

MS #24540

Comparative effectiveness of usual care with or without chiropractic care in patients with recurrent musculoskeletal back and neck pain

Charles Elder MD MPH,¹ Lynn DeBar PhD MPH,² Cheryl Ritenbaugh PhD MPH,³ John Dickerson PhD,¹ William M. Vollmer PhD,¹ Richard A. Deyo MD MPH,⁴ Eric S. Johnson PhD,¹ Mitchell Haas DC MA.⁵

¹Kaiser Permanente Center for Health Research

²Kaiser Permanente Washington Health Research Institute

³University of Arizona

⁴Oregon Health and Science University

⁵Earl E. Bakken Center for Spirituality and Healing, University of Minnesota

Corresponding author:

Charles Elder MD MPH FACP

Senior Investigator, Center for Health Research

Kaiser Permanente Northwest

3800 N. Interstate Ave.

Portland, OR 97227

Phone: 503-335-6737

Fax: 503-335-6311

Email: Charles.Elder@kpchr.org

Running title: Comparative effectiveness of chiropractic care

Word length: 2999 Figures: 1 Tables: 4 References: 38 Appendices: 3

Abstract: 300 words

Key words: chiropractic, back pain, neck pain, comparative effectiveness, complementary and integrative medicine, chronic musculoskeletal pain, spinal manipulation, primary care, alternative medicine, managed care, propensity scoring

1 **Abstract**

2 **Background:** Chiropractic care is a popular alternative for back and neck pain, with efficacy comparable
3 to usual care in randomized trials. However, the effectiveness of chiropractic care as delivered through
4 conventional care settings remains largely unexplored.

5 **Objective:** To evaluate the comparative effectiveness of usual care with or without chiropractic care for
6 patients with chronic recurrent musculoskeletal back and neck pain.

7 **Study Design:** Prospective cohort study using propensity score matched controls.

8

9 **Participants:** Using retrospective EHR data, we developed a propensity score model predicting likelihood
10 of chiropractic referral. Eligible patients with back or neck pain were then contacted upon referral for
11 chiropractic care and enrolled in a prospective study. For each referred patient, 2 propensity score
12 matched non-referred patients were contacted and enrolled. We followed participants prospectively for
13 6 months.

14 **Main measures:** Main outcomes included pain severity, interference, and symptom bothersomeness.
15 Secondary outcomes included expenditures for pain related health care.

16 **Key results:** Both groups' (N=70 referred, 139 non-referred) pain scores improved significantly over the
17 first 3 months, with less change between months 3 and 6. No significant between-group difference was
18 observed. (severity -.10 (95% CI -.30, .10), interference -.07 (-.31, .16), bothersomeness -.1 (-.39, .19)).
19 After controlling for variances in baseline costs, total costs during the 6-month post enrollment follow-
20 up were significantly higher on average in the non-referred versus referred group (\$1,996 [SD=3,874] vs
21 \$1,086 [SD=1,212], p=.034). Adjusting for differences in age, gender, and Charlson comorbidity index
22 attenuated this finding, which was no longer statistically significant (p=.072).

23 **Conclusions:** We found no statistically significant difference between the two groups in either patient
24 reported or economic outcomes. As clinical outcomes were similar, and the provision of chiropractic
25 care did not increase costs, making chiropractic services available provided an additional viable option
26 for patients who prefer this type of care, at no additional expense.

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42 **Introduction**

43 Chronic musculoskeletal pain remains a substantial clinical and public health challenge.^{1,2} In 2008, the
44 total financial cost of pain to society, including both health care costs and lost productivity, was
45 estimated at \$560 to \$635 billion.³ Spinal disorders are the 4th most common primary diagnosis for
46 office visits in the United States,⁴ and are reported by over a third of patients presenting with
47 musculoskeletal complaints.⁵ Conventional management commonly includes nonsteroidal anti-
48 inflammatory drugs, skeletal muscle relaxants, and opioids, which are of modest benefit and are
49 associated with serious toxicities.^{6,7}

50 Chiropractic care is popular among patients,^{8,9} with efficacy for treating back and neck pain comparable
51 to usual care in experimental randomized controlled trial (RCT) settings.^{10 11,12} However, the
52 effectiveness of chiropractic care as actually delivered in routine conventional and integrative medicine
53 practice remains largely unexplored. Rigorous evaluation of such routine care is complicated by
54 numerous methodological challenges. In comparative effectiveness research of this type, RCT study
55 designs may be logistically difficult or even infeasible, where the requirements for informed consent, the
56 mechanics of the randomization process, the protocols for blinding, and other experimental constraints
57 often directly conflict with the flow of routine office care. Further, even if feasible, imposing these
58 research constraints is likely to alter care as actually delivered in everyday clinical settings. Prospective
59 cohort studies provide a compelling alternative, but introduce challenges in identifying an appropriate
60 control group that minimizes confounding or bias.

