

## **Critical Zone Science in the Anthropocene: Opportunities for Biogeographic and Ecological Theory and Praxis to Drive Earth Science Integration**

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Transfer; interdisciplinary; scale; theory

## ABSTRACT

Critical Zone Science (CZS) represents a powerful confluence of research agendas, tools, and techniques for examining the complex interactions between biotic and abiotic factors located at the interface of the Earth's surface and shallow subsurface. Earth's Critical Zone houses and sustains terrestrial life, and its interacting subsystems drive macroecological patterns and processes at a variety of spatial scales. Despite the analytical power of CZS to understand and characterize complicated rate-dependent processes, CZS has done less to capture the effects of disturbance and anthropogenic influences on Critical Zone processes, although some Critical Zone Observatories (CZOs) focus on disturbance and regeneration. Methodological approaches from biogeography and ecology show promise for providing Critical Zone researchers with tools for incorporating the effects of ecological and anthropogenic disturbance into fine-grained studies of important Earth processes. Similarly, mechanistic insights from CZS can inform biogeographical and ecological interpretations of pattern and process that operate over extensive spatial and temporal scales. In this paper, we illustrate the potential for productive nexus opportunities between CZS, biogeography, and ecology through use of an integrated model of energy and mass flow through various subsystems of the Earth's Critical Zone. As human-induced effects on biotic and abiotic components of global ecosystems accelerate in the Anthropocene, we argue that the long temporal and broad spatial scales traditionally studied in biogeography can be constructively combined with the quantifiable processes of energy and mass transfer through the Critical Zone to answer pressing questions about future trajectories of land cover change, post-disturbance recovery, climate change impacts, and urban hydrology and ecology.

## 1 INTRODUCTION

2 New and dynamic biogeographical patterns are a characteristic feature of the Anthropocene,  
3 the current epoch defined by intense human influences on Earth systems, because of  
4 humanity's large-scale and unprecedented effects on terrestrial and atmospheric systems  
5 (Allen et al., 2015; Ramanathan and Barnett, 2003; Revelle and Suess, 1957). As  
6 anthropogenic impacts increase in magnitude and scale, fundamental biogeographical  
7 processes that create and sustain ecosystem patterns will continue to change at an  
8 unprecedented rate. This is especially true in Earth's Critical Zone, which is defined as the  
9 "permeable near-surface layer...from the tops of the trees to the bottom of the groundwater"  
10 (Critical Zone Observatories). Biogeography, ecology, and Critical Zone Science (CZS) are  
11 uniquely situated to document anthropogenic change because these fields intentionally  
12 operate at multiple spatial and temporal scales and all are concerned with the intersection of  
13 abiotic (atmosphere, hydrosphere, cryosphere, and lithosphere) and biotic (biosphere)  
14 processes. Leveraging differences in expertise and philosophy between these related fields  
15 creates an opportunity to develop synergistically our approach to understanding Earth system  
16 organization, processes, and patterns. Integrating the spatialized ecology of biogeography and  
17 the potentially unique responses of individual species and communities to disturbance with  
18 enhanced knowledge of Critical Zone rates and fluxes provides new opportunities to  
19 interpolate CZS across larger scales and to explain observed changes in the Anthropocene. At  
20 the same time, insights from CZS, including hydraulic flow paths and subsurface patterns of  
21 geomorphology, can inform understandings of major spatio-temporal patterns and variances  
22 identified and studied in the near-surface and aboveground by ecology and biogeography  
23 (Moore et al., 2015). To aid in this synergy, the recent development of an integrating Critical  
24 Zone model fills an existing gap in biogeographic theory (Rasmussen et al., 2015).

25

26 Synchronous and widespread changes in global climate and land cover across wild and  
27 managed landscapes underscore the urgency for this integrated science approach (Stocker,  
28 2014). Expanding global human populations and the accelerating intensification of resource  
29 use have produced dramatic and measurable effects on biotic and abiotic systems and  
30 processes. Since its introduction into the scientific literature, the “Anthropocene” concept  
31 (Crutzen and Ramanathan, 2000; Falkowski et al., 2000), of a “human-dominated biosphere”  
32 (Nyström and Folke, 2001), has gained currency across a breadth of disciplines within natural  
33 and physical sciences, social sciences, and humanities as a way to describe this epoch of  
34 intense human influence. A number of definitions have been advanced, many of which reflect  
35 paradigms and epistemologies of particular disciplines. Generally, the Anthropocene has been  
36 established as a period encompassing the present and some component of the recent past that  
37 is marked by significant and measurable anthropogenic disturbance and an accelerating  
38 transformation of the biosphere into anthromes, novel ecosystems, and various other  
39 socionatural hybrids (Ellis, 2015). While precise initiation date(s) of the Anthropocene are  
40 difficult to determine and may reflect regional spatial and temporal asynchrony (Edgeworth  
41 et al., 2015; Waters et al., 2016), the diverse and dramatic impacts of humans on global  
42 systems (*e.g.*, global climate change, stratospheric ozone depletion, fire suppression,  
43 deforestation, land cover change, urbanization, and many others) provide strong evidence for  
44 a new geologic epoch (Steffen et al., 2016; Brown et al., 2017).

45

46 The impacts of contemporary humans are evident planet-wide, echo across trophic scales, and  
47 are reflected in species distributions and abundances. Humans alter atmospheric chemistry  
48 and composition, land and sea temperatures, and the cycling of energy and nutrients at global  
49 scales, and humans introduce contaminants to terrestrial, aquatic, and atmospheric systems.  
50 Scientific engagement with the Anthropocene requires understanding that humans are agents

51 capable of altering the rates, fluxes, and processes occurring within the Critical Zone across  
52 spatial and temporal scales. Scientific explanation and understanding of the impacts of the  
53 Anthropocene requires research into the rates, fluxes, and cycles of energy and matter  
54 through coupled terrestrial, hydrological, and atmospheric systems, and the ways in which  
55 human influences combine with naturally occurring phenomena to alter these rates, fluxes,  
56 and cycles beyond the historical range of variability. Here, we present Critical Zone Science  
57 – an evolving Earth Science that has been established largely at the nexus of geosciences and  
58 hydrology – and describe its focus and limitations. We describe the theoretical orientations  
59 that inform research in CZS and biogeography, arguing that a particular theoretical and  
60 methodological position provides the best opportunity for addressing and understanding  
61 Anthropocene impacts on the Critical Zone. We then present a model of Critical Zone fluxes  
62 of energy and materials to demonstrate the utility of integrating biogeographical  
63 understandings of disturbance with studies of the Critical Zone to understand rapid change  
64 across spatial and temporal scales (‘hot spots’ and ‘hot moments’). We follow with a  
65 discussion of how particular disturbances can affect fluxes of matter and energy within and  
66 through the Critical Zone. We end with a call for synergy between biogeographic and Critical  
67 Zone research that we believe can productively explain ecosystem pattern and process in  
68 ways unavailable to each discipline independently. This synthesis, we argue, provides the  
69 best opportunity for understanding biotic and abiotic changes that define and characterize the  
70 Anthropocene.

