.84%. The subgroup
57 risk is higher in patients undergoing facial polytrauma repair, optic canal decompression, or
58 orbital apex surgery from an intracranial approach. Close postoperative monitoring and urgent
59 assessment and management of acute vision loss may improve visual outcome in some cases.

60

61

62 Blindness is a devastating complication following orbital surgery, and one that is
63 discussed with patients in the preoperative informed consent process. The overall incidence of
64 blindness due to orbital surgery, however, has not been thoroughly studied. Published case
65 reports describe vision loss occurring after fracture repairs, partial or total removal of orbital
66 mass lesions, and orbital decompression surgeries.¹⁻⁵ A few larger case series have addressed
67 postoperative vision loss rates for specific subpopulations or surgical case types.⁶⁻¹⁰ The reported
68 incidence of postoperative blindness varies from 0-24% in the literature, likely due in part to the
69 different risk profiles of the cases addressed by each series.⁷⁻⁸ The variability in the available
70 literature makes patient selection, operative timing, and preoperative risk counseling difficult.

71 The goal of this study was to assess the incidence rate and risk factors for postoperative
72 vision loss occurring as a complication of orbital surgery, using data accumulated over an
73 extended period of time from a tertiary academic medical center (AMC). As a surgical setting,
74 several institutional features of the AMC may uniquely influence outcomes: multiple specialties
75 operate within the orbit (Ophthalmology, Otolaryngology, Oral Maxillofacial Surgery, Plastic
76 Surgery, Neurosurgery), multiple surgeons within each specialty, and surgeons-in-training
77 (medical students, residents, fellows) are involved in the peri- and intraoperative management.
78 Given the frequent referral of severe traumas and complex cases to AMCs for surgical
79 intervention in addition to the routine cases, a better understanding of the risk of blindness after
80 orbital surgery in this setting would be of value.

81

82 **Methods**

83 Institutional Review Board approval was obtained, and all research was carried out in
84 compliance with HIPAA regulations and in accordance with the Declaration of Helsinki. This

85 was a retrospective chart review study conducted at the University of Washington's two major
86 urban hospitals, one of which is the only Level I trauma center for a 5-state catchment area
87 encompassing Washington, Wyoming, Alaska, Montana and Idaho. Patients seen between
88 January 1994 and December 2014 were eligible for inclusion. The medical center's billing
89 database was searched for Current Procedural Terminology codes for orbitotomy (CPT: 21077,
90 21172, 21175, 21179, 21180, 21182-21184, 21260-21263, 21267, 21268, 21385-21397, 21390,
91 21395, 21400, 31075, 31225, 31292, 31293, 61580, 61581, 67400, 67405, 67412-67414, 67420,
92 67430, 67440, 67445, 67450) and ICD-9 procedure codes (16.09, 16.23, 16.92) to identify
93 patients who had undergone orbital surgery for any indication. These records were subsequently
94 cross-checked with a search of International Classification of Disease-9 codes for vision loss,
95 visual field disturbance, blindness (369.xx), or optic neuropathy (377.xx).

96 The resulting records were screened with chart review to identify patients who had
97 experienced significant visual loss (defined as final visual acuity of $\leq 20/400$ in the affected eye)
98 during the acute or subacute postoperative period (≤ 14 days) after orbitotomy. Patients were
99 excluded if preoperative vision was already $\leq 20/200$ (threshold for legal blindness), or if
100 preoperative vision could not be determined. When a patient's preoperative visual acuity had not
101 been documented in the hospital chart, effort was made to verify baseline visual acuity by other
102 historic means such as records from their private eyecare clinician.

103 Chart review data collected for each patient included demographics, past medical and
104 ocular history, surgical indication, and preoperative ophthalmic exam findings. Intraoperative
105 details of the procedure were extracted, including surgical findings, implants, relevant
106 medication infusions (corticosteroids, antibiotics, osmotics), anticoagulation status, and any
107 surgical or anesthesia complications. Postoperative data included medications, postoperative eye

108 exam (visual acuity, pupils, extraocular motility), and postoperative imaging results. Details of
109 each patient's vision loss were also collected, including time of onset, signs or symptoms leading
110 to diagnosis, and how it was treated medically and/or surgically. The total postoperative follow-
111 up duration and final visual acuity were noted.

112 Descriptive statistics were calculated for demographics, incidence rates, and follow-up
113 duration. Statistical analysis for comparison of subgroup incidence rates was performed with
114 MedCalc for Windows, version 15.1 (MedCalc Software, Ostend, Belgium). Postoperative visual
115 acuity outcomes in steroid versus non-steroid groups were compared with a two-sample T-test.

116

117 **Results**

118 During the period from January 1994 through December 2014, a total of 1665 patients
119 underwent orbitotomy at the University of Washington Medical Center hospitals. Of these, 198
120 patients had been assigned ICD-9 diagnostic codes for optic nerve and/or visual dysfunction.
121 These charts were thoroughly reviewed to identify 17 patients with visual acuity which had
122 declined to $\leq 20/400$ after surgery. Two of these patients were excluded due to lack of
123 documented or verifiable preoperative visual acuity: one was a trauma patient who could not
124 undergo subjective preoperative ophthalmologic exam due to intubation at the scene, while the
125 other was an ambulatory surgery patient with no previous record of eye care in any setting.
126 Finally, 1 patient was excluded because fulminant spread of a pre-existing orbital infection was
127 the proximal cause of blindness, rather than the orbital surgery itself. As such, 14 patients were
128 included in the full review, representing an overall incidence rate of 0.84%.

129 The surgical approaches for 1665 patients who underwent orbitotomy during the 20-year
130 review period are summarized in **Table 1**, along with the postoperative vision loss incidence rate

131 for each approach, which ranged from 0 – 18.2%. Postoperative vision loss occurred in 5 of the
132 32 orbitotomy cases in which the bony optic canal was surgically opened and/or decompressed
133 (15.6%), with no statistical difference between intracranial approach (n=4 of 22, 18.2%) versus
134 endoscopic trans-sphenoidal approach to the optic canal (n=1 of 10, 10%), ($p=0.587$, 95% CI -
135 0.21 to 0.38). Vision loss was significantly more likely after combined-approach orbital fracture
136 repairs (6.45%, $p=0.002$), or after craniotomy with orbitotomy (18.2%, $p < 0.0001$), compared to
137 the cohort's overall incidence rate. Lateral orbitotomy did not pose a significantly different risk
138 of vision loss compared to anterior orbitotomy ($p=0.428$, 95% CI 0.13 to 24.8).

139 Among the 14 patients with significant vision loss after orbitotomy, there were 8 females
140 (57%) and 6 males (43%), average age was 47.8 years (range: 30-69 years), with the right eye
141 involved in 9 cases and the left in 5 cases. A summary of each patient's surgical and
142 postoperative course is given in **Table 2**. Postoperative vision checks were conducted by a
143 physician from the surgical service that performed the procedure, in the post-anesthesia care unit
144 when the patient awakened (range: 30 minutes to 2 hours postoperatively). Vision checks were
145 continued by nursing staff every 6-8 hours thereafter if the patient was admitted to the hospital.
146 Patient #10 experienced profound periocular edema related to a periscapular microvascular free
147 flap that was placed on his cheek, which impeded routine vision checks for several days.
148 Postoperative pupil exams were not consistently documented to have occurred in any patient. All
149 of the fracture repairs with low vision outcomes were performed within 4 days of injury (average
150 1.8 days, range 8 hours to 3.6 days). Average total follow-up after a vision loss event was 20.4
151 months (range: 1-71 months).

152 The etiology of vision loss was concluded to be retrobulbar hemorrhage (RBH) in 4 cases
153 (29%), malpositioned implant in 2 (14%), ischemic event in 2 (14%), optic nerve compression

154 due to expansion of a hemostatic packing agent in 1 (7%), seroma in 1 (7%), direct optic nerve
155 injury in 1 (7%), and uncertain in 3 (21%). While there were no reported adverse anesthesia
156 events among the 14 patients with vision loss, review of anesthesia records found 7 patients
157 experienced at least one episode of systolic blood pressure <80mmHg during surgery, 8 patients
158 experienced at least one episode of mean arterial pressure decrease >30% from baseline, and 5
159 patients experienced both events (#1, 5, 8, 11, 14). The vast majority of such hypotensive
160 episodes lasted 5 minutes or less. No patient experienced systolic blood pressure <80mmHg for
161 greater than 10 minutes. Two patients experienced mean arterial pressure decrease >30% from
162 baseline for 30 minutes, one of whom suffered ischemic vision loss (#14) while the other
163 patient's mechanism of vision loss could not be definitively identified (#11).