61 Propensity scores represent one viable approach to controlling for confounding in observational studies.
62 However, propensity scores are typically applied in retrospective analyses, and to our knowledge have
63 not been previously used to recruit and match subjects on an ongoing basis in prospective cohort
64 studies requiring the collection of patient-reported outcomes.¹³ We sought to evaluate the

65 comparative effectiveness of usual care with or without chiropractic care as provided to patients in an
66 established health maintenance organization (HMO), using novel and scientifically rigorous methods.
67 Specifically, we used data from retrospective electronic health record (EHR) and administrative
68 databases to develop a propensity score model describing the likelihood of a patient's being referred for
69 chiropractic care,¹³ and then implemented a prospective cohort study comparing patients with chronic
70 musculoskeletal pain who were referred for chiropractic care with propensity score matched controls
71 who were not.

72 **Methods**

73 Design

74 *The Relief* project is a multi-phased study evaluating acupuncture and chiropractic care for patients with
75 chronic musculoskeletal pain in an HMO setting. Full descriptions of our study design and propensity
76 score methodology have been previously published.^{13, 14} In brief, the project featured 2 prospective
77 cohort studies evaluating the effectiveness of usual care with or without acupuncture, and usual care
78 with or without chiropractic care. This paper presents data from the chiropractic study; results of the
79 acupuncture study will be published separately.

80 Setting

81 The study was conducted at Kaiser Permanente Northwest (KPNW), an HMO serving approximately
82 550,000 members in the metropolitan Portland area. KPNW provides chiropractic care to patients
83 through a contracted network of chiropractors at Complementary Health Plans (CHP). Most KPNW
84 members are eligible for referral to a CHP chiropractor by a KPNW clinician for a limited number of
85 visits. Over the period of this project, KPNW policy allowed patient referral for chiropractic care in the

86 setting of acute (3 months or less) non-radicular back or neck pain. Importantly, those with an acute
87 exacerbation of a chronic back or neck pain syndrome were eligible for referral.

88 Participants

89 We developed a study-specific chronic pain registry employing a comprehensive *International*
90 *Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)* code list to identify patients
91 whose pattern of clinical diagnoses in the EHR suggested chronic musculoskeletal pain.¹⁴ (Online
92 Appendix 1) The sample was operationally defined as including members at least 18 years old with ≥ 3
93 outpatient pain related encounters evident in the EHR, spanning at least 180 days but no more than 18
94 months.

95 During the course of the study (8/1/13-1/31/15), we used the EHR to electronically monitor the routine
96 care delivered at KPNW to registry patients. We contacted and invited patients to enroll in the study
97 immediately upon chiropractic referral. As this was an observational study, the decision to initiate
98 chiropractic referral, or not, was made between physician and patient in the context of the delivery of
99 routine care in the clinics. Exclusion criteria included a baseline score of < 4 on the (0-10) scale for pain
100 bothersomeness, non-persistent pain, current or recent (last six months) chiropractic care, pregnancy,
101 or plans to move out of area. Given both the study sample definition and the KPNW medical necessity
102 criteria for chiropractic care, most eligible patients had chronic pain with acute exacerbations of back or
103 neck pain. Patients were screened and provided written consent, and baseline measures were collected.
104 For each referred patient enrolled, we targeted enrollment of two control patients with chronic
105 musculoskeletal pain who had a recent (previous 10 day) office visit for back or neck pain but were not
106 referred for chiropractic care. These patients were likewise identified through EHR monitoring,
107 contacted, and invited to enroll. We matched patients in the control arm to referred patients based
108 upon gender, pain bothersomeness (difference within ± 2 points), and propensity to be referred for

109 chiropractic care. The latter was based upon a propensity score model developed using retrospective
110 EHR data from 2010, and then validated on 2011 data, before implementation for matching in the 2013
111 prospective cohort study. The rationale and methodology for development of the propensity score
112 model was identical to that for the *Relief* acupuncture cohort, and is described elsewhere.¹³ Baseline
113 predictive factors ultimately included in the calculation of the propensity score were age; opioid and
114 pain medication use; number of outpatient visits; physical therapy utilization; diagnoses of nonspecific
115 chronic pain, sleep disorders, substance abuse, and anxiety; tobacco abuse; procedures for diagnosing
116 and treating pain; ambulatory Charlson score; and months since cohort entry. (Online Appendix 2) Over
117 the course of their study participation, both referred and non-referred patients continued to receive
118 usual care as deemed appropriate by their primary care physicians.

119 The Institutional Review Board at Kaiser Permanente Northwest approved all study procedures.

120

121 Outcomes

122 We collected patient reported-measures at baseline, and at months 1, 3, and 6.

123 *Main outcome measures:* Two subscales (the 4-item pain severity and the 7-item pain interference
124 subscales) from the short form of the **Brief Pain Inventory (BPI-SF)**¹⁵⁻¹⁷ were used to assess pain and
125 related disability. The BPI has sound psychometrics and has been widely adopted. We also included a
126 measure of how bothered participants were by their pain. This instrument uses a 0 to 10 scale of
127 “**symptom bothersomeness**,” where 0 represents “not at all bothersome” and 10 is “extremely
128 bothersome.” This question has been frequently used in studies of back pain^{18,19} and shown to have
129 adequate construct validity.²⁰