71

## 72 **2. Science in the Critical Zone**

### 73 *2.1 A New Science for the Anthropocene*

74 Critical Zone Science was first defined by the National Research Council (NRC) in the 2001  
75 document *Basic Research Opportunities in Earth Science*. The NRC report describes the  
76 Critical Zone as “the heterogeneous, near-surface environment in which complex interactions  
77 involving rock, soil, water, air, and living organisms regulate the natural habitat and  
78 determine the availability of life-sustaining resources.” The NRC directly acknowledges  
79 human impacts upon terrestrial ecosystems:

80       The rapidly expanding needs of society give special urgency to understanding the  
81       processes that operate within this Critical Zone. Population growth and  
82       industrialization are putting pressure on the development and sustainability of natural  
83       resources such as soil, water, and energy. Human activities are increasing the  
84       inventory of toxins in the air, water, and land, and are driving changes in climate and  
85       the associated water cycle. An increasing portion of the population is at risk from  
86       landslides, flooding, coastal erosion, and other natural hazards. (National Research  
87       Council, 2001)

88 In its founding definition, the Critical Zone was not theorized as being isolated from human  
89 influences. Yet, the central Critical Zone processes highlighted in the original NRC document  
90 focused on physical drivers and biology and did not explicitly address anthropogenic effects  
91 on Critical Zone processes or mechanisms. The original CZS framework, therefore, was  
92 primarily useful for earth scientists who engaged with focused interdisciplinary research  
93 drawing from closely related disciplines. As such, significant research into human impacts  
94 and disturbance effects on Earth systems did not receive sustained attention from Critical  
95 Zone scientists, especially early in CZS. The focus of research published since the  
96 development of the National Science Foundation (NSF) program on Critical Zone  
97 Observatory (CZO) sites underscores that many Critical Zone scientists are improving our  
98 understandings *of* the Critical Zone (Brooks et al., 2015; Broxton et al., 2015; Docherty et al.,

99 2015; Lohse et al., 2009; Zapata-Rios et al., 2016), but few studies have focused on the  
100 impacts of humans and disturbance agents *on* the Critical Zone (Allen et al., 2015; Orem and  
101 Pelletier, 2015; Reale et al., 2015). Similarly, few early CZS studies addressed the spatial  
102 connectedness of processes across the geographic template *within* the context of the Critical  
103 Zone (Goddéris and Brantley, 2013; Pelletier et al., 2013; Pelletier and Orem, 2014).  
104 Building on this early work in CZS, in 2012 the NSF revised its guidelines for the CZO  
105 program with the directive that funded research should predict Critical Zone response to  
106 anthropogenic processes, in addition to focusing on resilience of the Critical Zone “in the  
107 face of land use change and climate change...to inform strategies for sustaining a wide range  
108 of human activities” (National Science Foundation, Critical Zone Observatory National  
109 Office). As a result of the NSF’s updated programmatic directive to the CZO, recent funding  
110 has been awarded to a series of “2<sup>nd</sup> generation CZ observatories”  
111 (<https://criticalzone.org/calhoun/about/>) that deliberately incorporate human influences into  
112 their research programs (Richter et al., 2018). CZOs with research agendas specifically  
113 addressing anthropogenic impacts include Calhoun in South Carolina, which studies recovery  
114 of agriculturally degraded and eroded lands; Reynolds Creek in Idaho, which includes  
115 prescribed fire in its research; and the Intensively Managed Landscapes CZO in Illinois,  
116 Iowa, and Minnesota, which addresses human activities such as agriculture and land use  
117 (<http://criticalzone.org/national/>). The renewed focus of CZS on physical rates, fluxes, and  
118 processes, coupled with human impacts to intercoupled biotic and abiotic systems, provides  
119 CZS with powerful tools for explaining the rapid changes of the Anthropocene.

120

## 121 *2.2 A Multidisciplinary, Cross-Scale Science*

122 In his seminal paper “The Use and Abuse of Vegetational Concepts and Terms,” Sir Arthur  
123 Tansley (Tansley, 1935) coined the term ‘ecosystem’ as “the whole complex of physical

124 factors forming what we call the environment of the biome—the habitat factors in the widest  
125 sense.” Tansley intended the term to be inclusive of both physical and biological features as  
126 ‘one physical system’ (Richter and Billings, 2015), but Tansley’s early conceptualization was  
127 frequently overlooked by ecologists who delved into niche studies focused predominantly on  
128 the biological component of ecosystems. Earlier studies by (Merriam, 1898) and (Shreve,  
129 1915), which led to the ‘gradient ecology’ theory of Whittaker and Niering, further  
130 established the close and co-constructive relationship between biotic components of  
131 ecosystems and their physical environment (Whittaker, 1967; Whittaker and Niering, 1975).  
132 Ecosystem ecology, which developed out of terrestrial ecology, began quantifying flows of  
133 energy and matter at ecosystem scales and frequently invoked anthropogenic effects on  
134 ecosystems as a driver of change (Odum, 1971). In ecosystem ecology, the spatial and  
135 temporal limits of ecosystems are not always addressed or as tightly defined as in CZS  
136 (Richter and Billings, 2015). Similarly, Earth and environmental scientists, sometimes in  
137 partnership with CZS, have a strong tradition of research that investigates processes of  
138 anthropogenic change in biotic and abiotic systems (*e.g.*, Ellis and Ramankutty, 2008;  
139 Williams et al., 2015). This approach acknowledges that anthropogenic effects on biophysical  
140 processes creates hybrid associations that cannot be ascribed to wholly “natural” or “human”  
141 causes (Dearing et al., 2015; Moreno-Mateos et al., 2017; Richter et al., 2018).