164 Retrobulbar hemorrhage occurred as early as upon awakening or as late as 2 days after
165 surgery. Review of anesthesia records found all RBH patients had been extubated with positive
166 airway pressure, with no supplemental pressure applied to the orbit during awakening.
167 Bucking was documented in one RBH patient (#3) who desaturated due to secretions on the
168 initial extubation attempt and was therefore extubated 3-4 hours later in the intensive care unit
169 without complications. All 4 of the vision-compromising RBH events occurred in patients who
170 had undergone orbital fracture repair with implants. Three of the 4 had required screw-fixated
171 hardware placement. One patient with RBH (#5) had been on daily 81mg aspirin leading up to
172 surgery and appears to have continued it uninterrupted perioperatively, while the others received
173 no anticoagulants before or after surgery.

174 In 8 patients, the etiology of vision loss involved some form of postoperative
175 compression of the optic nerve (oxycel expansion, RBH, seroma accumulation, malpositioned
176 implants), which could potentially be surgically addressed. Seven of these patients were urgently

177 returned to the operating room, 2 of whom regained substantial vision (patient #1 to 20/20, and
178 #4 to 20/25), and 1 of whom regained enough vision to perceive hand motions (patient #6). The
179 patient who was nonoperatively managed (patient #3) was noted to have low vision at a
180 postoperative bedside check 6 hours after surgery. CT scan showed a modest-sized extraconal
181 orbital hemorrhage along a well-positioned plate. The patient's visual acuity was attributed to
182 sleepiness and thick ophthalmic ointment. He was discharged without intervention, and had no
183 light perception (NLP) at all subsequent outpatient postoperative follow-up exams. Spontaneous
184 improvement was seen in 4 of 6 non-operatively managed cases (patients #7, 11, 12, and 14), but
185 the improvement was limited to small gains to the level of light perception or counting fingers.

186 Intravenous steroids were given to 9 of the 14 patients, with the first dose administered a
187 mean of 2.5 hours after initial diagnosis of vision loss (range 0.5 hours to 6 hours after diagnosis;
188 0.5 hours to 6 days postoperatively). There was no significant difference in the visual acuity
189 outcomes for patients who received steroids (median NLP, range NLP to 20/20) versus those
190 who did not (median LP, range NLP to 20/25), $p=0.765$.

191

192 **Discussion**

193 Vision loss is the most severe complication directly associated with orbital surgery. As such,
194 careful preoperative counseling is needed regarding that risk.¹¹ The literature, however, contains
195 limited data on the incidence rate of vision loss after orbital surgery beyond case reports or case
196 series dedicated to specific orbital pathology subtypes. In those reports, incidence rates vary
197 widely. For example, a multi-surgeon series of orbital tumor excisions (n=137) reported zero
198 cases of postoperative vision loss.⁷ In contrast, two articles solely addressing orbital cavernous
199 hemangiomas reported vision loss rates of 24% (with 4% NLP) in one series and 7% in the

200 other.⁸⁻⁹ Another multi-surgeon series which focused exclusively on complications of orbital
201 floor fracture repair found the rate of postoperative vision loss was 2 out of 189 patients
202 (1.06%).¹⁰ Data from a review of 410,189 patients who underwent surgery with general or
203 central neuraxis regional anesthesia found 405 cases of postoperative vision loss (0.1%), but
204 these were not limited to orbitotomy cases and no denominator was provided to calculate an
205 orbitotomy-specific incidence rate.¹² A single-surgeon series of 1593 orbitotomy cases for all
206 indications reported the incidence of blindness to be 0.44% but the study only included patients
207 with preoperative visual acuity of >20/40 and postoperative NLP vision in the analysis, which
208 may underestimate the true risk by excluding patients with baseline visual deficits or those
209 whose vision loss was subtotal.⁶

210 In our series of 1665 orbital surgeries performed at two academic tertiary referral centers,
211 14 patients (0.84%) experienced postoperative vision loss beyond the level of 20/400 acuity. The
212 risk of a blinding surgical complication was significantly higher for the subset of patients
213 undergoing surgery for orbital floor fracture repair in the setting of multiple facial fractures
214 (6.45%), bony decompression of the optic canal (15.6%), or an intracranial approach to the
215 orbital roof (18.2%).

216 Reported risk factors for postoperative vision loss include the surgical approach to
217 orbitotomy. Purgason et al. reported a higher risk of complication from lateral orbitotomy (35%)
218 compared to anterior orbitotomy (3%) in a series of 137 orbital tumor excisions, with
219 complications including diplopia and unfavorable scarring, but there were 0 cases of
220 postoperative vision loss in either subgroup.⁷ Our series found a statistically equivalent rate of
221 vision loss after lateral and anterior orbitotomies. Instead, as may logically be expected, the
222 highest-risk approaches were those closest to the orbital apex and optic canal. In a 7-year review

223 of sphenoidal meningiomas involving the optic canal, Cannon et al. reported major vision
224 loss after surgery in 4 out of 12 (one case with 20/400 acuity, and three NLP).¹³ In contrast, there
225 were no instances of postoperative vision loss in a surgical series of 23 patients with
226 meningiomas of the tuberculum sellae near the optic chiasm.¹⁴ An optic chiasm meningioma in
227 our series (patient #11) suffered vision loss to NLP, then subsequently recovered hand motions
228 vision. Aside from direct insult to the optic nerve during surgery (as with patient #7), the likely
229 mechanisms of insult during optic canal surgery are ischemic events due to vessel traction,
230 compression, or surgical sacrifice of vascular structures; thermal injury secondary to cautery to
231 maintain hemostasis; vibratory insults from drills, rongeurs, or chisels during bone removal;
232 and/or elevated postoperative intraorbital pressure due to bleeding or edema.^{2,13} Hypotensive
233 anesthesia has also been reported to play a role, and may have been a contributing factor in 2
234 cases in our series.¹⁵⁻¹⁶

235 Vision loss in 4 of 14 patients in our series was due to RBH, all of which occurred in
236 fracture repair cases. One report found that 48% of blindness after orbital fracture repair was
237 attributable to intraorbital hemorrhage.¹⁷ The material used for fracture repair implant does not
238 appear to influence the acute complication rate in several published studies, but it has been
239 suggested that fenestrated implants may reduce the risk of RBH-related compartment syndrome
240 by allowing the hemorrhage a route of egress from the orbit into the adjacent sinuses.¹⁸⁻²⁰ The
241 timing of reported RBH occurrence ranges from the immediate postoperative period during
242 emergence from anesthesia out to 7 days after surgery, with the majority of events occurring in
243 the first 10 hours.^{10,17,21} In our series, RBH timing ranged from 6 hours to 2 days. This suggests
244 that retaining high-risk patients for inpatient observation after surgery may be beneficial, as
245 emergent release of orbital pressure can improve outcomes in cases of orbital compartment

246 syndrome.²¹⁻²³ Features that make a patient high risk for RBH include orbitotomy due to orbital
247 fracture, presence of comorbid facial fracture(s), and anticoagulant usage. One of 4 patients who
248 lost vision due to RBH in our series had continued aspirin perioperatively. It is important to
249 advise patients to stop any non-crucial anticoagulants prior to orbital surgery.

250 In a series of 189 orbital floor fractures, Gosau et al. reported intraorbital hematoma occurred
251 at an incidence rate of 3.2%, and was more likely in heavily traumatized patients with
252 comminuted fractures.¹⁰ Similarly, patients in our series with pan-facial fractures were more
253 likely to suffer visual complications than those with isolated orbital fractures, suggesting trauma
254 severity plays a role in the risk of postoperative blindness. Several factors may contribute to the
255 higher incidence of blindness in heavily-traumatized orbits. First, systematic literature analyses
256 have found that zygomatic fracture—including zygomaticomaxillary complex, LeFort II, and
257 isolated fracture of the zygoma—is strongly correlated with vision loss due to an underlying
258 mechanism of traumatic optic neuropathy or optic nerve compression.²³⁻²⁵ Perhaps having
259 sustained an injury from a force with sufficient intensity and trajectory to cause zygomatic
260 fracture renders the optic nerve more vulnerable to further surgical insult (e.g. intraoperative
261 retraction, postoperative compression due to edema or RBH), and/or visual decline due to
262 delayed effects of the acute injury.²³ Second, greater trauma leads to more soft tissue edema. In
263 our series, all of the fracture repairs with low vision outcomes were performed within 4 days of
264 injury (average 1.8 days). This raises our concern that traumatic edema compounded by surgical
265 edema may put intraorbital pressures closer to a tipping point at which optic nerve perfusion is
266 compromised.²⁶⁻²⁷ Many surgeons advocate repair of facial fractures as soon as possible after
267 injury to optimize aesthetic outcomes, despite the issues that evolving edema may pose.²⁸
268 Somewhat reassuringly, Dal Canto reported similar complication rates for orbital fracture repairs

269 performed within 14 days versus 15-29 days after injury.²⁹ However, the series was fairly small
270 (n=58), had no vision-loss events, and the “early” repair group averaged 9 days after trauma, so
271 the study’s findings may not be able to adequately reflect the role that acute edema plays in
272 postoperative risk.²⁹ Further research is needed.

