

## Changes in ABCD keratoconus grade following intracorneal ring segment implantation

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**Running head:** ABCD keratoconus grading system following the ring implantation

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25

26 **ABSTRACT**

27 **Purpose:** To assess the changes in the ABCD keratoconus staging system 6 months following intracorneal  
28 ring segment implantation.

29 **Methods:** Fifty eyes of 50 keratoconic patients who were implanted with Keraring (Mediphacos, Belo  
30 Horizonte, Brazil) using the femtosecond laser were included in this study. Pre- and post-operative  
31 assessments included determination of distance uncorrected and corrected visual acuity (UCVA & BCVA),  
32 refraction, and Scheimpflug tomography with Pentacam HR. In the ABCD keratoconus staging system A, B,  
33 C and D elements stand for the anterior and back/posterior radiuses of curvature in 3.0mm zone centered  
34 on the thinnest point, corneal thickness at thinnest point and distance corrected visual acuity (DCVA),  
35 respectively.

36 **Results:** Keraring implantation produced significant flattening changes (pre- vs. post-operatively) in the  
37 anterior ( $6.60 \pm 0.48$  vs.  $7.22 \pm 0.57$ mm) and posterior ( $4.99 \pm 0.47$  vs.  $5.16 \pm 0.53$ mm) radius of curvature of  
38 the 3mm zone centered on the corneal thinnest point associated with statistically significant improvement in  
39 the corrected distance visual acuity ( $0.56 \pm 0.24$  vs.  $0.70 \pm 0.22$  in decimal notation) ( $p < 0.05$ ) with no  
40 significant change in the corneal thickness at the thinnest point ( $p > 0.05$ ). The most changes occurred in  
41 element A of the ABCD keratoconus classification. Also, one stage change was observed for element B  
42 while elements C and D did not show changes in their stages pre- and post-operatively. The ABCD  
43 keratoconus staging before ring implantation was A2B3C2D1 and changed to A1B2C2D1 six months after  
44 surgery.

45 **Conclusion:** the ABCD staging system provides a more comprehensive guide that better illustrates the  
46 structural changes and visual acuity as one aspect of visual performance following the implantation of the  
47 intra-stromal corneal ring segments.

48 **Key Words:** Keratoconus; Staging; Intracorneal ring segments; Keraring; Corneal tomography.

49

## 50 **INTRODUCTION**

51 Keratoconus is a bilateral and usually asymmetrical condition characterized by progressive para-axial  
52 thinning and corneal steepening.<sup>1,2</sup> One suggested option for rehabilitation of visual performance in the eyes  
53 with keratoconous is implantation of intra-stromal corneal ring segments (ICRSs), which are effective in  
54 improving vision, reducing refractive errors and mean corneal curvature.<sup>3</sup> ICRSs' implantation creates a  
55 flattening effect while maintaining the corneal prolateness. Unlike subtraction techniques, such as excimer  
56 laser corneal refractive surgery, ICRS preserve tissue, modifying the cornea without removing tissue or  
57 contact with the cornea center.<sup>4</sup>

58 Several classification systems have been proposed for keratoconus staging based on various  
59 parameters such as keratometry readings, spectacle refraction, apical corneal thickness, and high-orders  
60 aberrations, but until recently there was no grading system to provide an integrated approach for combining  
61 multiple morphological parameters along with visual related factors such as visual acuity.<sup>5</sup>

62 Two most widely used grading systems in the literatures are the Amsler-Krumeich (AK) and the  
63 Collaborative Longitudinal Evaluation of Keratoconus (CLEK) Study classification systems. The AK system  
64 consists of four stages (stages 1-4) and uses four variables (mean keratometry reading, central corneal  
65 thickness, spectacle refraction and presence/absence of corneal scarring) for grading purpose.<sup>6,7</sup> The  
66 CLEK study system is based on changes in visual acuity, mean keratometry, slit-lamp biomicroscopic signs,  
67 presence or absence of corneal scarring, and visual-related quality of life.<sup>8</sup>