142

143 Despite strong similarities to biogeography and ecosystem ecology, CZS focuses more on the  
144 underlying processes and mechanisms crucial to supporting life on Earth (Chorover et al.,  
145 2007; Giardino and Houser, 2015; Richter and Billings, 2015). This mechanistic and  
146 quantitative approach to Earth Science research was borne out of a need to connect closely  
147 aligned subfields in a coherent multidisciplinary framework. CZS was defined and has  
148 developed to approach fundamental scientific questions about the Earth from the perspective

149 of understanding and modelling Earth processes and mechanisms (Banwart et al., 2011;  
150 Giardino and Houser, 2015). The rapid expansion of interest in CZS and CZOs is a testament  
151 to the growing need to provide empirical evidence of changes in environmental processes and  
152 mechanisms to policy-, law-, and other decision-makers (Banwart et al., 2013; Field et al.,  
153 2015). CZS is not a departure from existing interdisciplinary sciences, such as ecosystem  
154 ecology, which have a long history of research into interconnections of hydrology, soils, and  
155 living systems. The novelty of the Critical Zone is in its vertical boundedness and in its  
156 expansion of historically “superficial” investigation of belowground terrestrial ecology  
157 (Richter and Billings, 2015). This novel framing does not radically transform the types of  
158 questions and the research methods that are employed in Critical Zone studies, compared to  
159 prior work on the connections between biological, geological, hydrological, and chemical  
160 components of ecosystems, but it does define a new unit of analysis that suggests a common  
161 focus on which interdisciplinary teams can centre their research.

162

163 Recently, researchers have begun to frame Critical Zone processes and fluxes in the context  
164 of ecosystem services—a clear recognition of the importance of coupled human-natural  
165 systems and ecological thinking in the operating in the Critical Zone. Ecosystem services are  
166 frequently understood to include the provisioning of material and energy, regulating the rates  
167 and amplitude of ecosystem processes, creating essential habitat and structure for biota, and  
168 providing culturally important functions (de Groot et al., 2010; Field et al., 2015, 2016;  
169 Watanabe and Ortega, 2011). As such, ecosystem services represent one strategy for  
170 incorporating the needs, desires, and impacts of humans on processes and fluxes occurring  
171 within the Critical Zone, but this incorporation tends to regard human societies as a  
172 beneficiary of ecosystem services rather than centring humans as agents capable of altering  
173 the rates, fluxes, and processes that occur within the Critical Zone. While examining Critical

174 Zone processes within the framework of ecosystem services improves the multidisciplinary  
175 of CZS by extending Critical Zone research into the social sciences, it does not address the  
176 critical role of humans as a potentially dominant source of change in the Critical Zone.  
177 Critical Zone processes are understood to influence people, even as anthropogenic effects on  
178 Critical Zone processes have gone largely uninvestigated (but see Richter and Mobley, 2009;  
179 Moraetis et al., 2015; Guo and Lin 2016; Coughlan et al., 2017; Kumar et al., 2018). At sites  
180 where anthropogenic landscape changes are explicitly studied, such as the Intensively  
181 Managed Landscapes CZO in Illinois, Iowa, and Minnesota, most of the publications from  
182 these sites focus on biophysical process rather than on human effects – leaving an opportunity  
183 for researchers who implicitly link their work within a human-natural system. This is perhaps  
184 best illustrated by the research team at the Calhoun CZO in South Carolina, which since 2013  
185 has investigated human-forced alterations to rooting depth, soil fertility, hydrology, and  
186 vegetation recovery in a landscape of reforested and heavily gullied abandoned agricultural  
187 fields.

188

### 189 *2.3 Physical Processes in the Critical Zone – a Potential Unifying Theory*

190 Rasmussen et al. (2011, 2015) provide a useful modelling framework—Effective Energy and  
191 Mass Transfer (EEMT)—for conceptualizing and studying Critical Zone processes. EEMT is  
192 based upon thermodynamic theory in that fluxes of mass and energy into and through the  
193 system drive internal cycling processes. EEMT integrates the structures and functions of  
194 interacting components of the Critical Zone and defines several terms that can be used to  
195 articulate the influences of anthropogenic and ecological disturbance (*e.g.*, changes in land  
196 use, hydrologic alterations, and wildfire) on Critical Zone processes. This model provides an  
197 opportunity for biogeographers to integrate their observations of pattern through use of a  
198 unifying model:

$$199 \quad E_{TOTAL} = E_{ET} + E_{PPT} + E_{BIO} + E_{ELEV} + E_{GEO} + \sum E_i \quad (1)$$

200 where  $E_{ET}$  is latent heat flux from terrestrial evapotranspiration,  $E_{PPT}$  is energy flux from  
 201 effective precipitation,  $E_{BIO}$  is energy flux from net primary production,  $E_{ELEV}$  is energy flux  
 202 from uplift and/or physical denudation,  $E_{GEO}$  is energy flux from chemical denudation, and  
 203  $\sum E_i$  represents any other energy and mass transfer (Rasmussen et al., 2015). We use this  
 204 model to examine the ways in which anthropogenic and ecological disturbance can have  
 205 divergent effects on Critical Zone rates and processes in our discussion of praxis that follows.

206

### 207 **3. Contributions from Biogeography and Spatial Ecology Theory and Praxis for Study** 208 **of the Critical Zone**

209 Biogeography and global ecology do not inherently address questions of human impacts on  
 210 the Critical Zone, although both disciplines often conduct research relevant to anthropogenic  
 211 effects on Critical Zone processes and can be uniquely equipped to deal with questions of  
 212 human influence at a variety of scales. Expanding emerging efforts to include anthropogenic  
 213 influences into Critical Zone research (see Brecheisen, 2018; Coughlan et al., 2017; Kumar et  
 214 al., 2018; Moraetis et al., 2015) would help shift CZS from a *multidisciplinary* collection of  
 215 epistemologically similar physical and biological sciences to a holistically *interdisciplinary*  
 216 enterprise that includes biogeographical methodologies and is prepared to address the  
 217 questions of human influence and ecological disturbance operating at variable scales on the  
 218 Critical Zone in the Anthropocene.