273 Management of new-onset vision loss after orbital surgery involves prompt detection of
274 declining vision, assessment of its etiology by the surgical team and possibly ophthalmology
275 consult, and urgently addressing any potentially-reversible factors.^{6,11,19,30} A strategy for prompt
276 detection should include consistent intraoperative pupil monitoring, as well as vision and pupil
277 exams in the post-anesthesia care unit upon awakening. To facilitate intraoperative pupil
278 monitoring for efferent and afferent pupillary defects,⁷ both eyes should be included within the
279 sterile field⁷ and care should be taken to avoid administering medications that may cause
280 prolonged pupillary dilation. Patients who undergo orbital procedures with a higher risk of vision
281 loss should have serial monitoring at least every 4-6 hours for the first 24-48 hours after surgery.
282 Every surgeon involved in orbital procedures should be trained to reliably and consistently
283 perform the postoperative vision and pupil exam, regardless of subspecialty.³⁰ For patients who
284 are to be discharged, the patient or family members can be trained to check vision at home and
285 instructed to contact the surgical team with any new concerns. Patient and family education may
286 have expedited detection of the complication in patient #10, who demonstrated intact vision on
287 postoperative exams at 4 and 9 days postoperatively, then noted vision changes at home 21 days
288 after surgery but did not report concerns until his next outpatient appointment on day 27. We
289 also recommend that all patients undergo a comprehensive baseline ophthalmologic evaluation
290 prior to orbital surgery to assess for and document any preexisting ocular pathology requiring
291 specific operative precautions, and to allow comparison with postoperative findings.

292 In addition to intraoperative and postoperative exams, some surgeons opt to routinely obtain
293 orbital imaging at the conclusion of every case, while others obtain imaging urgently if concerns
294 arise. Of our 14 cases, postoperative imaging identified a potentially-reversible cause of visual
295 decline in half, resulting in 6 patients being returned to the operating room. Another 2 patients
296 were urgently returned to the operating room without imaging, to avoid delay. Visual
297 improvement was seen in 3 of 8 patients after reoperation with dramatic improvement in 2 cases,
298 whereas spontaneous improvement in nonoperatively managed cases was limited to very small
299 gains. Multiple reports in the literature support acute orbital decompression in the setting of
300 postoperative vision loss, either with canthotomy & cantholysis, surgical evacuation of RBH, or
301 urgent decompression of the orbital apex, tailoring the intervention to the situation.^{4-6,10,21-23}
302 Patients can regain vision when a reversible insult is promptly addressed. As seen in other case
303 series, the addition of systemic corticosteroids did not significantly impact the final visual acuity
304 outcomes in our cohort. However, the small sample size, broad range of vision loss etiologies,
305 and variable elapsed time between surgery and steroid administration limit the ability to critically
306 analyze the benefit of steroids in this study.

307 This study has several limitations. Most significantly, the search method based on billing
308 codes is limited by the accuracy of coding. Billing codes also lack more granular data regarding
309 whether treatment occurred in the anterior or posterior orbit, and whether lesions were in the
310 intraconal or extraconal space. The retrospective design led to exclusion of patients whose
311 preoperative visual acuity had not been tested, which may lead to underestimation of the
312 incidence rate of postoperative vision loss. We excluded 2 patients due to unknown preoperative
313 visual acuity. If these 2 patients were assumed to have initial acuity $\geq 20/200$ and were thus
314 included in the series, our overall incidence rate of postoperative vision loss would be 0.96%

315 rather than 0.84%. Furthermore, patients who did not have postoperative vision checked or
316 documented would have been excluded by this retrospective analysis technique. Finally, the
317 study focused on visual acuity outcomes, and not formal visual field data, ocular alignment, or
318 other measures of ophthalmologic dysfunction. Thus, the incidence rate in this study does not
319 encompass all of the vision-related risks of orbital surgery, since pathologies including center-
320 sparing scotomas, large peripheral field defects, cranial neuropathies, diplopia, pupillary
321 abnormalities, and color vision deficiencies, can compromise a patient's function and quality of
322 life without affecting their acuity on eye chart testing.

323 In conclusion, the incidence of severe loss of visual acuity after orbital surgery at a tertiary
324 referral academic medical center in this 20-year retrospective series is 0.84%. This incidence rate
325 may underestimate the overall risk of visual morbidity, given the inherent limitations detailed
326 above. Patients undergoing craniotomy with orbitotomy, optic canal decompression, or orbital
327 fracture repair in the setting of multiple facial fractures have a substantially higher risk of vision
328 loss. Careful patient selection and preoperative counseling about the risk of postoperative
329 blindness are important aspects of patient preparation for surgery. Close postoperative
330 monitoring and urgent management of potentially-reversible compressive causes of vision loss
331 can improve outcomes.

332

333

334

335

336

337

338 References

- 339 1. Berke RN. Management of complications of orbital surgery. In: Fasanella RM.
340 Complications in eye surgery, 2nd ed. Philadelphia: Saunders, 1965;382.
- 341 2. Edelstein C, Goldberg RA, Rubino G. Unilateral blindness after ipsilateral prophylactic
342 transcranial optic canal decompression for fibrous dysplasia. *Am J Ophthalmol.*
343 1998;126(3):469-71.
- 344 3. Long JC, Ellis PP. Total unilateral visual loss following orbital surgery. *Am J Ophthalmol.*
345 1971;1(1 Part 2):218-20.
- 346 4. McCartney DL, Char DH. Return of vision following orbital decompression after 36 hours of
347 postoperative blindness. *Am J Ophthalmol.* 1985;100(4):602-4.
- 348 5. Ord RA. Post-operative retrobulbar haemorrhage and blindness complicating trauma surgery.
349 *Br J Oral Surg.* 1981;19(3):202-7.
- 350 6. Bonavolontá GV. Postoperative blindness following orbital surgery. *Orbit.* 2005;24(3):195-
351 200.
- 352 7. Purgason PA, Hornblass A. Complications of surgery for orbital tumors. *Ophthal Plast*
353 *Reconstr Surg.* 1992;8(2):88-93.
- 354 8. Harris GJ, Jakobiec FA. Cavernous hemangioma of the orbit. *J Neurosurg.* 1979;51(2):219-
355 28.
- 356 9. McNab AA, Wright JE. Cavernous haemangiomas of the orbit. *Aust NZ J Ophthalmol.*
357 1989;17:337-45.
- 358 10. Gosau M, Schöneich M, Draenert FG, et al. Retrospective analysis of orbital floor
359 fractures—complications, outcome, and review of literature. *Clin Oral Invest.* 2011;15:305-
360 13.
- 361 11. Svider PF, Kovalerchik O, Mauro AC, et al. Legal liability in iatrogenic orbital injury.
362 *Laryngoscope.* 2013;123:2099-103.
- 363 12. Warner ME, Warner MA, Garrity JA, et al. The frequency of perioperative vision loss.
364 *Anesth Analg.* 2001;93(6):1417-21.
- 365 13. Cannon PS, Rutherford SA, Richardson PL, et al. The surgical management and outcomes
366 for sphenoid wing meningiomas: a 7-year review of multi-disciplinary practice. *Orbit.*
367 2009;28(6):371-6.
- 368 14. Mathiesen T, Kihlström L. Visual outcome of tuberculum sellae meningiomas after
369 extradural optic nerve decompression. *Neurosurgery.* 2006;59(3):570-6.