68           There are significant limitations to the existing staging systems. The AK classification is over 70  
69 years old and was based on optical pachymetry and keratometry. The CLEK classification is over 20 years  
70 old and did not utilize any corneal imaging technologies and does not take into account the posterior  
71 corneal surface data or corneal thickness map analysis, which have significant importance in detecting early  
72 or subclinical keratoconus.<sup>9</sup> Recently, a grading system known as Belin ABCD keratoconus staging  
73 (Topometric/KC-Staging) has been presented based on the tomographic data and visual acuity  
74 consideration to better describe both anatomical and functional changes seen in keratoconus.<sup>10</sup> In this  
75 system “A”, “B”, “C” and “D” respectively stand for the anterior radius of curvature in 3.0mm zone centered  
76 on the thinnest point, posterior (back) radius of curvature in 3.0mm zone centered on the thinnest point,  
77 corneal thickness at thinnest point, and distance corrected visual acuity (DCVA). It also has another variable  
78 that shows the presence or absence of corneal scarring.<sup>11</sup> This system consists of five stages (stages 0-4)  
79 where each component is independently graded. For the anterior corneal curvature stages 1-4 are closely  
80 in agreement to the AK classification. The 3.0mm zone used in this staging system corresponds to the  
81 exclusion zone utilized in the Belin-Ambrosio Enhanced Ectasia Display (BAD) screening program for  
82 keratoconus and other corneal ectatic diseases. The philosophy behind the choice of this region is that this  
83 zone contains the most ectatic areas compared to a single parameter such as the point with the highest  
84 elevation or point with the maximum curvature value (e.g. Kmax).<sup>12</sup>

85           Although several studies have evaluated the effect of ICRSs on some visual performance and  
86 structural parameters<sup>2, 13-18</sup>, to the best of our knowledge, there is no study to evaluate the effect of corneal  
87 ring segments on the visual and corneal morphological status using a more comprehensive grading system.  
88 This study was designed to assess changes in the ABCD parameters 6 months following ring implantation.

89

## 90 **METHODS**

91           50 eyes of fifty keratoconic patients who were implanted with Keraring segments (Mediphacos, Belo  
92 Horiztone, Brazil) were included in this prospective clinical study. Diagnosis of keratoconus was based on  
93 corneal topo/tomography and slit-lamp observation and confirmed by an experienced corneal specialist.<sup>19</sup>  
94 The study followed the tenets of the Declaration of Helsinki and informed consent was obtained from all  
95 patients after an explanation of the nature and possible consequences of the study. The study was  
96 approved by the local ethics committee.

97 Inclusion criteria were clear central cornea without any scar or other complications; no history of kerato-  
98 refractive surgery; no previous history of corneal cross-linking; no history of other eye diseases except  
99 keratoconus; contact lens intolerance; corneal thickness at least 450  $\mu\text{m}$  at the insertion site and 350  $\mu\text{m}$   
100 micron at the thinnest point; no history of atopy, allergy, autoimmune disorders and herpetic disease.<sup>17</sup> Pre-  
101 and post-operative assessments included determination of uncorrected visual acuity (UCVA) and corrected  
102 distance visual acuity (CDVA), refraction and Scheimpflug tomography with Pentacam HR (Oculus; Wetzlar,  
103 Germany). Only patients with anterior corneal changes were included in the study.

#### 104 **Included variables**

105           The used variables for analysis were visual acuity (UCVA & BCVA measured in decimal notation) and  
106 refractive error data (sphere, cylinder, axis and spherical equivalent (SE)). Pentacam derived variables were  
107 keratometry in the flat and steep meridians and mean keratometry in the central 3 mm of the front and back  
108 corneal surfaces; the magnitude and axis of front and back corneal astigmatisms (CA); maximum  
109 keratometry (K-Max) in front corneal surface and corneal asphericity expressed as the Q-value in the central  
110 8-mm of the cornea in both surfaces; corneal thickness at the apex (CCT) and the thinnest point (TP). In  
111 addition to the above recorded variables, the Belin ABCD keratoconus staging parameters which are the  
112 radius of curvature for the anterior surface (ARC) and posterior surface (PRC) for a 3.0 mm zone centered  
113 on the thinnest point, corneal thickness at the thinnest point and distance corrected visual acuity, were

114 recorded pre- and post-ring implantation. This classification system is currently available on the Pentacam  
115 HR on the Topometric/Keratoconus Staging display and on the Belin ABCD Progression display. Corneal  
116 tomography using Pentacam were performed by the same experienced and qualified technician to avoid any  
117 bias.