219

#### 220 *3.1 Theory ~ Biogeography and Spatial Ecology Approaches to Community Organization* 221 *and Disturbance; NeoClementsian Implications in the Anthropocene*

222 Ecology and biogeography have had a long theoretical and framing debate about the nature of  
223 ecological systems and their components, particularly the stability and predictability of the  
224 relationships between community constituents and the relationships among communities and  
225 community members (organisms) across spatial gradients. The composition, constituency,  
226 and behaviour of ecological communities can have implications for how communities  
227 respond to ecological and anthropogenic disturbance, which can be linked to Critical Zone  
228 processes. As anthropogenic and ecological disturbances radically reshape ecological  
229 communities, the ways in which Critical Zone scientists and biogeographers view ecological  
230 relationships in space and time has profound implications for how we understand the  
231 Anthropocene.

232

233 Frederic Clements (Clements, 1916) argued that ecological communities function as  
234 superorganisms, with predictable, deterministic, and characteristic formation, stages of  
235 development, and response to disturbance. In contrast, Henry Gleason (Gleason, 1917)  
236 suggested that initial conditions and the various exigencies of community formation create  
237 assemblages, and that those assemblages are not stable or predictable over time and space.  
238 Contemporary biogeographical research contains residues of the tension between theorizing  
239 ecological associations as stable and predictable, and understanding that the patterns of  
240 species distributions are a result of historical accident as well as the capacity of organism to  
241 adapt to changing conditions (Christensen Jr, 2014). Biogeographers and ecologists routinely  
242 refer to concepts such as biomes and life zones, which represent broad-scale assemblages of  
243 plants and animals that are principally influenced by climatic factors, most importantly  
244 temperature and precipitation regimes. At the same time, both disciplines recognize that  
245 colonization events, disturbance, and vicariant episodes have a profound influence on species  
246 ranges and geographic distributions of a broad suite of taxa.

247

248 Critical Zone Science unites diverse scientific research questions into a unified disciplinary  
249 framework and attempts to tease out the dynamism at and near the Earth's surface  
250 (Rasmussen et al., 2011). CZS tends to capture community-level response to environmental  
251 conditions, and unless approached from a position of careful articulation of community  
252 development and contingent response to disturbance, risks reiterating long-abandoned  
253 Clementsian understandings of ecological trajectories. The potentially Clementsian outlook  
254 of CZS is revealed through measurements using ecosystem flux towers, which estimate net  
255 primary production and total community photosynthesis and respiration regardless of  
256 community constituents. Ecosystem flux measurements often include soil respiration, which  
257 is often conducted without comprehensive understanding of the microbial and belowground  
258 community being measured. Approaches and targeted measurements that help disentangle  
259 complex interactions are critical to the attribution of ecosystem-level patterns to specific  
260 ecosystem constituents.

261

262 By structuring a research agenda that conceptualizes the environment extending from the top  
263 of the vegetation canopy down to the deepest circulating groundwater/rock interface  
264 (Banwart et al., 2011) as a singular entity that can be studied, measured and modelled across  
265 time and space, CZS risks reviving Clementsian ideas about ecology and community  
266 organization. CZS is best positioned to consider the amplified disturbances that characterize  
267 the Anthropocene when factors such as land use, prior disturbance, and contemporary and  
268 historical biotic communities are taken into consideration. Intentional or not, the potential  
269 return to Clementsian views of nature has implications for the types of insights of which CZS  
270 is capable and intended. For example, as scientists gain a deeper understanding of the

271 differential physiological limitations imposed by mixed species and even individuals of  
272 varying ages (McDowell et al., 2005), the importance of the precise constituency and  
273 structure of community assemblages becomes more apparent. Modifying the apparent  
274 superorganismal approach of CZS to account for more refined understandings of community  
275 organization and formation allows more subtle insights into Critical Zone processes, and this  
276 represents an important opportunity for biogeographers and ecologists. Such a shift in  
277 theoretical focus will also assist biogeographers and spatial ecologists in applying CZS  
278 insights to explain ecological pattern and process at scales ranging from sites to landscapes,  
279 regions, and the globe.

280

281 Some abiotic aspects of Critical Zone processes do not align perfectly with Gleasonian-  
282 Clementsian conceptions of the nature of ecological communities and their responses to  
283 disturbance. Groundwater circulation and deep mineral weathering, for example, are likely  
284 not affected by the precise constituency of aboveground and belowground vegetation and  
285 microbial communities. Other abiotic Critical Zone processes, such as erosion rates, are  
286 strongly affected by vegetation-mediated processes that can be dramatically altered by  
287 aboveground disturbance, but likewise depend in part on the composition of the vegetation  
288 communities. In this way, the connections between individuals and how they scale spatially  
289 (*sensu* foundational work: Arrhenius, 1921; Harte and Kinzig, 1997; Preston, 1960) are  
290 important as we think about upscaling physical processes and fluxes. Scaling of ecological  
291 communities and associated biophysical processes in space and time must incorporate  
292 responses to disturbances.