130 *Secondary outcome measures, clinical / patient-reported:* Quality of sleep was measured using the 5-
131 item **Insomnia Severity Index (ISI)**²¹⁻²³ We used the Personal Health Questionnaire (**PHQ-8**)²⁴ to
132 evaluate depression severity. The PHQ-8 is established as a valid severity measure for depressive
133 disorders in large clinical studies.²⁴⁻²⁶ We used the 7-item Generalized Anxiety Disorder Scale (**GAD-7**)²⁷
134 to screen for anxiety disorders. Health-Related Quality of Life (QOL) was assessed using the five level
135 **EuroQol** instrument (**EQ-5D**).²⁸

136 *Secondary outcome measures, health care costs:* We monitored the cost of pain-related outpatient
137 visits (including both conventional and chiropractic care), inpatient hospitalizations, and drugs dispensed
138 for the 6 months prior to and following study entry. We estimated health plan costs (in 2014 U.S.
139 dollars) by applying internal unit costs (developed and tested in previous studies)²⁹⁻³¹ to patient-level
140 utilization measures, with the final cost variable acting as a proxy for HMO resource cost.

141
142 *Adverse events:* On each of the assessment surveys, we asked participants to report any adverse events
143 associated with pain treatments.

144 Data sources

145 We collected patient-reported outcomes and adverse events over the phone or online.³² (Online
146 Appendix 3)

147 We used EHR data to determine pain-related and comorbid diagnoses, estimate pain-related health
148 care costs, and to calculate Charlson comorbidity index scores.³³ We obtained patient data for the 180
149 days before and after patient enrollment. The data included ICD-9-CM diagnostic codes and Current
150 Procedural Terminology (CPT) codes for procedures and filled prescription data associated with chronic
151 pain.

152 Finally, we mailed a questionnaire to chiropractors who cared for study participants to collect
153 information on the treatments they provided (session frequency, reason for referral, and use of specific
154 treatment approaches including: joint manipulative procedures, soft tissue manipulative procedures,
155 physical modalities, prescriptions/devices, rehabilitative exercises, and self-care /lifestyle
156 recommendations).

157 Sample size

158 Sample size calculations demonstrated that 100 study participants per group would yield statistical
159 power of approximately 0.90 to detect standardized effect sizes of 0.50 or greater.¹⁴ Using an alpha of
160 .025, and given the number of participants actually enrolled, we have statistical power of 0.72 and 0.61
161 to detect standardized effect sizes of 0.50 or greater for BPI pain interference and severity, respectively.
162 Using a 0.05 alpha, the power calculations are .81 for pain interference, and 0.71 for pain severity,
163 respectively.

164

165 Statistical analysis

166 *Patient-reported outcomes.*

167 For pain scores, our primary analytic model was a piecewise continuous, segmented regression model
168 that allowed us to estimate shorter-term (first 3 months, which, given the benefit structure, was the
169 expected maximum duration of chiropractic treatment) and longer-term (second 3 months) changes in
170 pain scores. The model was fit using the **mixed** procedure in Stata (version 13.1) in order to account for
171 clustering of observations within patients and propensity score deciles, and adjusted for age, gender,
172 and baseline Charlson comorbidity index (dichotomized as 0, Charlson=0 and 1, Charlson=1+). We
173 included this parsimonious set of additional control variables because they were considered the factors
174 most likely to confound the relationship between chiropractic referrals and patient outcomes. Similar

175 models were used to analyze the secondary clinical outcomes. We also conducted analyses using only
176 the baseline and six-month data to assess the net impact of the chiropractic referrals six months after
177 enrollment. Here, we used simple linear regression models to predict six month outcomes as a function
178 of referral status, again adjusting for baseline level of the outcome measure and age, gender and
179 baseline Charlson comorbidity index. Finally, we performed sensitivity analyses which retained only
180 referred patients who actually received chiropractic care, and non-referred patients who actually did not
181 receive such care.

182 *Costs.*

183 We modeled health care costs using generalized linear models with gamma specification and log link to
184 accommodate the distributional properties of cost data and to avoid interpretation issues associated
185 with back-transformation from transformation models. Model-based cost estimates were presented for
186 the “typical” study participant where relevant,³⁴ and models were adjusted for age, gender, baseline
187 Charlson comorbidity index, and baseline costs.

188 *Missing data*

189 Each analysis was performed on participants with available data. Multilevel estimation procedures
190 allowed all participants to contribute to estimates if they had at least one observation for primary and
191 secondary outcomes. Models estimating the net impact of the chiropractic referrals six months after
192 enrollment required completion of the 6-month follow-up assessment. Costs analyses extracted data
193 from the EHR and thus required continuous health plan enrollment during the 6-month follow-up.
194 Results using multiple imputation with chained equations yielded similar results.

195 **Results**

196 Participants

197 Among potential referred participants, 264 completed screening, 94 screened eligible, and 70 consented
198 and were enrolled. (Figure 1) The most common reason for screening ineligibility was undocumented
199 chiropractic care within the preceding 6 months (N=127). Among potential controls, 717 completed
200 screening, 422 screened eligible, and 139 consented and were enrolled. The most common reasons for
201 screening ineligibility were similarly undocumented chiropractic care within the preceding 6 months
202 (N=162) and low baseline pain scores (N=151).