- 370 15. Lee LA, Roth S, Posner KL, et al. The American Society of Anesthesiologists Postoperative
371 Visual Loss Registry: analysis of 93 spine surgery cases with postoperative visual loss.
372 *Anesthesiology*. 2006;105(4):652-9.
- 373 16. Choi WS, Samman N. Risks and benefits of deliberate hypotension in anesthesia: a
374 systematic review. *Int J Oral Maxillofac Surg*. 2008;37(8):687-703.
- 375 17. Girotto JA, Gamble WB, Robertson B, et al. Blindness after reduction of facial fractures.
376 *Plast Reconstr Surg*. 1998;102(6):1821-34.
- 377 18. Peng MY, Merbs SL, Grant MP, Mahoney NR. Orbital fracture repair outcomes with
378 preformed titanium mesh implants and comparison to porous polyethylene coated titanium
379 sheets. *J Craniomaxillofac Surg*. 2017;45(2):271-4.
- 380 19. Kirby EJ, Turner JB, Davenport DL, Vasconez HC. Orbital floor fractures: outcomes of
381 reconstruction. *Ann Plast Surg*. 2011;66(5):508-12.
- 382 20. Farber SJ, Yu JL, Nguyen DC, Woo AS. Fenestration of solid orbital implants: reducing
383 retrobulbar hematoma rate. *J Craniofac Surg*. 2017;28(1):248-9.
- 384 21. Gerbino G, Ramieri GA, Nasi A. Diagnosis and treatment of retrobulbar haematomas
385 following blunt orbital trauma: a description of 8 cases. *Int J Oral Maxillofac Surg*.
386 2005;34(2):127-31.
- 387 22. Li KK, Meara JG, Joseph MP. Reversal of blindness after facial fracture repair by prompt
388 optic nerve decompression. *J Oral Maxillofac Surg*. 1997;55(6):648-50.
- 389 23. Susarla SM, Nam AJ, Dorafshar AH. Orbital compartment syndrome leading to visual loss
390 following orbital floor reconstruction. *Craniomaxillofac Trauma Reconstr*. 2016;9(2):152-7.
- 391 24. Magarakis M, Munding GS, Kelamis JA, et al. Ocular injury, visual impairment, and
392 blindness associated with facial fractures: a systematic literature review. *Plast Reconstr Surg*.
393 2012;129(1):227-33.
- 394 25. Vaca EE, Munding GS, Kelamis JA, et al. Facial fractures with concomitant open globe
395 injury: mechanisms and fracture patterns associated with blindness. *Plast Reconstr Surg*.
396 2013;131(6):1317-28.
- 397 26. Hayreh SS. *Anterior Ischaemic Optic Neuropathy*. Berlin, Heidelberg, New York: Springer-
398 Verlag, 2012:21-71.
- 399 27. Strauss AL, Kedra AW. Non-invasive measurement of ophthalmic artery pressure by
400 ophthalmometry-Doppler. *Lancet*. 1986;2(8511):870.
- 401 28. Manson PN, Crawley WA, Yaremchuk MJ, et al. Midface fractures: advantages of
402 immediate extended open reduction and bone grafting. *Plast Reconstr Surg*. 1985;76(1):1-12.

- 403 29. Dal Canto AJ, Linberg JV. Comparison of orbital fracture repair performed within 14 days
404 versus 15 to 29 days after trauma. *Ophthal Plast Reconstr Surg*. 2008;24(6):437-43.
- 405 30. Peacock ZS, Boulos T, Miller JB, et al. Orbital fractures and ocular injury: is a postoperative
406 ophthalmology examination necessary? *J Oral Maxillofac Surg*. 2014;72(8):1533-40.

Précis

This large series finds the overall risk of vision loss after orbital surgery is 0.84%, with substantially higher incidence in transcranial orbitotomy (18.2%), optic canal decompression (15.6%), and facial polytrauma orbital fracture repairs (6.45%).

1 **Incidence, risk factors, and management of blindness after orbital surgery**

2 Sarah M Jacobs, MD^{1,2}; Colin P McInnis, MD^{1,3}; Matthew Kapeles, MD^{1,4}; Shu-Hong Chang,
3 MD, FACS¹

4

5 ¹Department of Ophthalmology, University of Washington, Seattle, WA, USA

6 ²Department of Ophthalmology, University of Alabama Birmingham, Birmingham, AL, USA

7 ³Department of Ophthalmology and Vision Science, University of Arizona, Tucson, AZ, USA

8 ⁴Department of Ophthalmology, Storm Eye Institute, Medical University of South Carolina,
9 Charleston, SC, USA.

10

11 **Meeting presentation:** None

12 **Financial Support:** None

13 **Conflict of Interest:** No conflicting relationship exists for any author.

14 **Running Head:** Blindness after orbital surgery

15 **Address for reprints:**

16 UAB Callahan Eye Hospital, 700 18th Street South, Suite 410, Birmingham, AL, 35233

17

18

19

20

21

22

23

24

25

26

27

28

29

30 **Abstract**

31 Purpose: Severe vision loss is a risk of orbital surgery which physicians should prepare for and
32 counsel patients about, but the overall risk rate is unknown. This research was conducted to
33 determine the risk of severe vision loss related to surgery within the orbit.

34 Design: Retrospective review

35 Subjects: Patients who underwent orbital surgery at either of two academic medical centers
36 between January 1994 and December 2014.

37 Methods: A billing database search was conducted to identify all patients who had undergone
38 orbital surgery during the study period, cross-checked against diagnostic codes related to vision
39 loss. Charts were screened to determine baseline demographic and medical history, surgical
40 procedure, intra- and perioperative management, and visual acuity. Patients with preoperative
41 visual acuity $\geq 20/200$ that had worsened to $\leq 20/400$ after orbital surgery were included for
42 detailed review. Statistical analysis was conducted to identify factors posing particular risk or
43 benefit to the visual outcome in these cases.

44 Main Outcome Measures: Visual acuity after orbital surgery

45 Results: A total of 1665 patients underwent orbital surgery during the inclusion period, with 14
46 patients sustaining severe vision loss ranging from counting fingers at 1 foot to no light
47 perception (overall risk=0.84%). Etiology of vision loss included retrobulbar hemorrhage,
48 malpositioned implant, optic nerve ischemia, or direct optic nerve insult. When stratified by
49 surgical approach, the risk of a blinding surgical complication was significantly higher for
50 patients undergoing orbital floor repair in the setting of multiple facial fractures (subgroup
51 risk=6.45%), bony decompression of the optic canal (subgroup risk=15.6%), or intracranial
52 approach to the orbital roof (subgroup risk=18.2%). Seven of 8 patients with a potentially-
53 reversible etiology of postoperative vision loss were returned to the operating room urgently, and
54 2 regained substantial vision (20/20 and 20/25). Administration of intravenous corticosteroids
55 had no significant effect on visual acuity outcome.

56 Conclusions: The overall risk of severe vision loss after orbital surgery is 0.84%. The subgroup
57 risk is higher in patients undergoing facial polytrauma repair, optic canal decompression, or
58 orbital apex surgery from an intracranial approach. Close postoperative monitoring and urgent
59 assessment and management of acute vision loss may improve visual outcome in some cases.

60

61

62 Blindness is a devastating complication following orbital surgery, and one that is
63 discussed with patients in the preoperative informed consent process. The overall incidence of
64 blindness due to orbital surgery, however, has not been thoroughly studied. Published case
65 reports describe vision loss occurring after fracture repairs, partial or total removal of orbital
66 mass lesions, and orbital decompression surgeries.¹⁻⁵ A few larger case series have addressed
67 postoperative vision loss rates for specific subpopulations or surgical case types.⁶⁻¹⁰ The reported
68 incidence of postoperative blindness varies from 0-24% in the literature, likely due in part to the
69 different risk profiles of the cases addressed by each series.⁷⁻⁸ The variability in the available
70 literature makes patient selection, operative timing, and preoperative risk counseling difficult.

71 The goal of this study was to assess the incidence rate and risk factors for postoperative
72 vision loss occurring as a complication of orbital surgery, using data accumulated over an
73 extended period of time from a tertiary academic medical center (AMC). As a surgical setting,
74 several institutional features of the AMC may uniquely influence outcomes: multiple specialties
75 operate within the orbit (Ophthalmology, Otolaryngology, Oral Maxillofacial Surgery, Plastic
76 Surgery, Neurosurgery), multiple surgeons within each specialty, and surgeons-in-training
77 (medical students, residents, fellows) are involved in the peri- and intraoperative management.
78 Given the frequent referral of severe traumas and complex cases to AMCs for surgical
79 intervention in addition to the routine cases, a better understanding of the risk of blindness after
80 orbital surgery in this setting would be of value.

81

82 **Methods**

83 Institutional Review Board approval was obtained, and all research was carried out in
84 compliance with HIPAA regulations and in accordance with the Declaration of Helsinki. This

85 was a retrospective chart review study conducted at the University of Washington's two major
86 urban hospitals, one of which is the only Level I trauma center for a 5-state catchment area
87 encompassing Washington, Wyoming, Alaska, Montana and Idaho. Patients seen between
88 January 1994 and December 2014 were eligible for inclusion. The medical center's billing
89 database was searched for Current Procedural Terminology codes for orbitotomy (CPT: 21077,
90 21172, 21175, 21179, 21180, 21182-21184, 21260-21263, 21267, 21268, 21385-21397, 21390,
91 21395, 21400, 31075, 31225, 31292, 31293, 61580, 61581, 67400, 67405, 67412-67414, 67420,
92 67430, 67440, 67445, 67450) and ICD-9 procedure codes (16.09, 16.23, 16.92) to identify
93 patients who had undergone orbital surgery for any indication. These records were subsequently
94 cross-checked with a search of International Classification of Disease-9 codes for vision loss,
95 visual field disturbance, blindness (369.xx), or optic neuropathy (377.xx).

96 The resulting records were screened with chart review to identify patients who had
97 experienced significant visual loss (defined as final visual acuity of $\leq 20/400$ in the affected eye)
98 during the acute or subacute postoperative period (≤ 21 days) after orbitotomy. Patients were
99 excluded if preoperative vision was already $\leq 20/200$ (threshold for legal blindness), or if
100 preoperative vision could not be determined. When a patient's preoperative visual acuity had not
101 been documented in the hospital chart, effort was made to verify baseline visual acuity by other
102 historic means such as records from their private eyecare clinician.