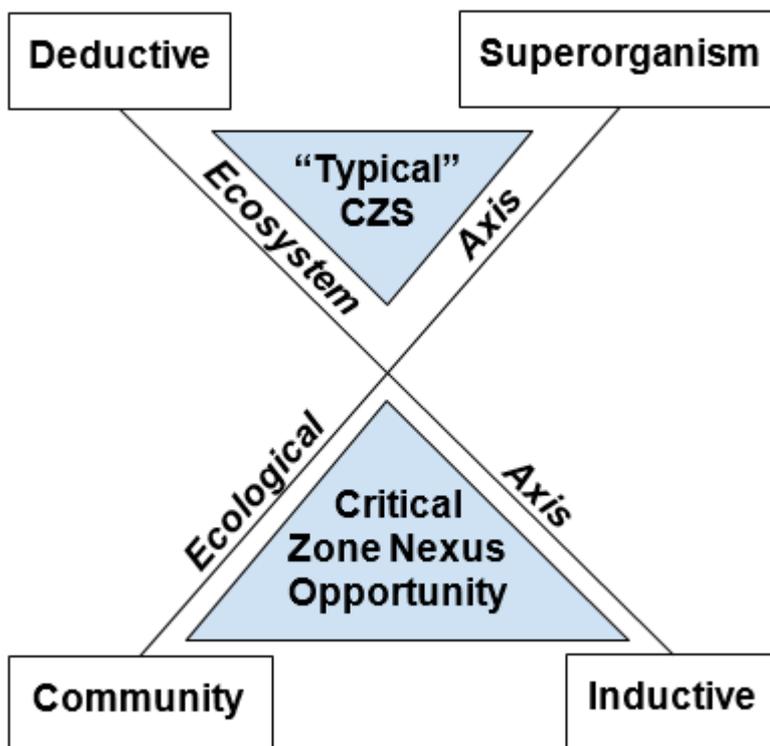
293

294 Similar to concerns about the importance of community assemblages, CZS can be  
295 approached either by theoretical reliance on general principles as explanatory agents, or on  
296 system-specific elements that are used to characterize the syncretic enterprise of Critical Zone  
297 studies. In this sense, orientation towards research can modulate between general principles  
298 with a deductive approach (Newtonian) and specific cases with an inductive approach  
299 (Darwinian) conceptualizations of the Critical Zone and its role in associated systems  
300 (Jenerette et al., 2012). Newtonian approaches to Critical Zone studies include reductive  
301 modelling, such as the EEMT model (Rasmussen et al., 2015), which allows for broad  
302 characterization of thermodynamic influences across the Critical Zone by focusing on several  
303 strongly predictive variables. Newtonian approaches favour explication of mechanism and  
304 process through general overarching rules and carry considerable explanatory power.  
305 Darwinian methodological approaches rely on a deep understanding of interlocking elements  
306 of the systems under study, from which general rules can be developed, similar to an  
307 inductive strategy of research. Darwinian-Newtonian theorizations of complex systems can  
308 aid Critical Zone scientists in conceptualizing abiotic interactions that are poorly captured by  
309 Gleasonian-Clementsian understandings of aboveground ecological communities. We argue  
310 that a research agenda that animates ecological theory via an inductive approach towards  
311 community composition and behaviour can provide powerful insight into anthropogenic  
312 effects on Critical Zone processes. This approach provides the best opportunity for synergy  
313 between biogeography and CZS (Figure 1), although deductive and superorganismal  
314 theoretical approaches to the Critical Zone can and will continue to yield important results.  
315 Via this theoretical framework, Critical Zone scientists can arrive at a foundation that  
316 supports robust study into the interactions between Critical Zone rates and processes with  
317 changes to ecological communities and the confounding effects of natural and anthropogenic  
318 disturbance. This process respects Tansley's concept of an integrated ecosystem and draws

319 upon the theoretical heft of modern biogeography to produce a comprehensive approach to  
 320 view mechanisms and processes at variable spatial and temporal scales in the Critical Zone  
 321 (Tansley, 1935).

322

323



324

325 *Figure 1: Critical Zone Science can be approached from multiple theoretical starting*  
 326 *positions: conceptions of ecological communities and post-disturbance trajectories that*  
 327 *favour recognising community makeup versus viewing communities as predictable*  
 328 *“superorganisms”; and an orientation towards research that prefers general principles*  
 329 *(deductive approach) versus system-specifics (inductive approach). We argue that CZS has*  
 330 *the greatest capacity to incorporate the effects of disturbance, and best characterize human*  
 331 *effects on Critical Zone processes, when oriented towards the Community and Inductive*  
 332 *poles - a “Critical Zone Nexus Opportunity,” sensu Tansley (1935).*

333

334 The specific and particular components of ecological communities have important and as-yet  
335 poorly characterized impacts on Critical Zone processes (Community pole, Figure 1). The  
336 precise effects of human influences on various components of Critical Zone processes and  
337 rates, as theorized by the EEMT methods, is best approached through a deep understanding of  
338 system specifics (Inductive pole, Figure 1). By situating their research agendas and their  
339 conceptualizations of integrated ecosystems (*sensu* Tansley, 1935) in the space between the  
340 Community and Inductive poles, Critical Zone scientists can successfully integrate ‘hot  
341 moments’ (punctuated ecological disturbance and accelerating anthropogenic changes) with  
342 ‘hot spots’ (geographically-bounded alterations to the Critical Zone) through studies into the  
343 mechanisms and processes of the Critical Zone across spatial and temporal scales.

344

345 *3.2 Praxis ~ Biogeography as Spatial Ecology, with Disturbance Defined as ‘Hot Spots’ &*  
346 *‘Hot Moments’*

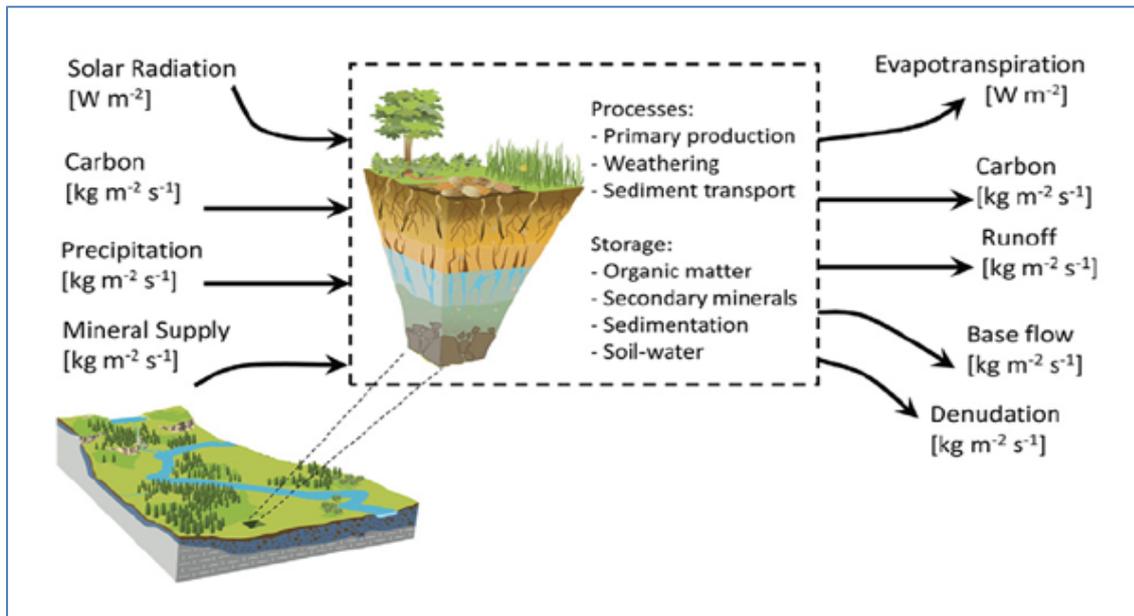
347 Critical Zone Science is centred on a sophisticated understanding of time-sensitive rates and  
348 processes that vary widely along temporal scales. Despite the capacity of disturbance to affect  
349 Critical Zone processes, CZS has not historically investigated disturbance across spatial or  
350 temporal scales. Punctuated disturbance events have the capacity to dramatically alter rates at  
351 which Critical Zone processes occur, with profound effects for the fluxes of materials and  
352 energy across Critical Zone boundaries. Accelerations in rate processes in the Critical Zone  
353 can occur either spatially (‘hot spots’) or temporally (‘hot moments’), or both spatially and  
354 temporally simultaneously (McClain et al., 2003). ‘Hot spots’ can be highly variable across  
355 spatial scales and gradients, and can range from geographically constrained to spatially  
356 amorphous, with correspondingly variable effects on subsystems of the Critical Zone. ‘Hot  
357 moments,’ similarly, represent punctuated events or short periods of time during which  
358 Critical Zone rates accelerate or slow. Examples include decomposition rates that are