203 Baseline Patient Characteristics

204 Participants were predominantly Caucasian (90.7%) and female (66.0%), with a mean age of 48.0 years
205 (Table 1). Nearly all had back and/or neck pain. Despite propensity score matching, patients referred for
206 chiropractic care were less likely than non-referred patients to be involved with litigation, to have
207 depressive symptoms, or to have received physical therapy, spinal injections, or pain clinic specialty
208 care. At 6 months, 89% of referred patients, and 86% of controls provided follow-up data.

209 Chiropractic Services

210 Among referred patients, the mean number of visits with the chiropractor, based upon EHR data, was
211 4.0 (sd 4.4; median=3, IQR=0-7).

212 Based upon both EHR data and participant self-report, 73% percent of those referred for chiropractic
213 care actually received such care, while in the non-referred group, 16% sought and received chiropractic
214 care on their own.

215 Forty chiropractors returned questionnaire responses describing the care for 43 referred patients.

216 Regarding spinal care, 80% of responses reported providing thrust adjustment, 51% segmental
217 mobilization, 31% instrument adjustment, and 21% traction / distraction. For soft tissue manipulative
218 procedures, 52% of responses reported using massage, 42% muscle stretching, 39% point-pressure

219 techniques, and 13% Graston Technique (a method using metal instruments to rub patient muscles).
220 Regarding physical modalities, 60% reported electrical stimulation, 60% hot / cold packs, and 24%
221 ultrasound. For home care, 81% of the responses recommended stretching, 41% core stabilization, 33%
222 resistance strengthening, 11% McKenzie exercises, and 11% proprioceptive drills. Regarding lifestyle
223 recommendations, 61% provided exercise handouts, 33% advised stress reduction, 24% coached
224 regarding injury prevention, and 12% provided dietary and nutritional counselling.

225 Main results

226 *Patient reported outcomes*

227 For bothersomeness, pain severity, and pain interference, both groups improved significantly over the
228 first 3 months, with much less change between months 3 and 6. None of these changes differed
229 significantly between the referred and non-referred groups in either adjusted (Table 2), unadjusted, or
230 sensitivity analyses. Table 3 presents mean pain scores at the six-month visit. Although these tended
231 to be lower for the referred than for the non-referred groups, again none of the differences were
232 statistically significant.

233 We likewise found no significant difference between groups for any secondary clinical outcome
234 measure. (Table 2) Similarly, there was no significant difference between groups for any of these
235 outcome measures in terms of the percentage of patients showing clinically significant improvement at
236 6 months.

237 *Economic outcomes*

238 As shown in Table 4, total costs during the 6-month post enrollment follow-up were significantly higher
239 on average in the non-referred versus referred group (\$1,996 [SD=3,874] vs \$1,086 [SD=1, 212], p=.034)
240 after controlling for differences in baseline costs. Sensitivity analyses demonstrated similar results

241 during the 6-month follow-up with non-referred patients having higher costs than referred patients
242 (\$2,272 [SD=4,545] vs \$819 [SD=882], p= .020). However, adjusting for differences in age, gender, and
243 Charlson comorbidity index attenuated these differences, which were no longer statistically significant.

244 Adverse events

245 A total of 20 participants reported an adverse event: 14, or 10%, of the participants from the non-
246 referred group, and six, or 8.5%, of those referred for chiropractic care. Among non-referred patients,
247 four (3%) reported an adverse event attributable to medications, four (3%) to physical activity, one
248 (<1%) to acupuncture treatments, and five (4%) to other factors. Among those referred for chiropractic
249 care, three (4%) reported an adverse event attributable to physical activity, and three (4%) potentially to
250 chiropractic care. Of these, one participant noted at 6-month follow-up that although neck pain
251 improved with chiropractic care, hip pain worsened. A second participant indicated worsening pain at 3-
252 month follow-up, but expressed uncertainty as to whether this was attributable to chiropractic
253 treatments, or to their discontinuation. A third participant specified chiropractic care as a cause of
254 worsening symptoms at one month follow-up, without further details.

255 No serious study-related adverse events were reported.

256 Discussion:

257 In this prospective cohort study, patients referred for chiropractic care showed statistically significant
258 improvement comparable to propensity score matched non-referred patients for clinical pain-related
259 outcomes. After adjusting for differences in baseline costs, total pain related health care expenditures
260 during the 6-month post-enrollment follow-up were significantly higher on average in the non-referred
261 versus referred group. Although this result persisted in a sensitivity analysis, and is consistent with
262 previously published retrospective analyses,³⁵ it did not maintain statistical significance after

263 adjustment for differences in age, gender, and Charlson comorbidity index. As clinical outcomes were
264 generally similar, however, and the provision of chiropractic care clearly did not increase costs,
265 chiropractic care may have to some degree substituted for conventional care, rather than just adding to
266 it. Chiropractic care thus provided an additional clinically viable option for patients who prefer this type
267 of care, at no additional expense.

268 There were no serious adverse events associated with chiropractic care. Chiropractors reported
269 considerable heterogeneity in the types of modalities offered to patients, and chiropractic care
270 commonly included a substantial component of self-care instruction.