103 Chart review data collected for each patient included demographics, past medical and
104 ocular history, surgical indication, and preoperative ophthalmic exam findings. Intraoperative
105 details of the procedure were extracted, including surgical findings, implants, relevant
106 medication infusions (corticosteroids, antibiotics, osmotics), anticoagulation status, and any
107 surgical or anesthesia complications. Postoperative data included medications, postoperative eye

108 exam (visual acuity, pupils, extraocular motility), and postoperative imaging results. Details of
109 each patient's vision loss were also collected, including time of onset, signs or symptoms leading
110 to diagnosis, and how it was treated medically and/or surgically. The total postoperative follow-
111 up duration and final visual acuity were noted.

112 Descriptive statistics were calculated for demographics, incidence rates, and follow-up
113 duration. Statistical analysis for comparison of subgroup incidence rates was performed with
114 MedCalc for Windows, version 15.1 (MedCalc Software, Ostend, Belgium). Postoperative visual
115 acuity outcomes in steroid versus non-steroid groups were compared with a two-sample T-test.

116

117 **Results**

118 During the period from January 1994 through December 2014, a total of 1665 patients
119 underwent orbitotomy at the University of Washington Medical Center hospitals. Of these, 198
120 patients had been assigned ICD-9 diagnostic codes for optic nerve and/or visual dysfunction.
121 These charts were thoroughly reviewed to identify 17 patients with visual acuity which had
122 declined to $\leq 20/400$ after surgery. Two of these patients were excluded due to lack of
123 documented or verifiable preoperative visual acuity: one was a trauma patient who could not
124 undergo subjective preoperative ophthalmologic exam due to intubation at the scene, while the
125 other was an ambulatory surgery patient with no previous record of eye care in any setting.
126 Finally, 1 patient was excluded because fulminant spread of a pre-existing orbital infection was
127 the proximal cause of blindness, rather than the orbital surgery itself. As such, 14 patients were
128 included in the full review, representing an overall incidence rate of 0.84%.

129 The surgical approaches for 1665 patients who underwent orbitotomy during the 20-year
130 review period are summarized in **Table 1**, along with the postoperative vision loss incidence rate

131 for each approach, which ranged from 0 – 18.2%. Postoperative vision loss occurred in 5 of the
132 32 orbitotomy cases in which the bony optic canal was surgically opened and/or decompressed
133 (15.6%), with no statistical difference between intracranial approach (n=4 of 22, 18.2%) versus
134 endoscopic trans-sphenoidal approach to the optic canal (n=1 of 10, 10%), ($p=0.587$, 95% CI -
135 0.21 to 0.38). Vision loss was significantly more likely after combined-approach orbital fracture
136 repairs (6.45%, $p=0.002$), or after craniotomy with orbitotomy (18.2%, $p < 0.0001$), compared to
137 the cohort's overall incidence rate. Lateral orbitotomy did not pose a significantly different risk
138 of vision loss compared to anterior orbitotomy ($p=0.428$, 95% CI 0.13 to 24.8).

139 Among the 14 patients with significant vision loss after orbitotomy, there were 8 females
140 (57%) and 6 males (43%), average age was 47.8 years (range: 30-69 years), with the right eye
141 involved in 9 cases and the left in 5 cases. A summary of each patient's surgical and
142 postoperative course is given in **Table 2**. Postoperative vision checks were conducted in the
143 post-anesthesia care unit when the patient awakened (range: 30 minutes to 2 hours
144 postoperatively) by a physician from the surgical service that performed the procedure. Vision
145 checks were continued by nursing staff every 6-8 hours thereafter if the patient was admitted to
146 the hospital. Postoperative pupil exams were not consistently documented to have occurred in
147 any patient. Patient #10 experienced profound periocular edema related to a periscapular
148 microvascular free flap that was placed on his cheek, which impeded routine vision checks for
149 several days. All of the fracture repairs with low vision outcomes were performed within 4 days
150 of injury (average 1.8 days, range 8 hours to 3.6 days). Average total follow-up after a vision
151 loss event was 20.4 months (range: 1-71 months).

152 The etiology of vision loss was concluded to be retrobulbar hemorrhage (RBH) in 4 cases
153 (29%), malpositioned implant in 2 (14%), ischemic event in 2 (14%), optic nerve compression

154 due to expansion of a hemostatic packing agent in 1 (7%), seroma in 1 (7%), direct optic nerve
155 injury in 1 (7%), and uncertain in 3 (21%). While there were no reported adverse anesthesia
156 events among the 14 patients with vision loss, review of anesthesia records found 7 patients
157 experienced at least one episode of systolic blood pressure <80mmHg during surgery, 8 patients
158 experienced at least one episode of mean arterial pressure decrease >30% from baseline, and 5
159 patients experienced both events (#1, 5, 8, 11, 14). The vast majority of such hypotensive
160 episodes lasted 5 minutes or less. No patient experienced systolic blood pressure <80mmHg for
161 greater than 10 minutes. Two patients experienced mean arterial pressure decrease >30% from
162 baseline for 30 minutes, one of whom suffered ischemic vision loss (#14) while the other
163 patient's mechanism of vision loss could not be definitively identified (#11).

164 Retrobulbar hemorrhage occurred as early as upon awakening or as late as 2 days after
165 surgery. Review of anesthesia records found all RBH patients had been extubated with positive
166 airway pressure, with no supplemental pressure applied to the orbit during awakening. Bucking
167 was documented in one RBH patient (#3) who desaturated due to secretions on the initial
168 extubation attempt and was therefore extubated 3-4 hours later in the intensive care unit without
169 complications. All 4 of the vision-compromising RBH events occurred in patients who had
170 undergone orbital fracture repair with implants. Three of the 4 had required screw-fixated
171 hardware placement. One patient with RBH (#5) had been on daily 81mg aspirin leading up to
172 surgery and appears to have continued it uninterrupted perioperatively, while the others received
173 no anticoagulants before or after surgery.

174 In 8 patients, the etiology of vision loss involved some form of postoperative
175 compression of the optic nerve (oxycel expansion, RBH, seroma accumulation, malpositioned
176 implants), which could potentially be surgically addressed. Seven of these patients were urgently

177 returned to the operating room, 2 of whom regained substantial vision (patient #1 to 20/20, and
178 #4 to 20/25), and 1 of whom regained enough vision to perceive hand motions (patient #6). The
179 patient who was nonoperatively managed (patient #3) was noted to have low vision at a
180 postoperative bedside check 6 hours after surgery. CT scan showed a modest-sized extraconal
181 orbital hemorrhage along a well-positioned plate. The patient's visual acuity was attributed to
182 sleepiness and thick ophthalmic ointment. He was discharged without intervention, and had no
183 light perception (NLP) at all subsequent outpatient postoperative follow-up exams. Spontaneous
184 improvement was seen in 4 of 6 non-operatively managed cases (patients #7, 11, 12, and 14), but
185 the improvement was limited to small gains to the level of light perception or counting fingers.

186 Intravenous steroids were given to 9 of the 14 patients, with the first dose administered a
187 mean of 2.5 hours after initial diagnosis of vision loss (range 0.5 hours to 6 hours after diagnosis;
188 0.5 hours to 6 days postoperatively). There was no significant difference in the visual acuity
189 outcomes for patients who received steroids (median NLP, range NLP to 20/20) versus those
190 who did not (median LP, range NLP to 20/25), $p=0.765$.

191

192 **Discussion**

193 Vision loss is the most severe complication directly associated with orbital surgery. As such,
194 careful preoperative counseling is needed regarding that risk.¹¹ The literature, however, contains
195 limited data on the incidence rate of vision loss after orbital surgery beyond case reports or case
196 series dedicated to specific orbital pathology subtypes. In those reports, incidence rates vary
197 widely. For example, a multi-surgeon series of orbital tumor excisions (n=137) reported zero
198 cases of postoperative vision loss.⁷ In contrast, two articles solely addressing orbital cavernous
199 hemangiomas reported vision loss rates of 24% (with 4% NLP) in one series and 7% in the

200 other.⁸⁻⁹ Another multi-surgeon series which focused exclusively on complications of orbital
201 floor fracture repair found the rate of postoperative vision loss was 2 out of 189 patients
202 (1.06%).¹⁰ Data from a review of 410,189 patients who underwent surgery with general or
203 central neuraxis regional anesthesia found 405 cases of postoperative vision loss (0.1%), but
204 these were not limited to orbitotomy cases and no denominator was provided to calculate an
205 orbitotomy-specific incidence rate.¹² A single-surgeon series of 1593 orbitotomy cases for all
206 indications reported the incidence of blindness to be 0.44% but the study only included patients
207 with preoperative visual acuity of >20/40 and postoperative NLP vision in the analysis, which
208 may underestimate the true risk by excluding patients with baseline visual deficits or those
209 whose vision loss was subtotal.⁶

210 In our series of 1665 orbital surgeries performed at two academic tertiary referral centers,
211 14 patients (0.84%) experienced postoperative vision loss beyond the level of 20/400 acuity. The
212 risk of a blinding surgical complication was significantly higher for the subset of patients
213 undergoing surgery for orbital floor fracture repair in the setting of multiple facial fractures
214 (6.45%), bony decompression of the optic canal (15.6%), or an intracranial approach to the
215 orbital roof (18.2%).