118 The studied eyes were divided post-operatively into two groups, the group with successful results and  
119 those with unsuccessful results 6 months following the ring implantation. The criterion used was based on  
120 the DCVA, a post-operative VA improvement a least two lines was considered as a success and otherwise  
121 was recognized as a failure.<sup>20</sup>

### 122 **Used intrastromal ring segments (ICRS)**

123 Keraring (Mediphacos, Belo Horizonte, Brazil) was implanted for all patients, these ICRSs made of  
124 PMMA material (polymethylmethacrylate). The SI-5 model of these segments has been used in this study  
125 which is characterized by an optic zone 5 mm, isosceles triangular cross-section (base 600  $\mu\text{m}$  and  
126 truncated apex 40  $\mu\text{m}$ ), thickness 150-350  $\mu\text{m}$  by step range 50  $\mu\text{m}$ , and arc lengths of 90, 120, 160, and  
127 210 degrees.

### 128 **Surgical Technique**

129 The manufacture's proposed nomogram was used for rings' selection and the surgical plan. Selecting  
130 one or two and symmetrical or asymmetrical segments were based on the distribution of the ectatic zone on  
131 the sagittal curvature map. Segment thickness was selected according to the ectatic area's distribution,  
132 spherical and cylindrical components of refractive errors. The corneal topographic steep meridian  
133 determined the incision location.<sup>21</sup> A complete ophthalmic assessment was done by an experienced corneal  
134 specialist and also Kerarings were implanted by the same surgeon (MRS).

135 Femtosecond laser-assisted implantation was used to create the tunnel ring (Ziemer FEMTO LDV Z6)  
136 at a depth of approximately 80% of the corneal thickness at the thinnest point in the implantation area (5 mm

137 diameter around the pupil center). The inner and outer diameters of channels were set to 4.8 and 5.4 mm,  
138 respectively. No sutures were utilized in any of the studied eyes. Postoperative treatment regimen was  
139 combination of topical corticosteroid (fluorometholone) and antibiotic (levofloxacin) 4 times daily for 2 weeks.  
140 Preservative-free artificial tear (artelac advanced) was used for 4 weeks, 8 times daily for the first two weeks  
141 and then 4 times daily for the second two weeks. In addition, a bandage contact lens (Biofinity, Cooper  
142 vision care, USA) which is a silicon hydrogel (Comflicon A) contact lens approved for up to 6 nights/7 days  
143 of continuous wear with water content 48%, Dk = 128, base curve of 8.6 mm and overall diameter of  
144 14 mm, was used for 2 weeks.

#### 145 **Statistical Analysis**

146 Data were analyzed in SPSS.22 software using the paired-samples T test and its non-parametric  
147 equivalent (Wilcoxon signed ranks test) for variables with no Gaussian distribution. The Chi-square test was  
148 used for categorical variables. A p-value<0.05 was considered as significant in all tests.

149

150

#### 151 **RESULTS**

152 Fifty eyes implanted with Keraring (Mediphacos, Belo Horizonte, Brazil) of 50 keratoconic patients  
153 were included in this study. Mean age was 29.10±8.50 years (range: 18-60 years). Mean and standard  
154 deviation of visual acuity and refraction (sphere, cylinder, axis and spherical equivalent (SE)) before and 6  
155 months after operation are presented in Table 1.

156

157 Post-operative assessment showed an improvement in refractive and acuity data with no significant cylinder  
158 axis shift. There was significant difference in all parameters except the axis of refractive astigmatism.