271 Strengths of this study include the prospective cohort design, incorporating patient reported outcomes
272 and employing a novel approach to matching referred patients with controls at baseline using
273 propensity score modeling. The study setting provided a unique opportunity to describe and evaluate
274 chiropractic benefits as actually provided in a conventional HMO setting.

275 Study limitations include a relatively small sample size, as we did not achieve our targeted enrollment.
276 This was largely due to many patients having recently used chiropractic care undetected by the EHR,
277 thus rendering them ineligible for the study. Excluding such individuals from the study may have
278 removed those most likely to use chiropractic care routinely from consideration, thereby limiting
279 generalizability of our findings. Further, our analyses do not distinguish between those with neck pain
280 and back pain, which may likewise limit generalizability. In addition, not everyone who was referred
281 actually sought chiropractic care, while some participants in the non-referred group did receive such
282 care. This would generally blunt real differences that might exist, likely biasing our results toward the
283 null.

284 Even so, the study provides important insight. We found that referred and non-referred participants
285 had comparable clinical outcomes, and that chiropractic referral neither added to healthcare costs nor

286 introduced significant safety concerns. Data suggest that although two thirds of primary care physicians
287 have recommended chiropractic care to their patients,³⁶ lack of communication remains a major barrier
288 to care coordination.^{37,38} Better integration of chiropractors into conventional care spine management
289 algorithms could represent a sensible approach to enhancing patient-centered care for patients with
290 chronic musculoskeletal pain. Finally, the project establishes the feasibility of a methodologic
291 alternative for prospectively evaluating the comparative effectiveness of clinical interventions in routine
292 settings for chronic musculoskeletal pain.

293

294 **Acknowledgements:**

295 Sources of Funding: This project was funded by a grant from the National Institutes of Health, Center for
296 Complementary and Integrative Health (R01 AT005896).

297 An earlier version of these data were presented as part of a poster presentation at the International
298 Congress on Integrative Medicine and Health in Las Vegas, in 2016.

299

300 Conflicts of Interest: Dr. Deyo reports royalties from UpToDate for authoring topics on low back pain, an
301 endowment from Kaiser Permanente to Oregon Health and Science University, and a financial gift from
302 NuVasive as part of a lifetime achievement award from the International Society for Study of the Lumbar
303 Spine. For the remaining authors, no conflicts of interest were declared.

304

305

306

307 **References**

- 308 1. Hoy D, March L, Brooks P, et al. The global burden of low back pain: estimates from the Global
309 Burden of Disease 2010 study. *Annals of the rheumatic diseases*. 2014;73(6):968-974.
- 310 2. Hoy D, March L, Woolf A, et al. The global burden of neck pain: estimates from the global
311 burden of disease 2010 study. *Annals of the rheumatic diseases*. 2014;73(7):1309-1315.
- 312 3. Gaskin DJ, Richard P. Appendix C: The Economic Costs of Pain in the United States. *Relieving Pain
313 in America: A Blueprint for Transforming Prevention, Care, Education, and Research. Institute of
314 Medicine (US) Committee on Advancing Pain Research, Care, and Education*. Washington (DC):
315 National Academies Press (US); 2011.