216 Reported risk factors for postoperative vision loss include the surgical approach to
217 orbitotomy. Purgason et al. reported a higher risk of complication from lateral orbitotomy (35%)
218 compared to anterior orbitotomy (3%) in a series of 137 orbital tumor excisions, with
219 complications including diplopia and unfavorable scarring, but there were 0 cases of
220 postoperative vision loss in either subgroup.⁷ Our series found a statistically equivalent rate of
221 vision loss after lateral and anterior orbitotomies. Instead, as may logically be expected, the
222 highest-risk approaches were those closest to the orbital apex and optic canal. In a 7-year review

223 of sphenoidal meningiomas involving the optic canal, Cannon et al. reported major vision
224 loss after surgery in 4 out of 12 (one case with 20/400 acuity, and three NLP).¹³ In contrast, there
225 were no instances of postoperative vision loss in a surgical series of 23 patients with
226 meningiomas of the tuberculum sellae near the optic chiasm.¹⁴ An optic chiasm meningioma in
227 our series (patient #11) suffered vision loss to NLP, then subsequently recovered hand motions
228 vision. Aside from direct insult to the optic nerve during surgery (as with patient #7), the likely
229 mechanisms of insult during optic canal surgery are ischemic events due to vessel traction,
230 compression, or surgical sacrifice of vascular structures; thermal injury secondary to cautery to
231 maintain hemostasis; vibratory insults from drills, rongeurs, or chisels during bone removal;
232 and/or elevated postoperative intraorbital pressure due to bleeding or edema.^{2,13} Hypotensive
233 anesthesia has also been reported to play a role, and may have been a contributing factor in 2
234 cases in our series.¹⁵⁻¹⁶

235 Vision loss in 4 of 14 patients in our series was due to RBH, all of which occurred in
236 fracture repair cases. One report found that 48% of blindness after orbital fracture repair was
237 attributable to intraorbital hemorrhage.¹⁷ The material used for the fracture repair implant does
238 not appear to influence the acute complication rate in several published studies, but it has been
239 suggested that fenestrated implants may reduce the risk of RBH-related compartment syndrome
240 by allowing the hemorrhage a route of egress from the orbit into the adjacent sinuses.¹⁸⁻²⁰ The
241 timing of reported RBH occurrence ranges from the immediate postoperative period during
242 emergence from anesthesia out to 7 days after surgery, with the majority of events occurring in
243 the first 10 hours.^{10,17,21} In our series, RBH timing ranged from 6 hours to 2 days. This suggests
244 that retaining high-risk patients for inpatient observation after surgery may be beneficial, as
245 emergent release of orbital pressure can improve outcomes in cases of orbital compartment

246 syndrome.²¹⁻²³ Features that make a patient high risk for RBH include orbitotomy due to orbital
247 fracture, presence of comorbid facial fracture(s), and anticoagulant usage. One of 4 patients who
248 lost vision due to RBH in our series had continued aspirin perioperatively. It is important to
249 advise patients to stop any non-crucial anticoagulants prior to orbital surgery.

250 In a series of 189 orbital floor fractures, Gosau et al. reported intraorbital hematoma occurred
251 at an incidence rate of 3.2%, and was more likely in heavily traumatized patients with
252 comminuted fractures.¹⁰ Similarly, patients in our series with pan-facial fractures were more
253 likely to suffer visual complications than those with isolated orbital fractures, suggesting trauma
254 severity plays a role in the risk of postoperative blindness. Several factors may contribute to the
255 higher incidence of blindness in heavily-traumatized orbits. First, systematic literature analyses
256 have found that zygomatic fractures—including zygomaticomaxillary complex, LeFort II, and
257 isolated fracture of the zygoma—are strongly correlated with vision loss due to an underlying
258 mechanism of traumatic optic neuropathy or optic nerve compression.²³⁻²⁵ Perhaps having
259 sustained an injury from a force with sufficient intensity and trajectory to cause zygomatic
260 fracture renders the optic nerve more vulnerable to further surgical insult (e.g. intraoperative
261 retraction, postoperative compression due to edema or RBH), and/or visual decline due to
262 delayed effects of the acute injury.²³ Second, greater trauma leads to more soft tissue edema. In
263 our series, all of the fracture repairs with low vision outcomes were performed within 4 days of
264 injury (average 1.8 days). This raises our concern that traumatic edema compounded by surgical
265 edema may put intraorbital pressures closer to a tipping point at which optic nerve perfusion is
266 compromised.²⁶⁻²⁷ Many surgeons advocate repair of facial fractures as soon as possible after
267 injury to optimize aesthetic outcomes, despite the issues that evolving edema may pose.²⁸
268 Somewhat reassuringly, Dal Canto reported similar complication rates for orbital fracture repairs

269 performed within 14 days versus 15-29 days after injury.²⁹ However, the series was fairly small
270 (n=58), had no vision-loss events, and the “early” repair group averaged 9 days after trauma, so
271 the study’s findings may not be able to adequately reflect the role that acute edema plays in
272 postoperative risk.²⁹ Further research is needed.

273 Management of new-onset vision loss after orbital surgery involves prompt detection of
274 declining vision, assessment of its etiology by the surgical team and possibly an ophthalmology
275 consult, and urgently addressing any potentially-reversible factors.^{6,11,19,30} A strategy for prompt
276 detection should include consistent intraoperative pupil monitoring, as well as vision and pupil
277 exams in the post-anesthesia care unit upon awakening. To facilitate intraoperative pupil
278 monitoring for efferent and afferent pupillary defects, both eyes should be included within the
279 sterile field and care should be taken to avoid administering medications that may cause
280 prolonged pupillary dilation. Patients who undergo orbital procedures with a higher risk of vision
281 loss should have serial monitoring at least every 4-6 hours for the first 24-48 hours after surgery.
282 Every surgeon involved in orbital procedures should be trained to reliably and consistently
283 perform the postoperative vision and pupil exam, regardless of subspecialty.³⁰ For patients who
284 are to be discharged, the patient or family members can be trained to check vision at home and
285 instructed to contact the surgical team with any new concerns. Patient and family education may
286 have expedited detection of the complication in patient #10, who demonstrated intact vision on
287 postoperative exams at 4 and 9 days postoperatively, then noted vision changes at home 21 days
288 after surgery but did not report concerns until his next outpatient appointment on day 27. We
289 also recommend that all patients undergo a comprehensive baseline ophthalmologic evaluation
290 prior to orbital surgery to assess for and document any preexisting ocular pathology requiring
291 specific operative precautions, and to allow comparison with postoperative findings.

292 In addition to intraoperative and postoperative exams, some surgeons opt to routinely obtain
293 orbital imaging at the conclusion of every case, while others obtain imaging urgently if concerns
294 arise. Of our 14 cases, postoperative imaging identified a potentially-reversible cause of visual
295 decline in half, resulting in 6 patients being returned to the operating room. Another 2 patients
296 were urgently returned to the operating room without imaging, to avoid delay. Visual
297 improvement was seen in 3 of 8 patients after reoperation with dramatic improvement in 2 cases,
298 whereas spontaneous improvement in nonoperatively managed cases was limited to very small
299 gains. Multiple reports in the literature support acute orbital decompression in the setting of
300 postoperative vision loss, either with canthotomy & cantholysis, surgical evacuation of RBH, or
301 urgent decompression of the orbital apex, tailoring the intervention to the situation.^{4-6,10,21-23}
302 Patients can regain vision when a reversible insult is promptly addressed.

303 As seen in other case series, the addition of systemic corticosteroids did not significantly
304 impact the final visual acuity outcomes in our cohort. However, the small sample size, broad
305 range of vision loss etiologies, and variable elapsed time between surgery and steroid
306 administration limit the ability to critically analyze the benefit of steroids in this study.