159 (P=0.434) The pre- and post-operative mean difference in sphere, refractive cylinder and SE was 0.54 D,  
160 3.36 D, 2.22 D, and in UCVA and BCVA was 0.26 and 0.13 in decimal notation, respectively. Mean and  
161 standard deviation of studied corneal shape indices obtained with the Pentacam are shown in Table 2.  
162 All keratometry-readings (K1, K2, mean K and Kmax) and corneal astigmatism on both corneal surfaces  
163 were significantly lower post-operatively compared to the pre-operative values (P<0.05) except the back  
164 mean keratometry. (P=0.520) The post-operative reduction in mean front keratometry, maximum front  
165 keratometry and front corneal astigmatism were 2.12, 3.36 and 2.74 D, respectively. The asphericity  
166 expressed as Q-value showed significantly decrease in the front surface prolateness (0.44) post-operatively  
167 while the back Q-value showed a more negative but non-significant change following the ring implantation.  
168 The corneal thickness at the apex and the thinnest point and the ART maximum did not show significant  
169 change after operation statistically. (P>0.05)

170 The mean and standard deviation of each element of the ABCD keratoconus classification pre- and  
171 post-ring implantation separately in different stages of keratoconus are shown in Table 3. The most post-  
172 operative change occurred in element A of the ABCD keratoconus classification so that the number of eyes  
173 with stage 0 increased from 3 to 23 eyes post-operatively.

174 Regarding the number of eyes in each stage of keratoconus, for element A, the following sequences  
175 in a decreasing trend were observed for the anterior radius of curvature (ARC) before (A2, A4, A3, A1, and  
176 A0) and after (A0, A2, A1, A4 and A3) operation. The posterior radius of curvature (PRC) showed nearly  
177 similar sequence pre- and post-operatively (B4>B2>B3>B0=B1). For the thickness at the thinnest point (TP),  
178 the preoperative sequence was C2>C1>C0=C3 and the post-operative one was C2>C1>C0>C3. The  
179 observed pre- and post-operative sequences for distance corrected visual acuity (DCVA) were  
180 D1>D2>D0>D3=D4 and D1>D0=D2, respectively. The mean and standard deviation of each element of the



181 ABCD keratoconus classification pre- and post-ring implantation with attention to the surgical outcomes are  
182 presented in Table 4.

183 There was significant difference in the numerical values of elements A, B and D of the ABCD  
184 keratoconus classification system following ring-implantation compared to the pre-operative status  
185 separately in all eyes and in the eyes with successful results, while the element C, which refers to the  
186 corneal thickness at the thinnest point, did not show significant changes. The group with unsuccessful  
187 outcomes did not show significant difference in all elements other than element A. Although the ARC  
188 showed significant flattening but it was not great enough to make a change in stage. The mean change in  
189 ARC, PRC and DCVA were 0.62 mm, 0.17 mm and 0.13, respectively. The highest change occurred in  
190 element A of the ABCD keratoconus classification system. The observed changes in each element are  
191 illustrated in Figure 1. Frequency distribution of the eyes in various stages of each element of the ABCD  
192 keratoconus classification system pre- and post-operation is shown in Figure 2.

193 The largest distribution of changes in the anterior surface occurred in the pre-operative stage 2, so  
194 improvement to stage 0 was observed in 30.0% of the eyes in this stage. Regarding element A, the most  
195 frequency of the eyes in the pre- and post-operative conditions was seen in stages 2 and 0, respectively.  
196 Considering element B, the highest frequency was seen in stage 4 before and after operation. However, the  
197 most changes occurred in stages 0 and 4 with a six percent change in an increasing and decreasing trends,  
198 respectively. The frequency distribution was similar pre- and post-ring implantation in stage 2. (34.0%) The  
199 distribution of the eyes in difference stages of the element C showed a slight increase in stages 0 and 1 and  
200 a subtle decrease in stages 2 and 3 post-operatively. Regarding element D, stages 0 and 1 were seen in  
201 68% of keratoconic eyes before operation and this value increased to 84% after operation. The highest  
202 changes were observed in stages 0 and 2 with 10% increase and 12% decrease in the frequency,  
203 respectively.

204 The chi square test showed a significant difference in the distribution of different stages before and after ring  
205 implantation for A ( $X^2=31.408$ ,  $df=16$ ,  $P=0.012$ ), B ( $X^2=52.999$ ,  $df=16$ ,  $P<0.001$ ), C ( $X^2=62.853$ ,  $df=9$ ,  
206  $P<0.001$ ) and D ( $X^2=16.224$ ,  $df=8$ ,  $P=0.039$ ) elements.

207

## 208 **DISCUSSION**

209 The global consensus of keratoconus and ectatic disorders highlighted the limitations of the prior  
210 classification systems.<sup>9</sup> The ABCD keratoconus staging system depicts both structural and functional  
211 changes in the cornea, grades the anterior and posterior corneal surfaces individually, and may better  
212 reflect changes in the entire cornea compared to the previous systems.