359 dramatically accelerated by combustion (Kurz and Apps, 1994; Neary et al., 1999), soil  
360 transport speeded up by overland flow (DeBano, 2000; Swanson and Dyrness, 1975), and soil  
361 carbon loss following anthropogenic vegetation clearing (Guo and Gifford, 2002; Murty et  
362 al., 2002). Consideration of spatial and temporal variabilities in material and energy fluxes  
363 occurring in distinct portions of the Critical Zone initiated by ‘hot spots’ and ‘hot moments’  
364 can improve our understanding of rate-dependent fluxes in the Critical Zone and their  
365 impacts on biotic patterns and function. Further, this refinement allows for closer alignment  
366 of CZS with biogeography’s study of disturbance across spatial and temporal scales.

367

368 As an exercise in illustrating the potential effects of disturbance on Critical Zone processes  
369 and fluxes, we examine the implications of five types of disturbances (themselves  
370 representative of a hybrid of anthropogenic and ecological sources) on the central inputs and  
371 outputs of Critical Zone function through the lens of the integrating EEMT model in Equation  
372 1 (Rasmussen et al., 2015; Figure 2). Again, this is a means by which biogeographers and  
373 ecologists can extend research effort into a broader context and also a process that can  
374 potentially explain variation found across global spatial scales by integrating a better  
375 understanding of the temporally and vertically complex physical environments of the Critical  
376 Zone. Specifically, we investigate the effects of disturbances (‘hot moments’) in the form of  
377 wildfire and drought, as well as longer-term processes such as land cover change in the form  
378 of urbanization, afforestation and reforestation, and the effects of the enhanced greenhouse  
379 effect (Table 1). These disturbance types are not wholly “natural” or anthropogenic, but arise  
380 from combinations of ecological and human influence and as such should be viewed as  
381 hybrid socio-natural events, particularly as we move farther into the Anthropocene. Published  
382 scientific literature does not always identify a single directional change in flux due to a  
383 disturbance effect in isolation from correlated factors, so we present multiple lines of

384 evidence wherever possible. In fact, the multiple directionalities associated with these input  
 385 and output parameters underscore the utility of a multi-disciplinary observatory network  
 386 where seemingly disparate processes can be co-monitored and measured through time.  
 387



388

389 *Figure 2: Critical Zone rates and fluxes corresponding to the constituents of the Effective*  
 390 *Energy and Mass Transfer (EEMT) model. Each flux into and out of the Critical Zone can be*  
 391 *significantly influenced by disturbance, but remain understudied in the integrated nature now*  
 392 *characteristic of Critical Zone science. Figure used with permission from Rasmussen et al.,*  
 393 *2015.*

394

	Major Fluxes	Fire	Reforestation	Temperature	Drought	Urbanization
Inputs	Solar Radiation	↑	↑	↑	↑ (↓)	↓
	Carbon	↓ (↑)	↑	↑	↓	↓
	Mineral Supply	↑	↑	↓	↓	↑
	Precipitation	↑	Locally ↑/↓	Locally ↑/↓	↓	↓
Outputs	Evapotranspiration	↓	↑/↓	↑	↑/↓	↓
	Carbon	↑ (↓)	↓	↑/↓	↑ (↓)	↑
	Runoff	↑	↓	↓	↓	↑
	Base Flow	↑	↓	↓	↓	↓
	Denudation	↑	↓	↑	↑	↑

395

396 *Table 1: Major flux changes resulting from anthropogenic and ecological disturbance, as*  
 397 *expressed through altered inputs and outputs contained in the expanded Effective Energy and*  
 398 *Mass Transfer (EEMT) model (Figure 2; Equation 1; Rasmussen et al., 2011, 2015).*

399 *Parentheses around arrows indicate that under some conditions or according to some*  
 400 *authors, the vector of change can be in that direction.*

401

402 *Wildfire*

403 Disturbance from wildfire generally results in increases in EEMT outputs (Figure 2), but  
 404 inputs tend to be more variable. Burned areas experienced increased insolation and  
 405 precipitation, both due in part to reduced canopy cover (Hart et al., 2005). Fire effects on  
 406 mineral supply is more difficult to characterize, as many minerals are lost through erosion,  
 407 combustion, and volatilization after areas are burned (Schindler et al., 1980). However,  
 408 availability of certain crucial minerals, principally nitrogen, may increase (Hart et al., 2005;  
 409 Neary et al., 1999). Net carbon inputs are generally reduced in burned areas, even as inert soil  
 410 charcoal may increase (Hart et al., 2005; Neary et al., 1999). On the other hand, increases in  
 411 some EEMT outputs, including runoff, streamflow, baseflow, and denudation, are intuitive  
 412 and are borne out by evidence (DeBano, 2000; Dunham et al., 2007; Hart et al., 2005; Jones  
 413 and Post, 2004; Kinoshita and Hogue, 2011; Price, 2011; Schindler et al., 1980). While there

414 is limited research on the impact of wildfire on evapotranspiration, remote sensing-based  
415 studies show reduced evapotranspiration following wildfire (Poon and Kinoshita, 2018;  
416 Sánchez et al., 2015), likely due to changes in vegetation structure land surface temperature,  
417 and albedo (Sánchez et al., 2015) (Table 1).