307 This study has several limitations. Most significantly, the search method based on billing
308 codes is limited by the accuracy of coding. Billing codes also lack more granular data regarding
309 whether treatment occurred in the anterior or posterior orbit, and whether lesions were in the
310 intraconal or extraconal space. The retrospective design led to exclusion of patients whose
311 preoperative visual acuity had not been tested, which may lead to underestimation of the
312 incidence rate of postoperative vision loss. We excluded 2 patients due to unknown preoperative
313 visual acuity. If these 2 patients were assumed to have initial acuity $\geq 20/200$ and were thus
314 included in the series, our overall incidence rate of postoperative vision loss would be 0.96%

315 rather than 0.84%. Furthermore, patients who did not have postoperative vision checked or
316 documented would have been excluded by this retrospective analysis technique. Finally, the
317 study focused on visual acuity outcomes, and not formal visual field data, ocular alignment, or
318 other measures of ophthalmologic dysfunction. Thus, the incidence rate in this study does not
319 encompass all of the vision-related risks of orbital surgery, since pathologies including center-
320 sparing scotomas, large peripheral field defects, cranial neuropathies, diplopia, pupillary
321 abnormalities, and color vision deficiencies can compromise a patient's function and quality of
322 life without affecting their acuity on eye chart testing.

323 In conclusion, the incidence of severe loss of visual acuity after orbital surgery at a tertiary
324 referral academic medical center in this 20-year retrospective series is 0.84%. This incidence rate
325 may underestimate the overall risk of visual morbidity, given the inherent limitations detailed
326 above. Patients undergoing craniotomy with orbitotomy, optic canal decompression, or orbital
327 fracture repair in the setting of multiple facial fractures have a substantially higher risk of vision
328 loss. Careful patient selection and preoperative counseling about the risk of postoperative
329 blindness are important aspects of patient preparation for surgery. Close postoperative
330 monitoring and urgent management of potentially-reversible compressive causes of vision loss
331 can improve outcomes.

332

333

334

335

336

337

338 **References**

- 339 1. Berke RN. Management of complications of orbital surgery. In: Fasanella RM.
340 Complications in eye surgery, 2nd ed. Philadelphia: Saunders, 1965;382.
- 341 2. Edelstein C, Goldberg RA, Rubino G. Unilateral blindness after ipsilateral prophylactic
342 transcranial optic canal decompression for fibrous dysplasia. *Am J Ophthalmol.*
343 1998;126(3):469-71.
- 344 3. Long JC, Ellis PP. Total unilateral visual loss following orbital surgery. *Am J Ophthalmol.*
345 1971;1(1 Part 2):218-20.
- 346 4. McCartney DL, Char DH. Return of vision following orbital decompression after 36 hours of
347 postoperative blindness. *Am J Ophthalmol.* 1985;100(4):602-4.
- 348 5. Ord RA. Post-operative retrobulbar haemorrhage and blindness complicating trauma surgery.
349 *Br J Oral Surg.* 1981;19(3):202-7.
- 350 6. Bonavolontá GV. Postoperative blindness following orbital surgery. *Orbit.* 2005;24(3):195-
351 200.
- 352 7. Purgason PA, Hornblass A. Complications of surgery for orbital tumors. *Ophthal Plast*
353 *Reconstr Surg.* 1992;8(2):88-93.
- 354 8. Harris GJ, Jakobiec FA. Cavernous hemangioma of the orbit. *J Neurosurg.* 1979;51(2):219-
355 28.
- 356 9. McNab AA, Wright JE. Cavernous haemangiomas of the orbit. *Aust NZ J Ophthalmol.*
357 1989;17:337-45.
- 358 10. Gosau M, Schöneich M, Draenert FG, et al. Retrospective analysis of orbital floor
359 fractures—complications, outcome, and review of literature. *Clin Oral Invest.* 2011;15:305-
360 13.
- 361 11. Svider PF, Kovalerchik O, Mauro AC, et al. Legal liability in iatrogenic orbital injury.
362 *Laryngoscope.* 2013;123:2099-103.
- 363 12. Warner ME, Warner MA, Garrity JA, et al. The frequency of perioperative vision loss.
364 *Anesth Analg.* 2001;93(6):1417-21.
- 365 13. Cannon PS, Rutherford SA, Richardson PL, et al. The surgical management and outcomes
366 for sphenoid wing meningiomas: a 7-year review of multi-disciplinary practice. *Orbit.*
367 2009;28(6):371-6.
- 368 14. Mathiesen T, Kihlström L. Visual outcome of tuberculum sellae meningiomas after
369 extradural optic nerve decompression. *Neurosurgery.* 2006;59(3):570-6.

- 370 15. Lee LA, Roth S, Posner KL, et al. The American Society of Anesthesiologists Postoperative
371 Visual Loss Registry: analysis of 93 spine surgery cases with postoperative visual loss.
372 *Anesthesiology*. 2006;105(4):652-9.
- 373 16. Choi WS, Samman N. Risks and benefits of deliberate hypotension in anesthesia: a
374 systematic review. *Int J Oral Maxillofac Surg*. 2008;37(8):687-703.
- 375 17. Girotto JA, Gamble WB, Robertson B, et al. Blindness after reduction of facial fractures.
376 *Plast Reconstr Surg*. 1998;102(6):1821-34.
- 377 18. Peng MY, Merbs SL, Grant MP, Mahoney NR. Orbital fracture repair outcomes with
378 preformed titanium mesh implants and comparison to porous polyethylene coated titanium
379 sheets. *J Craniomaxillofac Surg*. 2017;45(2):271-4.
- 380 19. Kirby EJ, Turner JB, Davenport DL, Vasconez HC. Orbital floor fractures: outcomes of
381 reconstruction. *Ann Plast Surg*. 2011;66(5):508-12.
- 382 20. Farber SJ, Yu JL, Nguyen DC, Woo AS. Fenestration of solid orbital implants: reducing
383 retrobulbar hematoma rate. *J Craniofac Surg*. 2017;28(1):248-9.
- 384 21. Gerbino G, Ramieri GA, Nasi A. Diagnosis and treatment of retrobulbar haematomas
385 following blunt orbital trauma: a description of 8 cases. *Int J Oral Maxillofac Surg*.
386 2005;34(2):127-31.
- 387 22. Li KK, Meara JG, Joseph MP. Reversal of blindness after facial fracture repair by prompt
388 optic nerve decompression. *J Oral Maxillofac Surg*. 1997;55(6):648-50.
- 389 23. Susarla SM, Nam AJ, Dorafshar AH. Orbital compartment syndrome leading to visual loss
390 following orbital floor reconstruction. *Craniomaxillofac Trauma Reconstr*. 2016;9(2):152-7.
- 391 24. Magarakis M, Munding GS, Kelamis JA, et al. Ocular injury, visual impairment, and
392 blindness associated with facial fractures: a systematic literature review. *Plast Reconstr Surg*.
393 2012;129(1):227-33.
- 394 25. Vaca EE, Munding GS, Kelamis JA, et al. Facial fractures with concomitant open globe
395 injury: mechanisms and fracture patterns associated with blindness. *Plast Reconstr Surg*.
396 2013;131(6):1317-28.
- 397 26. Hayreh SS. *Anterior Ischaemic Optic Neuropathy*. Berlin, Heidelberg, New York: Springer-
398 Verlag, 2012:21-71.
- 399 27. Strauss AL, Kedra AW. Non-invasive measurement of ophthalmic artery pressure by
400 ophthalmometry-Doppler. *Lancet*. 1986;2(8511):870.
- 401 28. Manson PN, Crawley WA, Yaremchuk MJ, et al. Midface fractures: advantages of
402 immediate extended open reduction and bone grafting. *Plast Reconstr Surg*. 1985;76(1):1-12.

- 403 29. Dal Canto AJ, Linberg JV. Comparison of orbital fracture repair performed within 14 days
404 versus 15 to 29 days after trauma. *Ophthal Plast Reconstr Surg*. 2008;24(6):437-43.
- 405 30. Peacock ZS, Boulos T, Miller JB, et al. Orbital fractures and ocular injury: is a postoperative
406 ophthalmology examination necessary? *J Oral Maxillofac Surg*. 2014;72(8):1533-40.

Table 1. Surgical approach to orbitotomy, and the associated incidence rate of postoperative vision loss.

Surgical Site and Approach	CPT and ICD-9 procedural codes	Number of patients	Vision loss incidence (n, %, <i>p</i>-value*)
Orbital roof, extracranial	21172, 21175, 21179, 21180	15	0
Orbital roof and apex, intracranial	21182, 61580, 61581	22	n=4 18.2% (<i>p</i><0.0001)
Medial wall, extracranial	21260, 21267	3	0
Medial wall, extra- and intracranial	21261, 21268	28	0
Floor fracture, trans-antral	21385	28	0
Floor fracture, periorbital	21390, 21395, 21400, 21386	977	n=5 0.51% (<i>p</i> =0.27)
Floor fracture, combined approach**	21387	31	n=2 6.45% (<i>p</i>=0.002)
Medial wall or floor, trans-sinus, non-fracture	31075, 31225, 31292	153	0
Orbital apex, endoscopic trans-sinus	31293	10	n=1 10% (<i>p</i>=0.001)
Anterior orbitotomy, non-fracture	16.09, 67400, 67405, 67412, 67413, 67414	297	n=1 0.33% (<i>p</i> =0.39)
Lateral orbitotomy, non-fracture	16.23, 16.92, 67420, 67430, 67440, 67445, 67450	101	n=1 0.99% (<i>p</i> =0.62)

CPT=Current Procedural Terminology, ICD-9=International Classification of Disease Ninth Revision

* *p*-value represents comparison of subgroup incidence rate versus overall whole-group incidence rate. Statistically significant *p*-values are shown in bold.