213 This study showed that keraring implantation produces significant changes in the anterior and  
214 posterior radius of curvature of 3mm zone centered on the corneal thinnest point associated with statistically  
215 significant improvement in the corrected distance visual acuity with no significant change in the corneal  
216 thickness at the thinnest point. Considering the ABCD keratoconus classification system, the most change  
217 occurred in element A (anterior corneal surface) of this system in the group with successful outcomes.  
218 Distribution of the eyes in the different stages highlights these changes in element A, so 14% of the eyes  
219 were in the stages 0 and 1 pre-operatively and this value increased to 60% after ring implantation. The  
220 changes made to the anterior surface are more remarkable than the posterior surface.

221 Independent of tunnel creation method, the corneal ring segments are usually implanted in deep  
222 stroma at an approximate depth of 70-80% in the corneal midperiphery. One might also expect a significant  
223 change in the posterior corneal curvature; while, the observed changes showed the most flattening effect in  
224 the anterior corneal surface in the group with successful results. This flattening effect is explainable based  
225 on the arc shortening model which supposes the ring segments act as spacers between the corneal

226 lamellae and consequently will reduced the arc length of the central lamellae.<sup>14</sup> These findings are  
227 confirming Pérez-Merino et al. (2013) and Ortiz et al. (2012) studies.<sup>22, 23</sup>

228         Comparison of the B element before and after surgery in the two groups based on the functional  
229 outcome showed a higher stage or steeper posterior curvature in the 3mm zone centered on the thinnest  
230 point in the eyes with unsuccessful results, while other elements were not significantly different. Ring  
231 implantation produced a significant posterior corneal flattening in the zone centered on the thinnest point  
232 which is in agreement with the previous studies although all earlier studies assessed changes in the central  
233 corneal zone.<sup>2, 24</sup> In contrast to the current findings, some studies reported a steepening of the posterior  
234 corneal surface following the ring implantation.<sup>25</sup>

235         C element of the ABCD keratoconus staging which refers to the corneal thinnest point did not show  
236 significant changes and no stage changes post-operatively compared to the pre-operative status, this is in  
237 contrast with the findings of Ortiz et al. (2012) and Muftuoglu et al. (2018).<sup>23, 25</sup>

238         Discrepancies between this study and the aforementioned studies may be related to the different  
239 parameters being measured. The “A” and “B” parameters are unique and have not been previously utilized  
240 and are centered on the thinnest point versus the corneal apex which is commonly utilized. Kmax is also a  
241 frequent end point parameter, but Kmax is a single point measurement, while the “A” and “B” parameters are  
242 taken from a 3.0 mm optical zone. Similarly, the “C” value is the thinnest point and would not be the same  
243 as an ultrasonic pachymetry reading which is typical taken at the corneal apex.

244         The D element of this staging system shows significant acuity changes in all eyes in the group with  
245 the successful results but these improvements did not change the stage, these findings are confirming the  
246 previous studies by Alfonso et al. (2011)<sup>26</sup>, Piñero et al. (2009)<sup>15</sup>, Coskunseven et al. (2008)<sup>17</sup>, Shabayek &  
247 Alió (2007)<sup>27</sup>.

248

249 **CONCLUSION**

250 Utilizing the Belin ABCD Classification system, we were able to document the different anatomical  
251 changes that occur with ICR implantation. Eyes which obtained improved DCVA showed significantly  
252 greater change on the anterior corneal surface as measured by the “A” parameter. While changes were  
253 also seen on the posterior corneal surface, these changes were relatively minor compared to the anterior  
254 corneal flattening. Compared to prior older classification systems which did not incorporate modern imaging  
255 technology, the ABCD classification may allow for better study designs and outcome analysis.

256

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**317 Figure Legend:**

318 Figure 1: Bar representation of the exact pre- and post-operative values of each element of the ABCD

319 keratoconus classification system. (n=50 eyes)

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321 Figure 2: Frequency distribution of the various stages of each element of the ABCD keratoconus  
322 classification system pre- and post-operation. (n=50 eyes)  
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324