418

#### 419 *Afforestation and reforestation*

420 While processes of afforestation and reforestation might be expected to yield EEMT flux  
421 changes inverse to wildfire-induced fluxes (Figure 2; Table 1), evidence shows that this is not  
422 always the case. For example, solar radiation input increases with afforestation and  
423 reforestation, but only if that input is defined as absorption of incoming radiation by  
424 vegetation canopy (Arora and Montenegro, 2011; Matthews et al., 2007). Mineral supply and  
425 precipitation inputs are more difficult to determine, as both vary based in part upon scale and  
426 considered processes. Afforestation effects on global precipitation patterns are complex  
427 (Stark et al., 2016; van Dijk and Keenan, 2007), but local afforestation might produce local  
428 increases in precipitation (Swann *et al.*, 2012). Afforestation may increase mineral soil  
429 nitrogen concentrations (Liu et al., 2018). Carbon, most simply accounted for by  
430 sequestration in vegetation, increases as an EEMT input and decreases as an EEMT output  
431 (Figure 2; Bonan, 2008; Kolbe et al., 2016; Liu et al., 2018, 2018; Paul et al., 2002; Post and  
432 Kwon, 2000). Denudation decreases, as a greater percentage of land is covered following  
433 afforestation and reforestation (Schaller et al., 2018). Runoff and base flow also appears to  
434 decrease (Bassiouni and Oki, 2013; Farley et al., 2005; Price, 2011; Zhang et al., 2004),  
435 while, similar to post-fire fluxes, evapotranspiration is more difficult to categorize as positive  
436 or negative. In some systems, evapotranspiration likely decreases following afforestation  
437 efforts (Liu et al., 2008) while in others, evapotranspiration likely increases (Price, 2011;  
438 Trabucco et al., 2008) (Figure 2; Table 1).

439

440 *Increasing temperature*

441 Increasing global temperatures will cause variable responses for Critical Zone fluxes (Figure  
442 2) across spatial and temporal scales (Bonan, 2015). Surface temperature gradients across the  
443 earth are most basically dictated by amount and duration of incoming solar radiation.

444 Although a warmer world via increasing radiative forcing will not change the amount of solar  
445 radiation received at the top of the atmosphere, corresponding variations in cloud cover and  
446 cryosphere will alter both planetary and local albedo, and thereby the amount of insolation  
447 received at the surface. Insolation will likely decrease in some locations from indirect causes  
448 such as solar dimming and increased concentrations of atmospheric water vapor and dust  
449 (Alpert et al., 2005; Held and Soden, 2006), while other locations will see increased  
450 insolation from expansion of Hadley Cell doldrums, loss of cloud cover, and decreased  
451 reflectivity (Byrne and O’Gorman, 2015; Hall and Qu, 2006; Held and Soden, 2006).

452

453 As with afforestation and reforestation, increased variability of precipitation at local scales  
454 will likely result from global temperature increases (Byrne and O’Gorman, 2015; Held and  
455 Soden, 2006; Pascale et al., 2017). Globally, the water cycle is expected to intensify: global  
456 mean runoff will decrease, latitudes greater than 40° N/S will see increases in total  
457 precipitation due to the warming atmosphere, and mid-latitudes will experience more variable  
458 precipitation (Byrne and O’Gorman, 2015; He and Soden, 2017; Held and Soden, 2006;  
459 Huntington, 2006; Pascale et al., 2017; Zhang et al., 2014). Mineral supply is dependent upon  
460 bedrock source, the mineral and ecosystem in question, and a host of other factors (Addiscott  
461 and Whitmore, 1987; Torn et al., 1997), and cannot be reduced to a single directional change.  
462 Warmer temperatures and increased precipitation typically imply increased chemical  
463 weathering of rocks, which in turn strengthens the fluxes of silicates and carbonates (Brady,

464 1991). Carbon inputs from the atmosphere and biosphere are projected to increase, and  
465 temperatures will also enhance carbon inputs by releasing carbon from temperature sensitive  
466 storage sources such as permafrost (Kolbe et al., 2016; Natali et al., 2015; Trumbore et al.,  
467 1996) (Figure 2; Table 1).

468

469 Carbon outputs in non-permafrost regions, especially from soil carbon, may be more variable,  
470 especially as ecosystem sensitivity changes with increasing atmospheric carbon and  
471 temperatures (Davidson and Janssens, 2006; X Wang et al., 2014). Permafrost regions,  
472 however, will see dramatic releases of carbon (Schuur et al., 2015; Schädel et al., 2016;  
473 Winterfeld et al., 2018). Generally, evapotranspiration is likely to decrease as water use  
474 efficiency increases with increased atmospheric CO<sub>2</sub>, thereby allowing for conservative  
475 stomatal openings in plants (Bonfils et al., 2017; Milly and Dunne, 2016). This, however, is  
476 not consistent across ecosystems where other factors are limiting growth. The direct effect of  
477 warming temperatures will theoretically increase evapotranspiration only if there is adequate  
478 water availability, or potential evapotranspiration is equal or greater than actual  
479 evapotranspiration (Huntington, 2006; Mankin et al., 2017; Milly and Dunne, 2016; Price,  
480 2011). The coupled relationships between temperature and runoff can have variable impacts  
481 on chemical and nutrient availability that are likely to fluctuate over space and time (Brown  
482 et al., 2017; Eiriksdottir et al., 2013; Kirstein et al., 2016) (Table 1).

483

#### 484 *Drought*

485 Drought, which leads to a series of changes in Critical Zone fluxes (Figure 2), is projected to  
486 increase globally in frequency and severity with future climate change (Dai, 2013). Drought  
487 can result in increased solar radiation input because of reductions in cloud cover (Charney,  
488 1975), foliage loss (Bréda et al., 2006), and vegetation die-off (Breshears et al., 2005).