- 316 4. Centers for Disease Control and Prevention. National Ambulatory Medical Care Survey: 2010
317 Summary Tables.
318 www.cdc.gov/nchs/data/ahcd/namcs_summary/2010_namcs_web_tables.pdf. Accessed
319 05/25/2018.
- 320 5. Wiitavaara B, Fahlstrom M, Djupsjobacka M. Prevalence, diagnostics and management of
321 musculoskeletal disorders in primary health care in Sweden - an investigation of 2000 randomly
322 selected patient records. *J Eval Clin Pract.* 2017;23(2):325-332.
- 323 6. Beebe FA, Barkin RL, Barkin S. A clinical and pharmacologic review of skeletal muscle relaxants
324 for musculoskeletal conditions. *American Journal of Therapeutics.* 2005;12(2):151-171.
- 325 7. Von Korff M, Kolodny A, Deyo RA, Chou R. Long-term opioid therapy reconsidered. *Annals of*
326 *Internal Medicine.* 2011;155(5):325-328.
- 327 8. Barnes P, Bloom B, Nahin R. *CDC National Health Statistics Report #12. Complementary and*
328 *Alternative Medicine Use Among Adults and Children. United States, 2008.*
- 329 9. Elder C, DeBar L, Ritenbaugh C, et al. Acupuncture and chiropractic care: utilization and
330 electronic medical record capture. *Am J Manag Care.* 2015;21(7):e414-421.
- 331 10. Bronfort G, Haas M, Evans R, Leininger B, Triano J. Effectiveness of Manual Therapies: the UK
332 Evidence Report. *Chiropractic and Osteopathy.* 2010;18(3).
- 333 11. Deyo RA. The Role of Spinal Manipulation in the Treatment of Low Back Pain. *Jama.*
334 2017;317(14):1418-1419.
- 335 12. Paige NM, Miake-Lye IM, Booth MS, et al. Association of Spinal Manipulative Therapy With
336 Clinical Benefit and Harm for Acute Low Back Pain: Systematic Review and Meta-analysis. *Jama.*
337 2017;317(14):1451-1460.
- 338 13. Johnson ES, Dickerson JF, Vollmer WM, et al. The feasibility of matching on a propensity score
339 for acupuncture in a prospective cohort study of patients with chronic pain. *BMC medical*
340 *research methodology.* 2017;17(1):42.
- 341 14. DeBar LL, Elder C, Ritenbaugh C, et al. Acupuncture and chiropractic care for chronic pain in an
342 integrated health plan: a mixed methods study. *BMC Complementary & Alternative Medicine.*
343 2011;11:118.
- 344 15. Cleeland CS, Ryan KM. Pain assessment: global use of the Brief Pain Inventory. *Annals of the*
345 *Academy of Medicine, Singapore.* 1994;23(2):129-138.
- 346 16. Keller S, Bann CM, Dodd SL, Schein J, Mendoza TR, Cleeland CS. Validity of the brief pain
347 inventory for use in documenting the outcomes of patients with noncancer pain. *The Clinical*
348 *journal of pain.* 2004;20(5):309-318.
- 349 17. Tan G, Jensen MP, Thornby JI, Shanti BF. Validation of the Brief Pain Inventory for chronic
350 nonmalignant pain. *The journal of pain : official journal of the American Pain Society.*
351 2004;5(2):133-137.
- 352 18. Cherkin DC, Deyo RA, Battie M, Street J, Barlow W. A comparison of physical therapy,
353 chiropractic manipulation, and provision of an educational booklet for the treatment of patients
354 with low back pain. *New England Journal of Medicine.* 1998;339(15):1021-1029.
- 355 19. Patrick DL, Deyo RA, Atlas SJ, Singer DE, Chapin A, Keller RB. Assessing health-related quality of
356 life in patients with sciatica. *Spine.* 1995;20(17):1899-1908; discussion 1909.
- 357 20. Dunn KM, Croft PR. Classification of low back pain in primary care: using "bothersomeness" to
358 identify the most severe cases. *Spine.* 2005;30(16):1887-1892.
- 359 21. Bastien CH, Vallieres A, Morin CM. Validation of the Insomnia Severity Index as an outcome
360 measure for insomnia research. *Sleep medicine.* 2001;2(4):297-307.
- 361 22. Morin CM, Belleville G, Belanger L, Ivers H. The Insomnia Severity Index: psychometric indicators
362 to detect insomnia cases and evaluate treatment response. *Sleep.* 2011;34(5):601-608.

- 363 23. Thorndike FP, Ritterband LM, Saylor DK, Magee JC, Gonder-Frederick LA, Morin CM. Validation
364 of the insomnia severity index as a web-based measure. *Behavioral sleep medicine*.
365 2011;9(4):216-223.
- 366 24. Kroenke K, Strine TW, Spitzer RL, Williams JB, Berry JT, Mokdad AH. The PHQ-8 as a measure of
367 current depression in the general population. *Journal of affective disorders*. 2009;114(1-3):163-
368 173.
- 369 25. Lowe B, Unutzer J, Callahan CM, Perkins AJ, Kroenke K. Monitoring depression treatment
370 outcomes with the patient health questionnaire-9. *Med Care*. 2004;42(12):1194-1201.
- 371 26. Lowe B, Kroenke K, Herzog W, Grafe K. Measuring depression outcome with a brief self-report
372 instrument: sensitivity to change of the Patient Health Questionnaire (PHQ-9). *J Affect Disord*.
373 2004;81(1):61-66.
- 374 27. Kroenke K, Spitzer RL, Williams JB, Monahan PO, Lowe B. Anxiety disorders in primary care:
375 prevalence, impairment, comorbidity, and detection. *Ann Intern Med*. 2007;146(5):317-325.
- 376 28. Brooks R. EuroQol: the current state of play. *Health policy (Amsterdam, Netherlands)*.
377 1996;37(1):53-72.
- 378 29. Hornbrook MC, Goodman MJ. Chronic disease, functional health status, and demographics: a
379 multi-dimensional approach to risk adjustment. *Health Serv Res*. 1996;31(3):283-307.
- 380 30. Lynch FL, Hornbrook M, Clarke GN, et al. Cost-effectiveness of an intervention to prevent
381 depression in at-risk teens. *Arch Gen Psychiatry*. 2005;62(11):1241-1248.
- 382 31. Smith DH, O'Keefe-Rosetti M, Owen-Smith AA, et al. Improving Adherence to Cardiovascular
383 Therapies: An Economic Evaluation of a Randomized Pragmatic Trial. *Value Health*.
384 2016;19(2):176-184.
- 385 32. Rutherford C, Costa D, Mercieca-Bebber R, Rice H, Gabb L, King M. Mode of administration does
386 not cause bias in patient-reported outcome results: a meta-analysis. *Qual Life Res*.
387 2016;25(3):559-574.
- 388 33. Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying prognostic
389 comorbidity in longitudinal studies: development and validation. *Journal of chronic diseases*.
390 1987;40(5):373-383.
- 391 34. Mihaylova B, Briggs A, O'Hagan A, Thompson SG. Review of statistical methods for analysing
392 healthcare resources and costs. *Health Econ*. 2011;20(8):897-916.
- 393 35. Martin BI, Gerkovich MM, Deyo RA, et al. The association of complementary and alternative
394 medicine use and health care expenditures for back and neck problems. *Med Care*.
395 2012;50(12):1029-1036.
- 396 36. Greene BR, Smith M, Allareddy V, Haas M. Referral patterns and attitudes of primary care
397 physicians towards chiropractors. *BMC Complement Altern Med*. 2006;6:5.
- 398 37. Allareddy V, Greene BR, Smith M, Haas M, Liao J. Facilitators and barriers to improving
399 interprofessional referral relationships between primary care physicians and chiropractors. *J*
400 *Ambul Care Manage*. 2007;30(4):347-354.
- 401 38. Penney LS, Ritenbaugh C, Elder C, Schneider J, Deyo RA, DeBar LL. Primary care physicians,
402 acupuncture and chiropractic clinicians, and chronic pain patients: a qualitative analysis of
403 communication and care coordination patterns. *BMC Complement Altern Med*. 2016;16:30.