** All involved repair of multiple facial fractures in addition to orbital floor

Table 2. Case overview of patients with vision loss after orbital surgery.

Case	Age, Sex	Pre VA	Diagnosis	Orbitotomy Approach	Intraoperative Findings/Details (surgery duration)	Post VA (elapsed time since surgery)	Pain?	Interventions Upon Noting Vision Loss	Etiology	Final VA (total follow-up time)
1	47,F	20/20	Clinoid meningioma	Frontotemporal craniotomy with orbitotomy, + optic canal decompression (NSGY)	Tumor compressing optic nerve. Oxycel placed in superior posterior orbit to aid hemostasis. (300min)	CF at 1 foot (4hrs), NLP (5hrs)	Yes	IV solumedrol, stat MRI, Ophthalmology consult, return to OR (at 6hrs post-op) where oxycel was removed.	Oxycel expansion + small RBH compressing optic nerve	20/20 (60mos)
2	59,M	20/25	Intraconal orbital cavernous hemangioma	Trans-cutaneous lateral orbitotomy (OPRS)	Lateral orbital rim removed and lateral rectus muscle disinserted to access mass. (290min)	NLP (upon awakening)	No	IV solumedrol, stat CT, Ophthalmology exam (showed CRAO), ocular massage.	CRAO	NLP (49mos)
3	40,M	20/30	Facial fractures (bilateral LeFort I-III, bilateral orbital floor & medial wall)	ORIF via trans-conjunctival & trans-caruncular incisions (PRS)	Porous polyethylene implant to reconstruct medial wall and floor defects. (540min)	CF at 1 foot (6hrs)	No	CT (extraconal hematoma along well-positioned implant), Ophthalmology consult. Discharged home without intervention.	RBH (no anti-coagulant)	NLP (2mos)
4	30,F	20/50	Orbital floor fracture	ORIF via trans-conjunctival incision (PRS)	Subperiosteal dissection to the orbital apex. Screw-fixated porous polyethylene + titanium implant. (110min)	20/100 (upon awakening), LP (2days)	Yes	Stat CT (hemorrhage along well-positioned implant). Incision opened at bedside to drain hematoma POD#2. Returned to OR to redrain hematoma POD#3.	Large delayed RBH (no anti-coagulant)	20/25 (6mos)
5	48,F	20/25	Orbital floor and lateral wall fractures	ORIF via combined approach: buccal-lingival and trans-conjunctival (PRS)	Screw-fixated porous polyethylene + titanium implant. (150min)	“Intact” (upon awakening), LP (2days)	Yes	IV dexamethasone, stat CT (large RBH), lateral canthotomy/ cantholysis, return to OR (at 2 days post-op) where 10mm hematoma was evacuated and implant was removed.	Large delayed RBH (patient on aspirin)	NLP (1mos)
6	41,M	20/25	Facial fractures (bilateral LeFort I-II, Left zygoma, cribriform plate, orbital floor and medial wall)	ORIF via trans-conjunctival incision (PRS)	Screw-fixated porous polyethylene + titanium implant. (160min)	NLP (upon awakening)	Yes	IV hydrocortisone, stat CT (hemorrhage along malpositioned implant with entrapped medial rectus), return to OR (at 0.5hrs post-op) where hematoma was drained and implant was repositioned.	Malposition implant + small RBH	HM (3mos)
7	59,M	20/20	Orbital apex Schwannoma	Frontotemporal craniotomy with orbitotomy, + optic canal (NSGY + OPRS)	Traction suture passed through optic nerve sheath to displace optic nerve and allow access to schwannoma. (330min)	LP (upon awakening), CF (3hrs)	No	IV dexamethasone, MRI	Intra-operative trauma to optic nerve	CF at 3 feet (9mos)
8	49,M	20/25	Facial fractures (Left ZMC, and inferior orbital rim and floor)	ORIF via combined approach: buccal-lingival and trans-cutaneous eyelid (PRS)	Porous polyethylene to orbital floor, and screw-fixated titanium plates to zygoma and orbital rim. (230min)	NLP (7hrs)	Yes	IV Solumedrol and mannitol, Ophthalmology consult, lateral canthotomy/cantholysis, return to OR (at 10hrs post-op) where incision was opened, implant was removed, and large hematoma was drained.	Large RBH (no anti-coagulant)	NLP (1mos)

Case	Age, Sex	PreVA	Diagnosis	Orbitotomy Approach	Intraoperative Findings/Details (surgery duration)	Post VA (elapsed time since surgery)	Pain?	Interventions Upon Noting Vision Loss	Etiology	Final VA (total follow-up time)
9	47,F	20/30	Facial fractures (bilateral ZMC, NOE, orbital floors and medial walls)	ORIF via trans-cutaneous anterior orbitotomy (PRS)	Porous polyethylene and calvarial bone grafts. (510min)	CF at 1 foot (upon awakening), NLP (10hrs)	No	IV Solumedrol, incision opened at bedside, Ophthalmology consult, urgent CT scan (showed well-positioned implants and no RBH). Return to OR (at 11hrs post-op) where implant was removed.	Uncertain. TON from intraoperative bony manipulation, ischemia from globe retraction?	NLP (48mos)
10	46,M	20/20	Parry Romberg with enophthalmos	Trans-cutaneous anterior orbitotomy (PRS)	Removal of previously-placed silastic implants, placement of screw-fixated porous polyethylene implant to orbital floor, and periscapular free flap to cheek.	“Normal” (upon awakening, inpatient POD4, and outpatient POD9). Pt noted vision loss POD21, but did not report until POD27.	No	Ophthalmology consult (POD27), CT scan (showed fluid collection along well-positioned orbital floor implant), and return to OR (POD28) where fluid collection was drained and implant was removed.	Serous fluid accumulation	NLP (6mos)
11	50,F	20/30	Clinoid and suprasellar meningioma with optic chiasm compression	Frontotemporal craniotomy with orbitotomy, + optic canal decompression (NSGY)	Tumor was noted to compress the optic nerve, so canal was opened. Hemostasis was particularly challenging. (440min)	NLP (2days)	No	None	Uncertain. Hypotension, TON from drill, cautery, or surgical manipulation of nerve?	HM (4mos)
12	42,F	20/125	Thyroid-associated orbitopathy	Trans-cutaneous lateral decompression (OPRS) + Endoscopic medial wall and optic canal decompression (ENT)	Routine procedure with typical anatomy. (240min)	NLP (upon awakening)	No	IV solumedrol, hyperbaric oxygen, CT scan (showed optic nerve thickening and mild intraconal fat stranding).	Uncertain. TON from drill, ischemia from globe retraction?	LP (24mos)
13	42,F	20/30	Facial fractures (Bilateral zygoma and lateral orbital walls)	ORIF via combined approach: buccal-gingival and trans-cutaneous eyelid (PRS)	Porous polyethylene and bone grafts to orbital floor, and screw-fixated plates to zygoma. (480min)	NLP (6days; when able to report)	No	IV solumedrol, Ophthalmology consult, return to OR (at 6 days post-op) where bone grafts near apex were repositioned more anteriorly.	Posterior bone grafts compressing optic nerve	NLP (71mos)
14	69,F	20/30	Intracavernous carotid aneurysm extending to ophthalmic art.	Frontotemporal craniotomy with orbitotomy, + optic canal decompression (NSGY)	Intracavernous aneurysm clipped, ophthalmic artery aneurysm clipped, optic canal unroofed. (575min)	NLP (8days)	No	None	Ophthalmic artery ischemia	LP (2mos)

CF=Able to Count Fingers, CRAO=Central Retinal Artery Occlusion, ENT=Otolaryngology, FinalVA=Visual acuity at time of final follow-up exam, HM=Perception of Hand Motion, LP=Light Perception, NLP=No Light Perception, NOE=Naso-orbital-ethmoidal fracture, NSGY=Neurosurgery, OPRS=Oculoplastic Surgery, ORIF=Open Reduction Internal Fixation fracture repair, POD=Postoperative Day, PreVA=Preoperative Visual Acuity, PostVA=Postoperative Visual Acuity, PRS=Plastic/Reconstructive Surgery, RBH=Retrolbulbar hemorrhage, TON=Traumatic optic neuropathy, ZMC=Zygomaticomaxillary Complex fracture