489 Drought may result in decreased solar radiation input, in part via increases in albedo based on  
490 foliage loss, evaporation of bodies of water, and other processes that affect surface  
491 reflectivity (Charney et al., 1977; Courel et al., 1984). Inputs of carbon and mineral supply  
492 are expected to decrease because of a reduction in Critical Zone rates, and precipitation  
493 decrease is a definitional component of drought. Mineral supply is indirectly affected in  
494 several ways, including by reduced nutrient uptake, reduced microbial activity (Sardans and  
495 Peñuelas, 2005), reduced mineral transport to the canopy with lowered transpiration rates (Hu  
496 and Schmidhalter, 2005), and an increase in energetic cost for nitrogen conversion (Farooq et  
497 al., 2009; Pinheiro and Chaves, 2011). Wallin et al. (2002) find that drought is the cause of  
498 reduced mineral nutrient concentrations in Norway spruce needles. Carbon input may  
499 decrease, including as gross ecosystem uptake (Reichstein et al., 2002), ongoing storage and  
500 stocks (Brando et al., 2008), and assimilation (Dreyer, 1997; Schulze, 1986). Carbon output  
501 may increase, in part due to soil respiration (affected by ecosystem type and seasonality; (B  
502 Wang et al., 2014). For example, high carbon emissions from moist tropical forests during  
503 droughts have been observed (Houghton et al., 2000). Baseflow is expected to decrease, as is  
504 runoff (Dahm et al., 2003; Dai et al., 2008), due to decreased water availability. Drought  
505 impacts on evapotranspiration depend on the duration and severity of drought and the  
506 antecedent moisture conditions. Evaporation generally increases due to increased wind speed  
507 and reduced cloud cover (Dai, 2011), and transpiration decreases as plants close their stomata  
508 to conserve water (Cinnirella et al., 2002; Irvine et al., 1998; Limousin et al., 2009; MacKay  
509 et al., 2012). Finally, drought generally increases erosion (Allen and Breshears, 1998;  
510 Davenport et al., 1998) and therefore denudation may be expected to increase (Schaller et al.,  
511 2018) (Table 1).

512

513 *Urbanization and Development of Human-Dominated Landscapes*

514 Of the anthropogenic disturbances discussed here, urbanization is perhaps most likely to  
515 result in altered Critical Zone fluxes (Figure 2; Table 1). The most striking effects relate to  
516 land cover change and loss of vegetation canopy, in concert with hardening of surfaces and  
517 changes to radiative budgets. Decreases have been observed for surface solar radiation  
518 (Alpert et al., 2005) and carbon inputs, the latter with straightforward negative impacts on net  
519 primary productivity (Imhoff et al., 2004). Studies suggest that mineral supply input into  
520 watersheds increases with urbanization (Kaushal et al., 2011; Taka et al., 2017). Urbanization  
521 can result in accelerated chemical denudation, probably because of increased atmospheric  
522 acidity and enhanced runoff within the urban system (Prowse, 1987). The impacts of  
523 urbanization on precipitation are likewise difficult to determine, though there is much  
524 literature regarding changes in large- and small-scale hydrological scales as a result of  
525 urbanization, and in particular urban heat islanding has been shown to decrease precipitation  
526 (Diem and Brown, 2003; Milly *et al.*, 2008). Further, precipitation that enters the  
527 groundwater pool is also likely to decrease (Arnold Jr and Gibbons, 1996).  
528 Evapotranspiration may decrease overall (Carlson and Arthur, 2000). Perhaps most  
529 surprisingly, Kaye et al., (2005) finds that carbon outputs, via soil respiration and  
530 belowground carbon allocation, are greater in urban ecosystems than in any other land-use  
531 type. Finally, while runoff may increase (Leopold, 1968; Dow, 1997; Carlson and Arthur,  
532 2000), base flow to streams may be significantly reduced (Simmons and Reynolds, 1982).

533

534 **FINAL REMARKS - Opportunities for Biogeographic and Ecological Theory and**  
535 **Praxis to Drive Earth Science Integration**

536 Disturbances produce measurable perturbations that are significant at temporal ('hot  
537 moment') or spatial ('hot spot') scales. Anthropogenic disturbances have the potential to

538 amplify ecological disturbance, and to push systems out of stationarity and to produce non-  
539 analogue ecosystems, all of which will be reflected in altered fluxes in and through the  
540 Critical Zone. Here we have shown how a Critical Zone approach both integrates the physical  
541 science disciplines (a Newtonian approach) and relies on the specifics of the interacting  
542 abiotic-biotic connections (a Darwinian approach). The temporal and spatially variable terms  
543 included in EEMT calculations provide an ideal lens and an integrating model through which  
544 biogeographers, ecologists, and Critical Zone scientists can apply deliberate study of  
545 disturbance into Critical Zone processes and to characterize accelerating changes in the  
546 Anthropocene. The role of individual organisms and species, spatio-temporal variations in  
547 species responses, and the potential for plasticity in organismal response in space and time  
548 are clearly under-represented in current Critical Zone Science (CZS) approaches and the  
549 unifying EEMT model. Better integration of biogeography and CZS represents a rich  
550 opportunity for insights from a deeper understanding of biogeographical and ecological  
551 processes, both temporally (ranging from the generation scale of particular organisms through  
552 to geologic timescales) and spatially (*i.e.*, the deep Critical Zone (Buss et al., 2013; Chorover  
553 et al., 2011) to drive Earth Science forward. Now that many of the original CZ Observatories  
554 have over a decade of data and the US National Science Foundation is moving from a more  
555 “Observatory” to “Network” model, the opportunities for geographers to integrate into this  
556 effort are even greater.

557

558 Experimental designs based on ecological theory and practice, adaptation of metrics (*e.g.*,  
559 with insights from the EEMT or other CZS models), and consideration of the spatial  
560 implications of Critical Zone fluxes can all help productively couple the disciplines of  
561 biogeography and CZS even further. Biogeography has deep roots in integrating these  
562 disciplines, having been built by pioneers of atmosphere, hydrosphere, and lithosphere

563 research. By continuing to incorporate the effects of disturbance and anthropogenic  
564 influences on Critical Zone processes, rates, structures, and sites, the multidisciplinary and  
565 multiscale enterprise of CZS and the established field of biogeography can more fully  
566 characterize the dynamism and rapid changes that define the Anthropocene.

567

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