404

405

406

Table 1. Baseline Characteristics of Chiropractic Sample			
Characteristic / Health Services	Referred for Chiropractic (n=70)	Not Referred for Chiropractic (n=139)	p-value[‡]
Demographics			
Age, mean, SD	47.2 ± 13.6	48.4 ± 11.4	.506
% Female	64.3%	66.9%	.706
Race/Ethnicity			
White	89.6%	91.3%	.683
% Latino	2.9%	10.1%	.073
Education - some college or more	85.3%	76.7%	.156
Married / living with a partner	69.1%	76.6%	.258
Household income			
<\$25,000	10.6%	10.2%	.337
≥\$100,000	15.2%	24.2%	
Involved with litigation	1.5%	16.4%	.002
Pain Characteristics			
Time since first experienced persistent pain			
< 1 year	22.0%	18.7%	.626
1-5 years	36.8%	32.4%	
5-10 years	16.2%	23.7%	
> 10 years	25.0%	25.2%	
Time since first saw health professional for pain			
< 1 year	42.7%	37.4%	.840
1-5 years	27.9%	27.3%	
5-10 years	11.8%	15.1%	
> 10 years	17.7%	20.1%	
Baseline pain level			
Bothersomeness, mean, SD	6.6 ± 1.9	6.4 ± 2.0	.687
Pain severity (BPI-SF subscale) Mean, SD	5.3 ± 1.6	5.3 ± 1.5	.962
Pain interference (BPI-SF subscale) mean, SD	5.2 ± 2.1	5.3 ± 2.1	.669
Other Reported Symptoms			
Sleep disturbance (ISI - % w/score > 14)	28.6%	35.7%	.299
Depression symptoms (PHQ - % w/score > 10)	28.6%	40.4%	.094
Anxiety symptoms (GAD - % w/score > 10)	19.1%	27.7%	.179
Self-reported Use of Pain-related Health Care Services			
Physical therapy services in past 6 months	13.0%	19.7%	.009
Steroid/trigger point injections in past 6 months	4.4%	14.4%	.030
Acupuncture ever received	33.3%	40.3%	.331
Chiropractic Care ever received	56.5%	53.2%	.654
Use of Pain-related Health Care Services (from EHR)			
Physical therapy services in past 6 months	8.6%	9.4%	.853

Steroid/trigger point injections in past 6 months	2.9%	8.6%	.115
Pain clinic services in past 6 months	1.4%	15.8%	.002
Pain-related Imaging in past 6 months	15.7%	25.2%	.119
Pain-related Surgery in past 6 months	0%	0%	NA
Pharmacotherapy (data from EMR)			
Receipt of Long Term Opioid Treatment	11.4%	18.7%	.179
EMR Record of Opioid Therapy Plan	10.0%	18.7%	.103
Opioid Morphine Equivalent Dose (MED) (SD) (mg / day of morphine, or equivalent)	0.04 ± 0.16	0.14 ± 0.58	.142
% ≥ 120 MED	4.3%	6.5%	.521
Antidepressant receipt	32.9%	51.1%	.012
Medical and Psychiatric Comorbidities (from EHR)			
Diabetes	14.3%	10.1%	.367
Cardiovascular Disorders	40.0%	34.5%	.438
Respiratory Disorders	20.0%	10.8%	.069
Two or more of above chronic medical conditions	12.9%	10.8%	.658
Psychiatric Disorders	34.3%	44.6%	.153
Types of Non-Malignant Chronic Pain (NCP) (from EHR)			
Back pain	75.7%	79.1%	.573
Neck pain	57.1%	46.8%	.157
Back and neck pain	100.0%	97.8%	.216
Joint pain (including osteoarthritis)	70.0%	68.4%	.807
Fibromyalgia and other myofascial pain	15.7%	14.4%	.799
Headaches	14.3%	16.6%	.672
Neuropathy	5.7%	2.2%	.178
Nonspecific and other Pain	18.6%	25.2%	.284
Two of above NCP types	82.9%	80.6%	.690

409

410

Table 2. Change in pain scores and other outcomes from piecewise regression model¹

Outcome	Change from enrollment to 3 months				Change from 3 months to 6 months			
	Referred	Not referred	Between group difference		Referred	Not referred	Between group difference	
			Mean (CI)	p-value			Mean (CI)	p-value
Bother	-.50 ⁴	-.40 ⁴	-.10 (-.39, .19)	.499	-.04	-.02	-.02 (-.29, .26)	.91
Pain severity	-.35 ⁴	-.25 ⁴	-.10 (-.30, .10)	.336	.02	-.05	.07 (-.12, .27)	.46
Pain interference	-.38 ⁴	-.30 ⁴	-.07 (-.31, .16)	.534	.02	-.06	.07 (-.16, .30)	.54
Sleep	-.12	-.20	.08 (-.36, .51)	.315	.03	-.11	.14 (-.27, .55)	.50
PHQ	-.25	.02	-.27 (-.68, .15)	.209	-.08	-.21	.13 (-.30, .56)	.42
GAD	-.06	.19	-.25 (-.68, .18)	.258	.30	-.32 ⁴	.62 (.18, 1.05)	.006*
EQ-5D	.007	.004	.004 (-.01, .02)	.601	.001	.003	-.002(-.02, .01)	.77

¹ all results are adjusted for age, gender, and Charlson comorbidity index² p<.05 for test that short term (or long term) slope is different from zero

³ p≤.01 for test that short term (or long term) slope is different from zero

⁴ p≤.001 for test that short term (or long term) slope is different from zero

*The trend favored the referred group from enrollment to 3 months, then the non-referred group from 3 months to 6 months, with no significant overall difference at 6 months

For EQ-5D (quality of life), higher scores are better. For the other measures, higher scores are worse.

411

412

Table 3. Pain and other outcome scores at 6-month visit

Outcome	Referred	Not referred	Between group difference Mean (95% CI)	p-value
Bother	4.76	5.00	-.33 (-1.09 – 0.41)	.39
Pain severity	4.06	4.10	-.10 (-0.63 – 0.43)	.71
Pain interference	3.91	4.06	-.21 (-0.88 – 0.46)	.54
Sleep	11.11	11.35	.46 (-0.77 – 1.69)	.47
PHQ	6.92	8.34	-.65 (-2.02 – 0.76)	.37
GAD	6.34	6.54	.64 (-0.69 – 1.92)	.34
EQ-5D	.754	.706	.02 (-0.014 – 0.052)	.25

all results are adjusted for age, gender, and Charlson comorbidity index

For EQ-5D (quality of life), higher scores are better. For the other measures, higher scores are worse.

413

414

415

416

Table 4(A). Average Health Care Expenditures (U.S. Dollars) Related to Pain during 6 months following Qualifying Visit

417

418

419

Expenditure Category	R Mean (SD) (N=68)	NR Mean (SD) (N=139)	Coef. Estimate (SE) ¹	p-value ¹	Coef. Estimate ²	p-value ²
Outpatient*	1,026 (1,192)	1,445 (1,866)	-0.18 (0.21)	.404	-0.19 (0.20)	.344
Pharmaceutical	60 (155)	174 (1,265)	0.10 (0.45)	.819	0.64 (0.55)	.246
Total Pain-Related Expenditures	1,086 (1,212)	1,996 (3,874)	-0.41 (0.20)	.034	-0.37 (0.21)	.072
Total Pain-Related Expenditures <i>less</i> inpatient	1,086 (1,212)	1,619 (2,242)	-0.17 (0.21)	.423	-0.17 (0.19)	.382

¹Generalized linear models with Gamma specification and log link, robust standard errors, unadjusted beyond matched-design and baseline expenditures (12 months prior to study entry; within category)

²Additional control variables include gender; age; Charlson Risk Score, dichotomized to 0=0 and 1=1+.

*Limited to mental health and pain-related encounters.

420

421

422

423

424

425

426

427

428

429

430
 431
 432
 433
 434
 435
 436
 437
 438

Table 4(B). Average Health Care Expenditures (U.S. Dollars) Related to Pain during 6 months following Qualifying Visit, Sensitivity Analyses

Expenditure Category	R Mean (SD) (N=43)	NR Mean (SD) (N=91)	Coef. Estimate (SE) ¹	p-value ¹	Coef. Estimate ²	p-value ²
Outpatient*	756 (839)	1,460 (1,804)	-0.38 (0.29)	.191	-0.35 (0.30)	.234
Pharmaceutical	63 (159)	234 (1,561)	2.78 (0.82)	.001	3.13 (1.00)	.002
Total Pain-Related Expenditures	819 (882)	2,272 (4,545)	-0.66 (0.28)	.020	-0.49 (0.30)	.109
Total Pain-Related Expenditures <i>less</i> inpatient	1,086 (1,212)	1,619 (2,242)	-0.32 (0.28)	.267	-0.27 (0.29)	.343

439 ¹Generalized linear models with Gamma specification and log link, robust standard errors, unadjusted beyond matched-design and baseline
 440 expenditures (12 months prior to study entry; within category)

441 ²Additional control variables include gender; age; Charlson Risk Score, dichotomized to 0=0 and 1=1+.

442 ^{*}Limited to mental health and pain-related encounters.

443

444

445

446

447

448 Figure 1. Participant Flow

449

450

451